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Success in the PECB Certified ISO 45001 Lead Auditor Exam (ISO-45001-Lead-Auditor) certification exam helps people update their skills. Many aspirants don't find updated PECB ISO-45001-Lead-Auditor practice test questions and fail the final test. This failure in the PECB ISO-45001-Lead-Auditor Exam leads to a loss of money and time. If you are also planning to attempt the PECB Certified ISO 45001 Lead Auditor Exam (ISO-45001-Lead-Auditor) exam and are confused about where to prepare yourself for it then you are at the right place.

## PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> <li>ISO 45001 requirements for an OH&amp;S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.</li> </ul>
Topic 2	<ul style="list-style-type: none"> <li>Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.</li> </ul>
Topic 3	<ul style="list-style-type: none"> <li>Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.</li> </ul>

Topic 4	<ul style="list-style-type: none"> <li>• Conducting an ISO 45001 audit: This section of the exam measures the skills of Lead Auditors and covers the execution of an ISO 45001 audit. It focuses on gathering evidence, interviewing personnel, and assessing compliance with OHSMS requirements during the audit process.</li> </ul>
Topic 5	<ul style="list-style-type: none"> <li>• Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.</li> </ul>

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### PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q75-Q80):

#### NEW QUESTION # 75

You are an audit team leader conducting a Stage 2 audit of a Financial Services Provider. You are currently interviewing the organisation's Risk and Compliance Officer, who appears very competent when it comes to the management of business risks. As the Risk and Compliance Officer, she is also identified as having lead responsibility for the management of OH&S risk, and you are now seeking evidence that she understands the requirements relating to risk that are contained within ISO 45001. During your conversation, she makes the following six statements about risk within an ISO 45001-based OHSMS. Which four of his statements are correct?

- A. Risk assessment is an activity that must be carried out by top management.
- B. The organisation must attempt to identify and address every risk it faces.
- C. The effect of uncertainty (i.e. risk) can result in positive outcomes as well as negative ones.
- D. Risk is often expressed as a combination of likelihood and impact.
- E. The organisation is required to assess risks arising from OH&S hazards.
- F. Although organisations are required to carry out risk management, the method by which they do so is up to them.

**Answer: C,D,E,F**

Explanation:

Analysis of Options:

\* A. The organisation must attempt to identify and address every risk it faces:Incorrect. ISO 45001 focuses on OH&S risks and not every risk faced by the organization.

\* B. The effect of uncertainty (i.e. risk) can result in positive outcomes as well as negative ones:

Correct. Clause 3.20 defines risk as the "effect of uncertainty," which may result in positive or negative outcomes.

\* C. Although organisations are required to carry out risk management, the method by which they do so is up to them:Correct. ISO 45001 does not prescribe specific risk management methods, leaving the organization to choose the approach that best suits its context (Clause 6.1.2).

\* D. Risk assessment is an activity that must be carried out by top management:Incorrect. Risk assessment can involve workers and other personnel; it is not limited to top management.

\* E. The organisation is required to assess risks arising from OH&S hazards:Correct. Clause 6.1.2.2 requires organizations to assess OH&S risks associated with hazards.

\* F. Risk is often expressed as a combination of likelihood and impact:Correct. This is a common way to express risk, aligned with Clause 6.1.2.

ISO References:

\* Clause 3.20: Definition of risk.

\* Clause 6.1.2: Hazard identification and risk assessment

### NEW QUESTION # 76

Showitoff is an organization specialization in the design and production of wall decorating materials for the domestic market. During an ISO 45001 certification audit of the site, the auditor comes across an open, walled area just outside the maintenance department. It contains various scraps of wood and metal as well as several rusty components. Several heavy concrete beams are leaning against a thin brick wall in which cracks are available. When asked about it, the OHS Manager states that he presumes that the materials come from maintenance work, so it is the Maintenance Manager's responsibility.

The auditor interviews the Maintenance Manager in his department. He asks about the area outside and is told that it contains some excess material that the Manager likes to keep in case they come in handy at some stage. The auditor points out that the wall appears to be unstable and could collapse at any moment. The Maintenance Manager is not aware of such a situation.

The auditor decides to review the process for evaluation of compliance with health and safety regulations in more depth.

Select six options that provide a meaningful audit trail for this process.

- A. What input does the Maintenance Manager have in the determination of legal compliance?
- B. What are the qualifications of the OHS Manager and Maintenance Managers?
- C. What knowledge does the OHS Manager have in relevant safety legislation?
- D. What hazards have been identified as being associated with regulations?
- E. How are updates to OH&S regulations monitored?
- F. How are maintenance staff made aware of regulatory requirements?
- G. How are OH&S objectives verified?
- H. How is the cost of safety improvements calculated?
- I. What is the cost of repairing the wall?
- J. How are OHSMS records of compliance evaluations controlled and managed?

**Answer: A,C,D,E,F,J**

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit Reference An audit trail for evaluating compliance with regulations should focus on the identification, communication, and monitoring of legal and other requirements. Clause 9.1.2 of ISO 45001:2018 requires organizations to evaluate compliance with applicable OH&S legal and other requirements.

Analysis of Options:

A: What is the cost of repairing the wall?

Irrelevant to compliance evaluation, as cost considerations are not part of legal compliance.

B: What hazards have been identified as being associated with regulations?

Correct. Identifying hazards is a critical step in understanding compliance obligations (Clause 6.1.2).

C: How are maintenance staff made aware of regulatory requirements?

Correct. Communication and training are vital for compliance (Clause 7.3).

D: How are OH&S objectives verified?

Not directly relevant to compliance evaluations, as objectives pertain to performance improvement.

E: How are OHSMS records of compliance evaluations controlled and managed?

Correct. Proper documentation and record-keeping are essential for demonstrating compliance (Clause 7.5).

F: How are updates to OH&S regulations monitored?

Correct. Monitoring regulatory changes is critical for maintaining compliance (Clause 6.1.3).

G: How is the cost of safety improvements calculated?

Irrelevant to compliance, as cost analysis is not required by ISO 45001.

H: What are the qualifications of the OHS Manager and Maintenance Manager?

While competence is important, this does not directly relate to compliance evaluations.

I: What input does the Maintenance Manager have in the determination of legal compliance?

Correct. Understanding the roles and responsibilities of key personnel ensures effective compliance (Clause 5.3).

J: What knowledge does the OHS Manager have in relevant safety legislation?

Correct. Awareness of applicable legislation is critical for effective compliance evaluation (Clause 7.2).

ISO Reference:

Clause 9.1.2: Evaluation of compliance.

Clause 6.1.2: Hazard identification and risk assessment.

Clause 7.5: Documented information.

### NEW QUESTION # 77

Worker's participation is a key factor for the success of OHSMS. Which three of the following ISO 45001 activities require workers' participation?

- A. Determining actions to eliminate hazards
- B. Establishing OHS policy
- C. Determining what needs to be communicated
- D. Establishing OHS objectives
- E. Determining competence requirements
- F. Managing internal audit programme

**Answer: A,B,D**

Explanation:

Worker participation is a fundamental principle of ISO 45001 (Clause 5.4). It ensures workers at all levels are involved in key activities to enhance the OH&S management system's effectiveness.

Analysis of Options:

- \* A. Determining competence requirements:Incorrect. Determining competence is primarily the responsibility of management, though workers may provide input.
- \* B. Establishing OHS objectives:Correct. Worker involvement ensures objectives are realistic and relevant to workplace conditions (Clause 6.2).
- \* C. Managing internal audit programme:Incorrect. Managing audits is typically a managerial responsibility, though workers may participate in audits.
- \* D. Determining actions to eliminate hazards:Correct. Workers' insights are critical in identifying and implementing actions to eliminate hazards (Clause 8.1.2).
- \* E. Determining what needs to be communicated:Incorrect. While communication strategies impact workers, their participation in determining communication needs is not explicitly required.
- \* F. Establishing OHS policy:Correct. Workers should be involved in developing policies to ensure relevance and buy-in (Clause 5.2).

ISO References:

- \* Clause 5.4: Worker participation.
- \* Clause 6.2: OHS objectives.
- \* Clause 8.1.2: Hierarchy of controls and hazard elimination.

### NEW QUESTION # 78

Which two of the following are examples of audit objectives for the audit team?

- A. To implement any necessary corrective action.
- B. To reduce instances of injury and ill health.
- C. To minimise risk resulting from the audit process.
- D. To improve overall health and safety performance.
- E. To complete the audit on time.

**Answer: C,E**

Explanation:

Audit objectives for the audit team focus on ensuring the audit process is executed effectively, efficiently, and without causing undue disruption to the organization being audited. ISO 19011:2018 provides guidance on setting audit objectives.

Analysis of Options:

- \* A. To reduce instances of injury and ill health:Incorrect. This is an objective of the OH&S management system, not of the audit itself.
- \* B. To minimise risk resulting from the audit process:Correct. ISO 19011 emphasizes managing risks to the auditee and the audit process during audits (Clause 5.4).
- \* C. To improve overall health and safety performance:Incorrect. Improving health and safety performance is an outcome of the OH&S management system, not the audit team's objective.
- \* D. To complete the audit on time:Correct. Ensuring timely completion of the audit aligns with effective audit management.
- \* E. To implement any necessary corrective action:Incorrect. Implementing corrective actions is the auditee's responsibility, not the audit team's.

References:

ISO 45001:2018:

Clause 5.4: Worker consultation and participation.

Clause 6.2: OH&S objectives and planning.

Clause 8.1.2: Hierarchy of controls and worker involvement.

ISO 19011:2018: Guidelines for auditing management systems.

### NEW QUESTION # 79

An internal auditor of a manufacturer of plastic packaging products for the food industry raised a nonconformity against section 10.2 of ISO 45001 in Report IA202. The nonconformity (NC3) stated: "The level of reported health and safety incidents has increased by 9.7% over the last 12 months. This included an increase in reported accidents." A third-party auditor reviewing the internal audit process came across the nonconformity and found that there was no evidence of having been reported to relevant staff. Select three of the following nonconformities that the auditor could raise to ISO 45001.

- A. 8.1.1 - Operations were not properly controlled to avoid health and safety incidents.
- B. 9.2.2.e - Management failed to take any action to deal with the audit nonconformity.
- C. 7.4.3 - The results of the internal audit IA202 were not communicated to interested parties.
- D. 9.2.2.d - Staff were not made aware that health and safety incidents were increasing.
- E. 9.2.2 - Report IA202 contained a poorly worded nonconformity (NC3).
- F. 10.2.b - The root cause of the increase in reported health and safety accidents was not investigated.

**Answer: B,C,F**

Explanation:

Clause 10.2 requires organizations to investigate nonconformities, determine their causes, and take corrective actions to prevent recurrence. Clause 9.2.2 specifies the requirements for managing internal audit processes, while Clause 7.4.3 emphasizes the need to communicate relevant information effectively.

Analysis of Options:

A . 9.2.2.e - Management failed to take any action to deal with the audit nonconformity:

Correct. Management must ensure that internal audit findings are addressed promptly. The lack of action violates Clause 9.2.2.e.

B . 9.2.2.d - Staff were not made aware that health and safety incidents were increasing:

Incorrect. This clause pertains to the planning and conduct of audits, not the communication of findings.

C . 8.1.1 - Operations were not properly controlled to avoid health and safety incidents:

Incorrect. While increased incidents may suggest operational issues, this option does not directly relate to the internal audit findings.

D . 9.2.2 - Report IA202 contained a poorly worded nonconformity (NC3):

Incorrect. The clarity of the nonconformity wording is not directly relevant to Clause 9.2.2.

E . 10.2.b - The root cause of the increase in reported health and safety accidents was not investigated:

Correct. Failure to investigate root causes violates Clause 10.2.b.

F . 7.4.3 - The results of the internal audit IA202 were not communicated to interested parties:

Correct. Effective communication of audit results is required under Clause 7.4.3.

ISO Reference:

Clause 9.2.2: Internal audit process requirements.

Clause 10.2: Nonconformity and corrective action.

Clause 7.4.3: Communication requirements.

### NEW QUESTION # 80

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