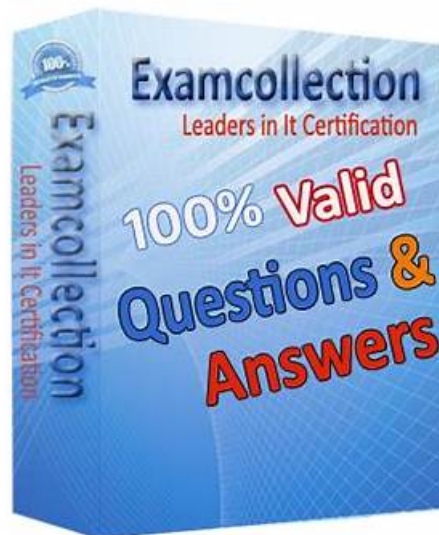


# Valid CSC2 Exam Format & CSC2 Valid Test Duration



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## CSI CSC2 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>Additional Topics: This section of the exam measures the skills of a Wealth Management Professional and covers Canadian taxation systems, tax-advantaged accounts, fee-based account structures, retail client financial planning and estate planning, institutional client management, and ethical standards for financial advisors serving both individual and institutional clients.</li></ul>
Topic 2	<ul style="list-style-type: none"><li>The Canadian Investment Marketplace: This section of the exam measures the skills of a Securities Industry Professional and covers the structure and operation of Canada's investment marketplace. It includes the roles of investment dealers and financial intermediaries, capital market functions, financial instruments, and the complete Canadian regulatory environment with its regulatory bodies, principles of regulation, client remediation options, and ethical standards for financial services professionals.</li></ul>
Topic 3	<ul style="list-style-type: none"><li>Analysis of Managed and Structured Products: This section of the exam measures the skills of an Investment Products Specialist and covers mutual funds, exchange-traded funds, alternative investments, structured products, and other managed products including their structures, regulations, features, risks, strategies, performance measurement, and tax implications within the Canadian investment landscape.</li></ul>
Topic 4	<ul style="list-style-type: none"><li>Portfolio Analysis: This section of the exam measures the skills of a Portfolio Manager and covers portfolio management approaches including risk and return measurement, portfolio optimization strategies, management styles, and the complete portfolio management process from objective setting to performance evaluation and rebalancing.</li></ul>
Topic 5	<ul style="list-style-type: none"><li>Investment Products: This section of the exam measures the skills of an Investment Products Analyst and covers fixed-income securities features, pricing, and trading; equity securities including common and preferred shares; derivatives including options, forwards, futures, rights and warrants; and the characteristics and uses of all these investment instruments in Canadian markets.</li></ul>

## CSI Canadian Securities Course Exam2 Sample Questions (Q63-Q68):

### NEW QUESTION # 63

What is typically a key tax attribute of dividends?

- **A. Dividend income is taxed more preferentially than interest income.**
- B. Stock dividends are treated differently than regular cash dividends for tax purposes.
- C. Dividends from preferred shares are ineligible tot dividend tax credit.
- D. Reinvested dividends are non-taxable to the shareholders.

**Answer: A**

Explanation:

Dividends are taxed at a preferential rate in Canada due to the dividend tax credit, which reduces the effective tax rate on dividend income. This is intended to prevent double taxation because corporations have already paid taxes on their profits before distributing dividends to shareholders.

\* B. Dividends from preferred shares are ineligible for the dividend tax credit: This is incorrect; dividends from both common and preferred shares are eligible for the dividend tax credit.

\* C. Stock dividends are treated differently than regular cash dividends for tax purposes: Stock dividends are generally taxed similarly to cash dividends.

\* D. Reinvested dividends are non-taxable to the shareholders: Reinvested dividends are taxable in the year they are earned, even if reinvested.

Reference:CSC Volume 2, Chapter 24, "Taxation of Dividend Income" discusses the tax treatment of dividends and the dividend tax credit.

#### NEW QUESTION # 64

In Canada, which industries are categorized as defensive?

- A. Banking and utilities.
- B. Energy and utilities.
- C. Energy and materials.
- D. Baking and materials

**Answer: A**

Explanation:

Defensive industries are less sensitive to economic cycles. They tend to perform consistently regardless of economic conditions because they provide essential goods and services that consumers require regardless of their financial situation. Banking and utilities fall under this category as:

\* Banking ensures essential financial services.

\* Utilities (e.g., electricity, water) provide necessary services.

Industries like energy and materials are more cyclical, reacting strongly to economic fluctuations. Hence, D.

Banking and Utilities is the correct choice.

References:

\* Volume 2, Chapter 13, "Classifying Industries by Reaction to the Economic Cycle".

#### NEW QUESTION # 65

What is name of the procedure used to calculate the income deemed to have been earned by segregated fund contract holders?

- A. Allocation.
- B. Payout.
- C. Redemption.
- D. Distribution.

**Answer: A**

#### NEW QUESTION # 66

What happens if a company's dividend payout ratio exceeds 100%?

- A. Profits will be reduced
- B. The share price will increase
- C. Shareholders' equity will be eroded
- D. The company will be unable to repay its debts

**Answer: C**

#### NEW QUESTION # 67

What is one advantage of fund of hedge funds (FoHFs) as compared to single hedge funds?

- A. FoHFs offer more diversification for the same amount of investment.
- B. FoHFs yield stronger returns than a single hedge fund.
- C. FoHFs entail lower costs and operating fees.
- D. FoHFs employ more leverage to enhance the return potential.

**Answer: A**

#### NEW QUESTION # 68

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