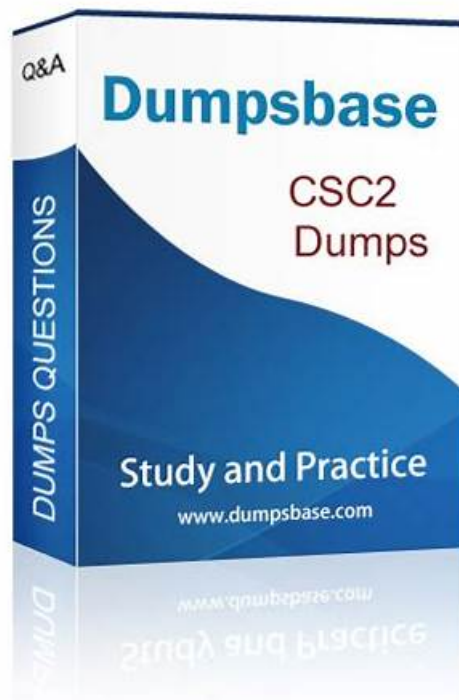


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CSI CSC2 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">Investment Analysis: This section of the exam measures the skills of a Research Analyst and covers both fundamental and technical analysis methods, including macroeconomic, industry and company analysis techniques, financial statement interpretation, ratio analysis, and security valuation approaches.
Topic 2	<ul style="list-style-type: none">The Corporation: This section of the exam measures the skills of a Corporate Finance Analyst and covers corporate structures, financial statements, disclosure requirements, investor rights, financing methods, capital raising processes, prospectus requirements, securities distribution, and exchange listing procedures for corporations.
Topic 3	<ul style="list-style-type: none">Additional Topics: This section of the exam measures the skills of a Wealth Management Professional and covers Canadian taxation systems, tax-advantaged accounts, fee-based account structures, retail client financial planning and estate planning, institutional client management, and ethical standards for financial advisors serving both individual and institutional clients.
Topic 4	<ul style="list-style-type: none">Investment Products: This section of the exam measures the skills of an Investment Products Analyst and covers fixed-income securities features, pricing, and trading; equity securities including common and preferred shares; derivatives including options, forwards, futures, rights and warrants; and the characteristics and uses of all these investment instruments in Canadian markets.

Topic 5	<ul style="list-style-type: none"> • Portfolio Analysis: This section of the exam measures the skills of a Portfolio Manager and covers portfolio management approaches including risk and return measurement, portfolio optimization strategies, management styles, and the complete portfolio management process from objective setting to performance evaluation and rebalancing.
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CSI Canadian Securities Course Exam2 Sample Questions (Q184-Q189):

NEW QUESTION # 184

Soft-dollar arrangements can be used for which type of service?

- A. Advice.
- B. Sales.
- C. Underwriting.
- **D. Research.**

Answer: D

NEW QUESTION # 185

What is one advantage of fund of hedge funds (FoHFs) as compared to single hedge funds?

- A. FoHFs yield stronger returns than a single hedge fund.
- **B. FoHFs offer more diversification for the same amount of investment.**
- C. FoHFs employ more leverage to enhance the return potential.
- D. FoHFs entail lower costs and operating fees.

Answer: B

NEW QUESTION # 186

Which will be taxed at the taxpayer's marginal tax rate?

- A. Domestic property valued over \$100,00.
- B. Foreign property valued under \$100,000
- **C. Dividends from foreign corporations.**
- D. Dividends not eligible for the dividend tax credit.

Answer: C

Explanation:

Dividends from foreign corporations are taxed at the taxpayer's marginal tax rate because they are treated as regular income in Canada. Unlike Canadian dividends, which may qualify for a dividend tax credit to reduce the effective tax rate, foreign dividends do not receive preferential tax treatment under Canadian tax law.

* **Marginal Tax Rate:** The rate at which the taxpayer's last dollar of income is taxed. Since foreign dividends do not qualify for tax credits, they are taxed as ordinary income.

* **Double Taxation Relief:** While foreign dividends are fully taxable in Canada, tax treaties between Canada and other countries may allow a foreign tax credit to offset taxes paid to the foreign jurisdiction.

However, this does not alter their treatment under the marginal tax rate.

Other options provided in the question:

* Dividends not eligible for the dividend tax credit (Option C) are usually taxed at a higher rate, but Canadian non-eligible dividends receive some preferential treatment, unlike foreign dividends.

* Foreign property valuation (Options B and D) is relevant for reporting requirements under Canadian tax laws, such as the T1135 Foreign Income Verification Statement, but does not affect the taxation of foreign dividends.

References:

* CSC Volume 2, Chapter 24: "Canadian Taxation," details the treatment of foreign income, including dividends and foreign tax credits.

NEW QUESTION # 187

The following information is available for REW Co:

What is the price of REW Co. if calculated using the dividend discount model?

- A. \$15.71.
- B. \$13.75.
- C. \$14.29.
- D. \$12.50.

Answer: C

NEW QUESTION # 188

Anwar is placing a market order to purchase 100 shares of AJL when the bid/ask is \$10.25/\$10.75. Before the trade is complete, the bid/ask moves to \$10.20/\$10.70. What is the share price that Anwar will pay on the purchase transaction?

- A. \$10.75
- B. \$10.70
- C. \$10.20
- D. \$10.29

Answer: B

Explanation:

A market order executes immediately at the best available ask price for a purchase transaction. In this case, the bid/ask initially was \$10.25/\$10.75. However, before execution, the ask price updated to \$10.70, meaning Anwar will pay \$10.70 per share.

* B. \$10.75: This was the previous ask price but is no longer valid after the update.

* C. \$10.29: This value is not relevant to the current bid/ask spread.

* D. \$10.20: This represents the updated bid price, which applies to sell orders, not buy orders.

Reference: CSC Volume 1, Chapter 9, "How Securities are Bought and Sold - Types of Orders" explains market orders and their execution at the prevailing ask price.

NEW QUESTION # 189

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