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IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q14-Q19):

NEW QUESTION # 14

All of the following are included within the scope of post-deployment AI maintenance EXCEPT?

- A. Ensuring that all model components are subject a control framework.
- B. Dedicating experts to continually monitor the model output.
- C. Evaluating the need for an audit under certain standards.

- **D. Defining thresholds to conduct new impact assessments.**

Answer: D

Explanation:

Post-deployment AI maintenance typically includes ensuring that all model components are subject to a control framework, dedicating experts to continually monitor the model output, and evaluating the need for audits under certain standards. However, defining thresholds to conduct new impact assessments is usually part of the initial deployment and ongoing governance processes rather than a maintenance activity.

Maintenance focuses more on the operational aspects of the AI system rather than setting new thresholds for impact assessments.

Reference: AIGP BODY OF KNOWLEDGE, sections discussing AI lifecycle management and post-deployment activities.

NEW QUESTION # 15

A company that deploys AI but is not currently a provider or developer intends to develop and market its own AI system. Which obligation would then be likely to apply?

- A. Implementing a risk management framework.
- **B. Developing documentation on the system, the potential risks and the safeguards applied.**
- C. Conducting an impact assessment including a post-deployment monitoring plan.
- D. Developing a reporting plan for any observed algorithmic discrimination or harms to individuals' rights and freedoms.

Answer: B

Explanation:

Once a company moves from being a deployer to also acting as a provider or developer, it assumes new obligations under regulations like the EU AI Act. One of the core requirements for providers is to produce and maintain technical documentation, including descriptions of the model, associated risks, and mitigation strategies.

From the AI Governance in Practice Report 2024:

"Providers of high-risk AI systems must draw up technical documentation demonstrating the system's conformity with the requirements... including potential risks and safeguards applied." (p. 34)

"This documentation must be available before placing the system on the market." (p. 35)

NEW QUESTION # 16

A shipping service based in the US is looking to expand its operations into the EU. It utilizes an in-house developed multimodal AI model that analyzes all personal data collected from shipping senders and recipients, and optimizes shipping routes and schedules based on this data.

As they expand into the EU, all of the following descriptions should be included in the technical documentation for their AI model EXCEPT?

- **A. A description of the prioritization of the risks of deployment of the AI system**
- B. A general description of the AI system.
- C. A detailed description of the elements of the AI system and of the process for its development.
- D. A description of the appropriateness of the performance metrics for the specific AI system.

Answer: A

Explanation:

The EU AI Act outlines what must be included in technical documentation for high-risk systems. These requirements are designed to support conformity assessment, transparency, and traceability.

From the AI Governance in Practice Report 2025:

"It mandates drawing up technical documentation... must include a general description of the AI system, the intended purpose, and a detailed description of the elements and development process." (p. 34)

"Documentation... includes training, testing, evaluation procedures, and appropriateness of performance metrics." (p. 34-35) The risk management system is addressed separately through a risk management plan, not within the technical documentation itself.

Thus:

* A, C, and D are explicitly required in the technical documentation.

* B, while important, is part of the risk management process, not a required section of technical documentation.

NEW QUESTION # 17

CASE STUDY

A global marketing agency is adapting a large language model ("LLM") to generate content for an upcoming marketing campaign for a client's new product: a hard hat designed for construction workers of any gender to better protect them from head injuries. The marketing agency is accessing the LLM through an application programming interface ("API") developed by a third-party technology company. They want to generate text to be used for targeted advertising communications that highlight the benefits of the hard hat to potential purchasers. Both the marketing agency and the technology company have taken reasonable steps to address AI governance.

The marketing company has:

- * Entered into a contract with the technology company with suitable representations and warranties.
- * Completed an impact assessment on the LLM for this intended use.
- * Built technical guidance on how to measure and mitigate bias in the LLM.
- * Enabled technical aspects of transparency, explainability, robustness and privacy.
- * Followed applicable regulatory requirements.
- * Created specific legal statements and disclosures regarding the use of the AI on its client's advertising.

The technology company has:

- * Provided guidance and resources to developers to address environmental concerns.
- * Built technical guidance on how to measure and mitigate bias in the LLM.
- * Provided tools and resources to measure bias specific to the LLM.
- * Enabled technical aspects of transparency, explainability, robustness and privacy.
- * Mapped and mitigated potential societal harms and large-scale impacts.
- * Followed applicable regulatory requirements and industry standards.
- * Created specific legal statements and disclosures regarding the LLM, including with respect to IP and rights to data.

The marketing company and its tech provider have taken reasonable steps to govern the AI's use, including legal disclosures, impact assessments, and bias mitigation. However, the company wants to take one more step to improve governance and reduce risks related to ongoing oversight and accountability.

While the marketing agency took steps to mitigate its risks, the best additional step would be to:

- A. Evaluate the use of AI in the marketing industry to identify best practices
- B. Negotiate an intellectual property indemnity from the technology company
- C. Engage a third party to lead the procurement selection process
- **D. Establish a governance committee to oversee the project**

Answer: D

Explanation:

The correct answer is D. Forming a dedicated governance committee ensures continuous oversight, role clarity, and accountability throughout the AI lifecycle.

From the AIGP ILT Guide - Governance Structures:

"Organizations using AI in high-impact scenarios should establish a governance body responsible for oversight of risk, compliance, and ethical alignment." Also reflected in AI Governance in Practice Report 2024:

"Committees support cross-functional decision-making, provide guidance for updates, and maintain accountability. This is especially critical for high-stakes applications like marketing to diverse audiences." Options A, B, and C are valid supplementary actions, but D offers a long-term and systematic governance mechanism.

NEW QUESTION # 18

A US company has developed an AI system, CrimeBuster 9619, that collects information about incarcerated individuals to help parole boards predict whether someone is likely to commit another crime if released from prison.

When considering expanding to the EU market, this type of technology would?

- A. Require the company to register the tool with the EU database.
- **B. Be banned under the EU AI Act.**
- C. Require a detailed conformity assessment.
- D. Be subject approval by the relevant EU authority.

Answer: B

Explanation:

Under the EU AI Act, high-risk AI systems like CrimeBuster 9619 would require a detailed conformity assessment before being deployed in the EU market. This assessment ensures that the AI system complies with all relevant regulations and standards,

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