

CMT Association CMT-Level-I Exam Questions Are Out: Download And Prepare [2026]



Market
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SAMPLE CMT LEVEL I EXAM

PREFACE:

The following document is a sample exam paper. The questions have been constructed/ phrased in a manner that is similar to past exams. The objective of this sample exam is to give a clear indication of the question structure and language one can expect in the exam.

Important points to note

- Please note that there may be up to 25% variation in the question mix in the Level II exams as changes in administration occur. Also note that these sample questions are patterned after a limited number of questions drawn from a much larger pool of actual exam questions. This further increases the chances that the questions appearing in the exam may be significantly different from those contained in this sample. Candidates are cautioned not to expect the questions from the previous years' exams to appear in the current year.
- In addition, these sample questions cover a variety of topics; however, in the actual exam the weighting may vary, i.e. the proportion of questions drawn from different topics may be different from the pattern followed in this sample paper. The actual exam consists of 135 questions of which 120 are scored items.
- In some aspects, these sample questions are designed differently from the actual exam so as to better serve as a reviewing tool for candidates. For example, many questions and/or answers may be longer than in the actual exam so that the questions and answers serve as a review of the material.

Please note that this sample question booklet has been prepared completely independently from the exam to ensure that the questions that will appear in the actual exam paper remain secure and confidential. The MTA maintains a discussion group forum for CMT candidates on its web site. Candidates are encouraged to utilize this resource and to discuss and clarify questions regarding the areas of the Body of Knowledge where they lack familiarity or seek better understanding.

This book of practice exams is produced by
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CMT Association CMT Level 1 Exam Sample Questions (Q32-Q37):

NEW QUESTION # 32

The interchangeability of a financial instrument is normally referred to as:

- A. Arbitraging
- **B. Fungibility**
- C. Backwardation
- D. Hedging

Answer: B

Explanation:

Detailed Explanation:

Fungibility implies that individual units of an asset are interchangeable and indistinguishable from one another (e.g., one share of Apple stock is identical to another share; one gold bar is fungible with another of same weight/purity). This allows for standardized trading.

NEW QUESTION # 33

Which of the following is an INCORRECT interpretation of the candlestick piercing pattern?

- A. The smaller the penetration into the price levels of the prior day's real body, the greater the predictive power
- **B. It is a bearish reversal pattern**
- C. It occurs after a downtrend
- D. It is a bullish reversal pattern

Answer: B

Explanation:

Detailed Explanation:

The Piercing Pattern is a bullish reversal pattern (the opposite of Dark Cloud Cover). Therefore, the statement that it is a "bearish reversal pattern" is the INCORRECT one. (Note: Option C is also technically incorrect as deeper penetration usually implies greater power, but B is a definitional error).

NEW QUESTION # 34

Risk can be defined as:

- A. Variability of returns
- **B. All of the above**
- C. Amount of loss per trade
- D. Beta

Answer: B

Explanation:

Detailed Explanation:

In financial markets, risk has multiple dimensions:

* Variability of returns: Standard deviation/volatility.

* Loss per trade: Capital risk.

* Beta: Systemic risk relative to the market.⁷⁴

Technical analysts and portfolio managers consider all these factors.⁷⁵

NEW QUESTION # 35

The CORRECT order of the Industrial Life Cycle is Innovation,

- A. Decay, Consolidation, Maturity
- B. Maturity, Consolidation, Decay

- C. Consolidation, Decay, Maturity
- D. Consolidation, Maturity, Decay

Answer: D

Explanation:

Detailed Explanation:

The standard business/industry life cycle phases are: 1. Innovation (Birth), 2. Consolidation (Growth/Shakeout), 3. Maturity (Saturation), 4. Decay (Decline).

NEW QUESTION # 36

Which months generally have the best returns for stocks?

- A. June, July and August
- B. January, February and March
- C. March, April and May
- D. October, November and January

Answer: D

Explanation:

Detailed Explanation:

Stock market seasonality often exhibits a "Buy in November" pattern. The months of October, November, and January (and generally the winter months) are historically associated with the strongest returns, contrasting with the "Sell in May" period⁴¹.

NEW QUESTION # 37

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