

CMT Association CMT-Level-I Exam Questions In 3 User-Friendly Formats



The following document contains sample questions. The questions have been constructed and phrased in a manner that is like past exams. The objective is to provide an indication of the question structure, language and scope of the CMT Level I exam.

Important points to note

- Although these sample questions are patterned after those that have been used in the actual exam, there is a chance that the questions appearing in the exam may be significantly different from these samples. Candidates are cautioned *not to expect* exact replicas of the questions they will see in their exam.
- The order in which questions appear here has no bearing on the order in which questions will appear in the actual exam. Questions are delivered in random sequence on the actual exam.
- The actual exam consists of 132 questions of which 120 are scored items. The remaining 12 questions are under trial for future use.
- You will have 2 hours to complete the 132 questions on the exam.
- The exam is delivered on a computer in Prometric testing facilities. Please be sure to schedule your exam well in advance.

Please note that these sample questions have been prepared independently of the exam to ensure that the questions in the actual exam remain secure and confidential. The CMT Association maintains a [discussion forum for CMT candidates](#). Candidates are encouraged to utilize this resource to discuss and clarify their understanding of the subject matter.

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The CMT-Level-I Exam is open to anyone who is interested in technical analysis and wants to gain a deeper understanding of the financial markets. However, it is recommended that candidates have at least two years of experience in the financial industry and possess a basic understanding of finance and economics. Candidates who pass the exam are awarded the CMT Level I designation, which demonstrates their proficiency in technical analysis and their commitment to advancing their knowledge in the field.

Passing the CMT-Level-I Exam is the first step towards earning the CMT designation. Candidates who pass the CMT-Level-I Exam are eligible to take the CMT-Level-II Exam, which covers more advanced topics in technical analysis, including Elliott Wave theory, Fibonacci retracements, and advanced charting techniques. Candidates who pass both the CMT-Level-I and CMT-Level-II exams are eligible to take the CMT-Level-III Exam, which is focused on portfolio management and risk management. The CMT designation is recognized globally as a mark of expertise in technical analysis and is highly valued by employers in the financial industry.

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The CMT-Level-I (CMT Level I) Certification Exam is a rigorous test of knowledge and skills in the field of technical analysis. It is designed to ensure that candidates have a solid foundation in the basics of technical analysis and are able to apply that knowledge to real-world situations. Those who pass the exam will earn the CMT Level I designation, which is a valuable credential for anyone working in the financial industry.

CMT Association CMT Level 1 Exam Sample Questions (Q260-Q265):

NEW QUESTION # 260

Which tools could you use in candle pattern filtering?

- A. Dow Theory
- **B. RSI and MACD**
- C. Point and Figure chart
- D. Cycles

Answer: B

Explanation:

Detailed Explanation:

Oscillators like the Relative Strength Index (RSI) and Moving Average Convergence Divergence (MACD) are excellent tools for filtering. For example, a bullish hammer candle is more reliable if it occurs when the RSI is oversold or showing bullish divergence.

NEW QUESTION # 261

Which of the following BEST describes equity market performance during the presidential election cycle?

- **A. Below average year during first year of president's term, strongest returns during the pre-election year or in the election year**
- B. Above average year during first year, negative returns during pre-election
- C. Strongest year during first year of president's term, worst returns during the pre-election year
- D. Below average first year, strongest returns in second year

Answer: A

Explanation:

Detailed Explanation:

Historically, the U.S. stock market tends to be weakest in the first year (post-election hangover/policy uncertainty) and strongest in the third year (pre-election) and fourth year (election year) as administrations pump liquidity to boost the economy before re-election.

NEW QUESTION # 262

If the New High-New Low Index declines while the broad market stays flat or rallies, it is time to:

- A. Initiate fresh shorts
- B. Take profits on short positions
- **C. Take profits on long positions**
- D. Initiate fresh longs

Answer: C

Explanation:

Detailed Explanation:

This scenario describes a bearish divergence. The market is holding up or rising, but fewer stocks are making new highs (internal weakness). This deterioration in breadth often precedes a market decline, making it prudent to take profits on long positions or tighten stops.

NEW QUESTION # 263

Technicians believe that:

- A. Price precedes volume
- B. Volume and price are typically coincident indicators
- C. Volume precedes price
- D. Volume matters most during breakouts

Answer: C

Explanation:

Detailed Explanation:

A common axiom in technical analysis is that "volume precedes price." Significant changes in supply and demand (volume) often occur before the price itself reacts, signaling an impending trend change or breakout.

NEW QUESTION # 264

Technical analysts generally believe:

- A. Price whipsaws can be avoided using trendlines
- B. That trendline analysis cannot be applied to point and figure charts
- C. Trendlines are useful for validation of price gaps
- D. A price close beyond the trendline is more significant than an intra-day penetration

Answer: D

Explanation:

Detailed Explanation:

Intraday penetrations of a trendline are often noise or "whipsaws." Technicians place far greater weight on the closing price. A close beyond the trendline confirms that market sentiment has shifted and sustained that shift through the end of the session.

NEW QUESTION # 265

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