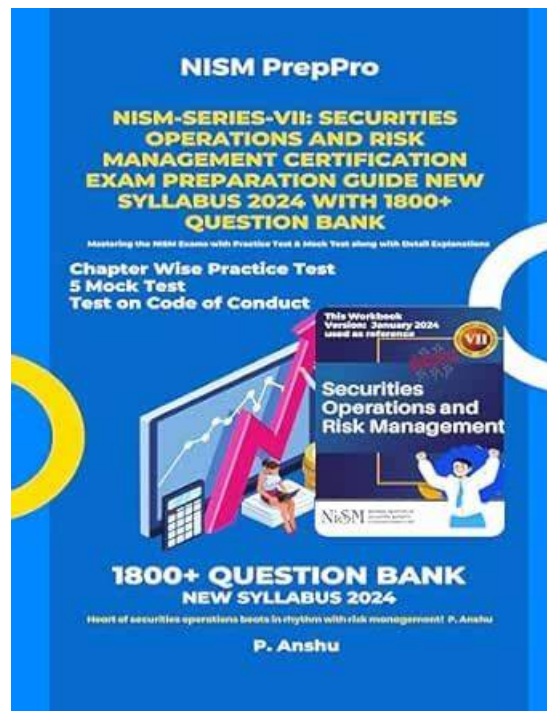


Reliable NISM-Series-VII Test Preparation, Valid NISM-Series-VII Exam Materials



2026 Latest Dumpexams NISM-Series-VII PDF Dumps and NISM-Series-VII Exam Engine Free Share:
https://drive.google.com/open?id=1YfwEuY6l38_hgQ1vpA7rVdUfw9d0uVc0

It is the dream of every certification candidate to crack the NISM Series VII - Securities Operations and Risk Management Certification NISM-Series-VII examination on the first sitting. Success in the NISM Series VII - Securities Operations and Risk Management Certification NISM-Series-VII exam brings multiple career benefits. You become eligible for high-paying jobs and promotions in your current firm after earning the NISM Series VII - Securities Operations and Risk Management Certification NISM-Series-VII Certification. Since the NISM Series VII - Securities Operations and Risk Management Certification NISM-Series-VII exam registration fee is hefty, therefore, you will not want to fail the NISM-Series-VII Exam and pay this fee for the second time.

NISM NISM-Series-VII Exam Syllabus Topics:

Section	Objectives
Risk Management in Securities Markets	- Risk mitigation systems • 1. Margins and collateral systems • 2. Surveillance and compliance mechanisms - Market and operational risk • 1. Types of financial risks • 2. Operational risk controls
Clearing and Settlement	- Clearing mechanisms • 1. Clearing corporations and counterparties • 2. Netting and settlement obligations - Settlement systems • 1. T+1/T+2 settlement cycles • 2. Margining and risk adjustments

Securities Market Operations	- Trading process • 1. Order execution and trade lifecycle • 2. Trade confirmation and reporting - Market participants and structure • 1. Roles of brokers, exchanges, and investors • 2. Order types and trading mechanism basics
Depository Operations	- Corporate actions • 1. Record dates and entitlement processing • 2. Dividends, bonuses, splits - Demat account framework • 1. Account opening and maintenance • 2. NSDL and CDSL roles

>> Reliable NISM-Series-VII Test Preparation <<

2026 Reliable NISM-Series-VII Test Preparation Free PDF | Efficient Valid NISM-Series-VII Exam Materials: NISM Series VII - Securities Operations and Risk Management Certification

Elementary NISM-Series-VII practice materials as representatives in the line are enjoying high reputation in the market rather than some useless practice materials which cash in on your worries. We can relieve you of uptight mood and serve as a considerate and responsible company which never shirks responsibility. It is easy to get advancement by our NISM-Series-VII practice materials. On the cutting edge of this line for over ten years, we are trustworthy company you can really count on.

NISM Series VII - Securities Operations and Risk Management Certification Sample Questions (Q56-Q61):

NEW QUESTION # 56

Under the regulatory framework defined in Section 24 of the Companies Act, 2013, the administration of specific provisions for listed companies (or those proposed to be listed) is delegated to the Securities and Exchange Board of India (SEBI). Which of the following matters is EXCLUSIVELY administered by SEBI under this delegation, while remaining otherwise under the Central Government's administration?

- A. Registration of the company's memorandum of association
- B. Conduct of Annual General Meetings
- C. Non-payment of dividend
- D. Appointment of key managerial personnel
- E. Incorporation of the company

Answer: C

Explanation:

Section 24 of the Companies Act, 2013, delegates the power to regulate the issue and transfer of securities and the non-payment of dividend by listed companies (or those intending to get listed) to SEBI. All other provisions of the Companies Act are administered by the Central Government (Ministry of Corporate Affairs).

NEW QUESTION # 57

When a stock broker undertakes 'Video In-Person Verification' (VIPV) for client on-boarding through a digital medium, which of the following operational conditions must be strictly ensured?

- A. The VIPV recording is optional if the client provides a digitally signed self-declaration.
- B. The VIPV process can be fully automated using Artificial Intelligence without the need for any authorized official's interaction.
- C. The intermediary shall ensure that the photograph downloaded through the Aadhaar authentication process matches with the investor in the VIPV.
- D. The client is not required to display the officially valid document in the video if Aadhaar e-KYC is used.
- E. The VIPV must be conducted offline and the recording uploaded to the KRA system later.

Answer: C

Explanation:

The source states that for VIPV: 'The intermediary shall ensure that photograph of the client downloaded through the Aadhaar authentication / verification process matches with the investor in the VIPV.' Also, the VIPV must be in a live environment, and include random questions/responses.

NEW QUESTION # 58

Select the correct combination of statements regarding the expiry cycle and settlement of Long Dated Index Options and Equity Index Futures in the Indian Securities Market.

- A. Statement I: All Index Futures are physically settled. Statement II: Long dated options expire on the first Thursday of the quarter.
- B. Statement I: Index Futures expire on the last Friday of the month. Statement II: Long dated options follow only a monthly expiry cycle.
- C. Statement I: All Index Futures are cash settled. Statement II: Long dated options are available up to a 5-year expiry cycle with quarterly and half-yearly expiries.
- D. Statement I: Index Futures are physically settled. Statement II: Long dated options have a 3-year expiry cycle.
- E. Statement I: Index Futures are cash settled. Statement II: Long dated options have a maximum expiry of 12 months.

Answer: C

Explanation:

The source states: 'All Index future and option contracts are cash settled.' Regarding expiry cycles: 'Monthly index contracts generally have 3-month expiry cycle except for the long dated options contracts which are available up to 5-year expiry cycle with quarterly expiries (March, June, Sept & Dec cycle) and half yearly expiries (Jun, Dec cycle).'

NEW QUESTION # 59

Which of the following statements correctly differentiates the operational and regulatory features of 'Triparty Repo' (TREPS) and 'Repo in Corporate Bonds' in the Indian Debt Market?

- A. In TREPS, the seller of the security has a right to substitute the security, and funds borrowed are exempted from CRR/SLR computation.
- B. In TREPS, the seller cannot substitute the security during the repo period, whereas in Corporate Bond Repo, substitution is mandatory.
- C. Corporate Bond Repo allows participants to borrow funds against the collateral of their own securities, whereas TREPS prohibits this.
- D. Triparty Repo on corporate bonds uses the NDS-OM platform, while Government Securities use the RFQ platform.
- E. Settlement for Corporate Bond Repo is guaranteed by the RBI directly, while TREPS is settled bilaterally without a central counterparty.

Answer: A

Explanation:

The source specifies regarding TREPS: 'Unlike Repo, TREPS facilitate the trading of Repo and the seller of the security has a right to substitute the security.' Also, 'funds borrowed on TREPS are exempted from RBI's CRR/SLR computation.' In contrast, for Repo in Corporate Bonds, the source notes: 'participants cannot borrow against the collateral of their own securities or those of related entities'.

NEW QUESTION # 60

Why are Institutional Investors (including Foreign Portfolio Investors) explicitly excluded from the mechanism of Net Settlement of cash segment and physical settlement of F&O segment upon expiry?

- A. Because their trading volumes are too high to be accommodated in a net settlement process.
- **B. Because the extant regulatory framework specifies that all transactions by institutional investors in the cash market should be backed by delivery.**
- C. Because they are subject to different tax treaties which prevent segment-wise netting.
- D. Because they are not required to pay margins in the F&O segment.
- E. Because they trade through Custodians who do not have clearing rights in the F&O segment.

Answer: B

Explanation:

Netting of settlement obligations of cash segment and physical settlement of F&O segment shall not be available for the institutional investors (including all categories of FPIs) since the extant regulatory framework specifies that all transactions by the institutional investors (including all categories of Foreign Portfolio Investors) in cash market should be backed by delivery.

NEW QUESTION # 61

.....

The reason why many people choose Dumpexams is that Dumpexams brings more convenience. IT elites of Dumpexams use their professional eye to search the latest NISM-Series-VII certification training materials, which ensure the accuracy of our NISM-Series-VII Exam Dumps. If you still worry, you can download NISM-Series-VII free demo before purchase.

Valid NISM-Series-VII Exam Materials: <https://www.dumpexams.com/NISM-Series-VII-real-answers.html>

- NISM-Series-VII Latest Exam Pattern ☐ Official NISM-Series-VII Study Guide ☐ NISM-Series-VII Reliable Braindumps Free ☐ Immediately open > www.prepawayete.com < and search for ✓ NISM-Series-VII ☐ ✓ ☐ to obtain a free download ☐ Well NISM-Series-VII Prep
- NISM-Series-VII Dumps ☐ NISM-Series-VII Test Assessment ☐ NISM-Series-VII Test Assessment ☐ Go to website ➡ www.pdfvce.com ☐ open and search for ✨ NISM-Series-VII ☐ ✨ ☐ to download for free ☐ NISM-Series-VII New Exam Bootcamp
- NISM - Fantastic Reliable NISM-Series-VII Test Preparation ☐ Copy URL ☐ www.testkingpass.com ☐ open and search for ✓ NISM-Series-VII ☐ ✓ ☐ to download for free ☐ Latest NISM-Series-VII Braindumps Sheet
- Latest NISM-Series-VII Exam Torrent Must Be a Great Beginning to Prepare for Your Exam - Pdfvce ☐ Enter “www.pdfvce.com” and search for (NISM-Series-VII) to download for free ☐ NISM-Series-VII Reliable Braindumps Free
- NISM-Series-VII Exam Certification ☐ NISM-Series-VII Dumps ☐ Reliable NISM-Series-VII Test Notes ☐ Open website ➤ www.pdfdumps.com ☐ and search for ➡ NISM-Series-VII ☐ for free download ☐ Exam NISM-Series-VII Topics
- New NISM-Series-VII Exam Review ☐ Official NISM-Series-VII Study Guide ☐ Latest NISM-Series-VII Dumps Book ☐ Simply search for ➡ NISM-Series-VII ☐ for free download on 「 www.pdfvce.com 」 ☐ NISM-Series-VII Reliable Braindumps Free
- Pdf NISM-Series-VII Dumps ☐ New NISM-Series-VII Exam Review ☐ NISM-Series-VII Latest Exam Pattern ☐ Download ☐ NISM-Series-VII ☐ for free by simply entering “www.validtorrent.com” website ☐ Latest NISM-Series-VII Dumps Book
- 100% Pass Newest NISM - NISM-Series-VII - Reliable NISM Series VII - Securities Operations and Risk Management Certification Test Preparation ☐ Download ✨ NISM-Series-VII ☐ ✨ ☐ for free by simply entering { www.pdfvce.com } website ☐ Valid NISM-Series-VII Exam Objectives
- Latest NISM-Series-VII Dumps Book ☐ Official NISM-Series-VII Study Guide ☐ NISM-Series-VII Reliable Braindumps Free ☐ Search for ☐ NISM-Series-VII ☐ and download it for free on ☐ www.testkingpass.com ☐ website ☐ ☐ New NISM-Series-VII Exam Review
- NISM-Series-VII Exam Certification ☐ NISM-Series-VII Actual Exam Dumps ☐ Official NISM-Series-VII Study Guide ☐ Search for ⇒ NISM-Series-VII ⇐ and obtain a free download on “www.pdfvce.com” ☐ NISM-Series-VII Dumps
- Pass Guaranteed Quiz NISM Marvelous NISM-Series-VII - Reliable NISM Series VII - Securities Operations and Risk Management Certification Test Preparation ☐ Immediately open ➡ www.prepawaypdf.com ☐ and search for ☐ NISM-Series-VII ☐ to obtain a free download ☐ NISM-Series-VII New Exam Bootcamp
- www.stes.tyc.edu.tw, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, www.stes.tyc.edu.tw,

www.stes.tyc.edu.tw, www.stes.tyc.edu.tw, www.stes.tyc.edu.tw, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt,
myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt,
myportal.utt.edu.tt, www.chordie.com, www.stes.tyc.edu.tw, www.stes.tyc.edu.tw, Disposable vapes

2026 Latest Dumpexams NISM-Series-VII PDF Dumps and NISM-Series-VII Exam Engine Free Share:
https://drive.google.com/open?id=1YfwEuY6B38_hgQ1vpA7rVdUfw9d0uVc0