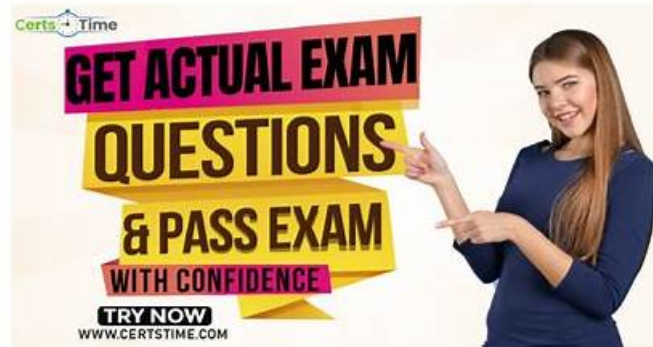


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IAPP AIGP Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.
Topic 2	<ul style="list-style-type: none"> Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.
Topic 3	<ul style="list-style-type: none"> Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.
Topic 4	<ul style="list-style-type: none"> Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.

IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q152-Q157):

NEW QUESTION # 152

CASE STUDY

Please use the following answer the next question:

ABC Corp, is a leading insurance provider offering a range of coverage options to individuals. ABC has decided to utilize artificial intelligence to streamline and improve its customer acquisition and underwriting process, including the accuracy and efficiency of pricing policies.

ABC has engaged a cloud provider to utilize and fine-tune its pre-trained, general purpose large language model ("LLM"). In particular, ABC intends to use its historical customer data-including applications, policies, and claims-and proprietary pricing and risk strategies to provide an initial qualification assessment of potential customers, which would then be routed a human underwriter for final review.

ABC and the cloud provider have completed training and testing the LLM, performed a readiness assessment, and made the decision to deploy the LLM into production. ABC has designated an internal compliance team to monitor the model during the first

month, specifically to evaluate the accuracy, fairness, and reliability of its output. After the first month in production, ABC realizes that the LLM declines a higher percentage of women's loan applications due primarily to women historically receiving lower salaries than men.

Which of the following is the most important reason to train the underwriters on the model prior to deployment?

- **A. To apply their own judgment to the initial assessment.**
- B. To solicit on-going feedback on model performance.
- C. To ensure they provide transparency applicants on the model.
- D. To provide a reminder of a right appeal.

Answer: A

Explanation:

Training underwriters on the model prior to deployment is crucial so they can apply their own judgment to the initial assessment. While AI models can streamline the process, human judgment is still essential to catch nuances that the model might miss or to account for any biases or errors in the model's decision-making process.

Reference: The AIGP Body of Knowledge emphasizes the importance of human oversight in AI systems, particularly in high-stakes areas such as underwriting and loan approvals. Human underwriters can provide a critical review and ensure that the model's assessments are accurate and fair, integrating their expertise and understanding of complex cases.

NEW QUESTION # 153

What is the primary purpose of an AI impact assessment?

- A. To define and document the roles and responsibilities of AI stakeholders.
- **B. Anticipate and manage the potential risks and harms of an AI system.**
- C. To identify and measure the benefits of an AI system.
- D. To define and evaluate the legal risks associated with developing an AI system.

Answer: B

Explanation:

The primary purpose of an AI impact assessment is to anticipate and manage the potential risks and harms of an AI system. This includes identifying the possible negative outcomes and implementing measures to mitigate these risks. This process helps ensure that AI systems are developed and deployed in a manner that is ethically and socially responsible, addressing concerns such as bias, fairness, transparency, and accountability.

The assessment often involves a thorough evaluation of the AI system's design, data inputs, outputs, and the potential impact on various stakeholders. This approach is crucial for maintaining public trust and adherence to regulatory requirements.

NEW QUESTION # 154

A Canadian company is developing an AI solution to evaluate candidates in the course of job interviews.

Before offering the AI solution in the EU market, the company must take all of the following steps EXCEPT?

- A. Engage a third-party auditor to perform a bias audit.
- B. Draw up technical documentation and instructions for use.
- C. Establish a risk and quality management system.
- **D. Register the AI solution in a public EU database.**

Answer: D

Explanation:

Before offering an AI solution in the EU market, a Canadian company must take several steps to comply with the EU AI Act. These steps include establishing a risk and quality management system (B), engaging a third-party auditor to perform a bias audit (C), and drawing up technical documentation and instructions for use (D). However, there is no requirement to register the AI solution in a public EU database (A). This registration step is not specified as part of the compliance requirements under the EU AI Act for such solutions.

NEW QUESTION # 155

What is the most important reason to document the results of AI testing?

- A. To limit the need for future testing cycles.
- B. To support post-deployment maintenance.
- C. To identify areas for red-teaming focus.
- D. To create a verifiable audit trail.

Answer: D

Explanation:

Testing results need to be documented thoroughly to ensure traceability, accountability, and compliance.

This is central to enabling audits, investigations, or regulatory inquiries into the system's development and performance.

From the AI Governance in Practice Report 2024:

"Documentation and recordkeeping are essential components... to demonstrate AI system compliance, trace system behavior, and support audits and conformity assessments." (p. 34-35)

"Maintaining audit trails across development and deployment enables transparency and accountability." (p. 12)

* A and B are benefits, but not the primary governance justification.

* D- Limiting future testing is not a recommended goal.

NEW QUESTION # 156

The best practice to manage third-party risk associated with AI systems is to create and implement policies that?

- A. Provide for an appropriate level of due diligence and ongoing monitoring based on the defined risk.
- B. Focus on the financial stability of third-party vendors as the primary criterion for risk assessment.
- C. Focus on the technical aspects of AI systems, such as data security, while ethical risks are addressed through suitable contracts.
- D. Require third-party AI systems to undergo a comprehensive audit by an external cybersecurity firm every six months.

Answer: A

Explanation:

Third-party risk management for AI systems should be proportional and risk-based, involving initial due diligence and ongoing monitoring that reflects the level of risk posed by the third party's AI system.

From the AI Governance in Practice Report 2024:

"Third-party due diligence assessments to identify possible external risk and inform selection." (p. 11)

"Legal due diligence may include verification of the personal data's lawful collection by the data broker, review of contractual obligations..." (p. 19)

* A focuses too narrowly on financial stability.

* C is excessive and not scalable or aligned with best practices.

* D inappropriately separates ethical and technical risks; both must be evaluated holistically.

NEW QUESTION # 157

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