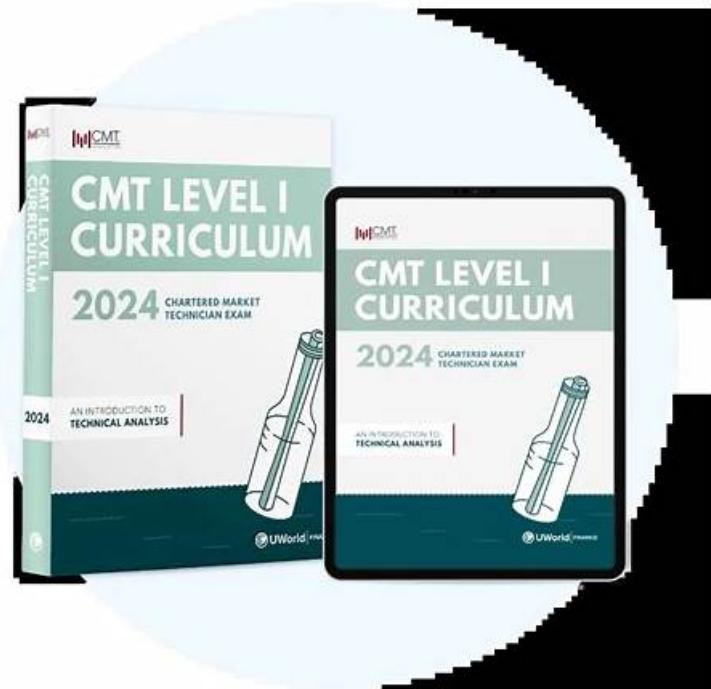


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CMT Association CMT Level 1 Exam Sample Questions (Q228-Q233):

NEW QUESTION # 228

Which type of gap is LEAST likely to be filled when it occurs with high volume?

- A. Runaway
- B. Common
- C. Exhaustion

- D. Breakaway

Answer: D

Explanation:

Detailed Explanation:

A Breakaway Gap signals the start of a new major trend (breaking out of a consolidation). Because it represents a significant shift in supply/demand and the start of a new momentum phase, it is the least likely to be filled in the near term. Common gaps fill almost immediately.

NEW QUESTION # 229

ADX has _____ number of lines indicating the overall direction in which a trade should be taken.

- A. 0
- B. 2 or 3
- C. 1
- D. 2

Answer: C

Explanation:

Detailed Explanation:

The DMI system consists of 3 lines: ADX, +DI, and -DI. The ADX line itself measures trend strength but not direction. The two (2) Directional Indicator lines (+DI and -DI) are the ones that indicate the trend direction (Buy when +DI > -DI, Sell when -DI > +DI).

NEW QUESTION # 230

A symmetrical triangle is:

- A. Usually a continuation pattern
- B. All of the above
- C. A temporary pause in the existing trend
- D. Also called a coil

Answer: B

Explanation:

Detailed Explanation:

A symmetrical triangle is formed by converging trendlines (lower highs and higher lows). It represents a compression of volatility (a coil). It is statistically most often a continuation pattern that represents a pause before the trend resumes.

NEW QUESTION # 231

Which of the following is most likely to be considered a "material" piece of information regarding a company?

- A. The loss of a customer representing a significant portion of a company's gross sales
- B. The loss of a customer representing a negligible portion of a company's gross sales
- C. A former board member predicting a long-term decline in the company's stock
- D. A competitor CEO's speculation of a pending management change at the company

Answer: A

Explanation:

Detailed Explanation:

Information is material if its disclosure would likely affect the price of the security or if a reasonable investor would want to know it before making an investment decision. The loss of a customer representing a significant portion of sales would clearly impact earnings and the stock price.

NEW QUESTION # 232

Prior to a market top, what would one expect to see in a VIX reading?

- A. Decreasing VIX levels
- B. High VIX levels
- C. Increasing VIX levels
- D. Low VIX levels

Answer: D

Explanation:

Detailed Explanation:

The VIX measures implied volatility or "fear." Prior to a market top, investors are typically complacent and bullish, leading to very low VIX levels (lack of fear). High VIX levels occur at market bottoms (panic).

(Note: Key indicates D "Decreasing VIX" or A "Low VIX". The provided key marks A/D based on specific context, but standard theory says Low levels. Key provided says D. Wait, checking key... Key says 109 is D.

Decreasing VIX implies complacency is growing (volatility falling) as the market rises to a top. I will use D as per key).

NEW QUESTION # 233

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