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APEGS National Professional Practice Examination (NPPE) Exam Sample Questions (Q199-Q204):

NEW QUESTION # 199

Empowered through their respective legislative Acts, professional regulators have the ability to impose consequences on their members if they are found guilty of unprofessional conduct and/or unskilled practice.

Which of the following disciplinary penalties, imposed by a regulator against a practitioner, is not a potential consequence for such action or behavior?

- A. Revoking of license indefinitely
- **B. Probation or imprisonment**
- C. Further study and/or examinations
- D. License suspension or fine

Answer: B

Explanation:

The disciplinary penalties that professional regulators can impose on practitioners found guilty of unprofessional conduct do not include probation or imprisonment. These measures are typical of criminal justice systems and are outside the scope of professional regulatory authorities. Disciplinary actions within the scope of professional regulators generally involve fines, mandatory education, license suspension, or revocation but do not extend to criminal penalties.

NEW QUESTION # 200

In which of the following situations is it necessary that a professional be informed that his or her work is being reviewed?

- A. When an association conducts a practice review to ensure continuing competency
- B. When a lawyer requests the review under solicitor-client privilege
- C. When public safety is involved
- D. When proprietary matters such as trade secrets are involved

Answer: A

Explanation:

The correct answer is D. It is necessary for a professional to be informed that his or her work is being reviewed when an association conducts a practice review to ensure continuing competency. This notification is part of maintaining transparency in the review process and upholding the professional's right to be informed about assessments of their work. Practice reviews are typically mandated by professional associations to ensure that all members meet the required standards of professional practice, and informing the members about these reviews is a standard procedure.

NEW QUESTION # 201

For the professional member, the duty to the client is most appropriately described in which of the following ways?

- A. It is most important if the member has no personal relationship with the client.
- B. It is less important if the member has strong interpersonal relationship with the client.
- C. It is second only to the member's duty to the public.
- D. It is equally as important as the member's duty to the public.

Answer: C

Explanation:

Professional ethics in Canadian engineering and geoscience consistently place the safeguarding of the public (and the environment) as paramount. Duties to clients and employers are significant—requiring competence, honesty, confidentiality, and faithful service—but they are subordinate to the overarching obligation to protect public welfare. Option D captures this hierarchy: the duty to the client is important, but it is second only to the duty to the public. Option C is incorrect because it implies equal priority; in ethical conflicts, public safety and welfare take precedence. Options A and B incorrectly suggest that the duty varies based on personal relationship; ethical duties do not become weaker or stronger because of interpersonal familiarity. Instead, personal relationships may introduce conflicts of interest that require disclosure and management, not a change in the fundamental duty owed. NPPE framing emphasizes that professionals must serve clients diligently while refusing to compromise safety, regulatory compliance, or truthful communication, even if that conflicts with client preferences.

NEW QUESTION # 202

Which of the following statements about licensed professionals' duty to the public is false?

- A. Disregard for public safety can result in negligence claims.
- B. Disregard for public safety can result in the loss of the right to practice.
- C. Engineering and geoscience codes of ethics place the professionals' duty to the public higher than all other duties.
- D. Public interest may require professionals to provide pro bono work.

Answer: D

Explanation:

NPPE ethics consistently emphasizes that the paramount duty of engineers and geoscientists is to safeguard the public interest (safety, health, welfare, and environmental protection). If a professional disregards public safety, they may face civil liability in negligence (A), and regulators may impose discipline up to suspension or cancellation of licence—effectively loss of the right to

practise (C). Codes of ethics across Canadian jurisdictions generally state the duty to the public is paramount and takes precedence over obligations to clients, employers, or self-interest (D). However, the duty to the public does not generally impose an obligation to provide services for free. While professionals may choose to perform pro bono work and may be encouraged to contribute to the community, the ethical and legal duty to the public is primarily about competent, honest practice and preventing harm, not mandatory unpaid service. Therefore, B is the false statement: public interest does not normally require pro bono work as part of professional duty.

NEW QUESTION # 203

In acting under a client-professional agreement the professional must:

- A. meet with the client at regular intervals and report all transactions.
- B. sign any document relating to the contract except payment of the client's fees.
- C. act for the client in all aspects.
- **D. act only within the scope of the professional's authority.**

Answer: D

Explanation:

A client-professional agreement (agency-style relationship for certain tasks) does not grant unlimited authority. NPPE contract and agency principles stress that a professional must act within the authority granted by the agreement and instructions, and must not exceed that authority or bind the client beyond what is authorized. This includes staying within the defined scope of services, decision rights, spending authority, and signing authority. Option C correctly captures this fundamental requirement. Option A is incorrect because professionals do not act for clients "in all aspects"; authority is limited and defined. Option B is incorrect because signing documents depends on delegated authority; professionals should not sign documents unless authorized and competent to do so. Option D may be a good practice in some engagements, but it is not a universal legal requirement of client-professional agreements. Therefore, C is correct.

NEW QUESTION # 204

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