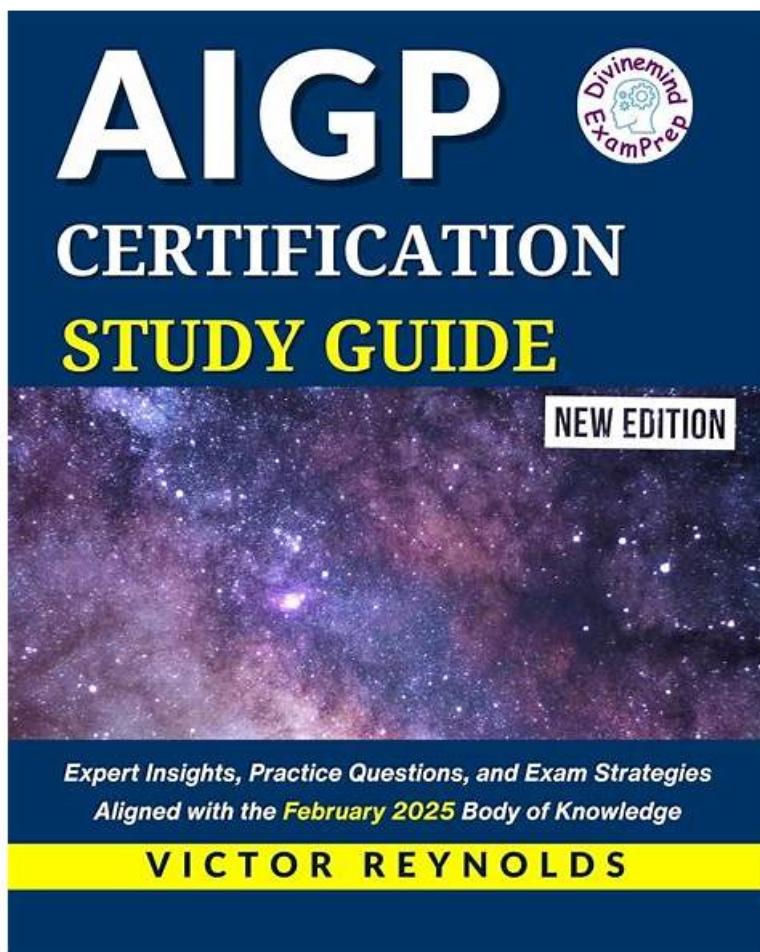


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IAPP AIGP Exam Syllabus Topics:

| Topic | Details |
|---------|--|
| Topic 1 | <ul style="list-style-type: none">Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices. |

| | |
|---------|---|
| Topic 2 | <ul style="list-style-type: none"> Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life. |
| Topic 3 | <ul style="list-style-type: none"> Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation. |
| Topic 4 | <ul style="list-style-type: none"> Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders. |

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IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q13-Q18):

NEW QUESTION # 13

A Canadian company is developing an AI solution to evaluate candidates in the course of job interviews. Before offering the AI solution in the EU market, the company must take all of the following steps EXCEPT?

- A. Register the AI solution in a public EU database.
- B. Engage a third-party auditor to perform a bias audit.
- C. Establish a risk and quality management system.
- D. Draw up technical documentation and instructions for use.

Answer: A

Explanation:

Before offering an AI solution in the EU market, a Canadian company must take several steps to comply with the EU AI Act. These steps include establishing a risk and quality management system (B), engaging a third-party auditor to perform a bias audit (C), and drawing up technical documentation and instructions for use (D). However, there is no requirement to register the AI solution in a public EU database (A). This registration step is not specified as part of the compliance requirements under the EU AI Act for such solutions.

NEW QUESTION # 14

What is the best reason for a company adopt a policy that prohibits the use of generative AI?

- A. Avoid accidental disclosure to its confidential and proprietary information.
- B. Avoid using technology that cannot be monetized.
- C. Avoid needing to identify and hire qualified resources.
- D. Avoid the time necessary to train employees on acceptable use.

Answer: A

Explanation:

The primary concern for a company adopting a policy prohibiting the use of generative AI is the risk of accidental disclosure of confidential and proprietary information. Generative AI tools can inadvertently leak sensitive data during the creation process or through data sharing. This risk outweighs the other reasons listed, as protecting sensitive information is critical to maintaining the company's competitive edge and legal compliance. This rationale is discussed in the sections on risk management and data privacy in the IAPP AIGP Body of Knowledge.

NEW QUESTION # 15

The White House Executive Order from November 2023 requires companies that develop dual-use foundation models to provide reports to the federal government about all of the following EXCEPT?

- A. The results of red-team testing of each dual-use foundation model.
- B. The physical and cybersecurity protection measures of their dual-use foundation models.
- C. Any environmental impact study for each dual-use foundation model.
- D. Any current training or development of dual-use foundation models.

Answer: C

Explanation:

The White House Executive Order from November 2023 requires companies developing dual-use foundation models to report on their current training or development activities, the results of red-team testing, and the physical and cybersecurity protection measures. However, it does not mandate reports on environmental impact studies for each dual-use foundation model. While environmental considerations are important, they are not specified in this context as a reporting requirement under this Executive Order.

Reference: AIGP BODY OF KNOWLEDGE, sections on compliance and reporting requirements, and the White House Executive Order of November 2023.

NEW QUESTION # 16

CASE STUDY

Please use the following answer the next question:

A local police department in the United States procured an AI system to monitor and analyze social media feeds, online marketplaces and other sources of public information to detect evidence of illegal activities (e.g., sale of drugs or stolen goods). The AI system works by surveilling the public sites in order to identify individuals that are likely to have committed a crime. It cross-references the individuals against data maintained by law enforcement and then assigns a percentage score of the likelihood of criminal activity based on certain factors like previous criminal history, location, time, race and gender.

The police department retained a third-party consultant assist in the procurement process, specifically to evaluate two finalists. Each of the vendors provided information about their system's accuracy rates, the diversity of their training data and how their system works. The consultant determined that the first vendor's system has a higher accuracy rate and based on this information, recommended this vendor to the police department.

The police department chose the first vendor and implemented its AI system. As part of the implementation, the department and consultant created a usage policy for the system, which includes training police officers on how the system works and how to incorporate it into their investigation process.

The police department has now been using the AI system for a year. An internal review has found that every time the system scored a likelihood of criminal activity at or above 90%, the police investigation subsequently confirmed that the individual had, in fact, committed a crime. Based on these results, the police department wants to forego investigations for cases where the AI system gives a score of at least 90% and proceed directly with an arrest.

Which AI risk would NOT have been identified during the procurement process based on the categories of information requested by the third-party consultant?

- A. Discrimination.

- B. Explainability.
- C. Security.
- D. Accuracy.

Answer: C

Explanation:

The AI risk that would not have been identified during the procurement process based on the categories of information requested by the third-party consultant is security. The consultant focused on accuracy rates, diversity of training data, and system functionality, which pertain to performance and fairness but do not directly address the security aspects of the AI system. Security risks involve ensuring that the system is protected against unauthorized access, data breaches, and other vulnerabilities that could compromise its integrity. Reference: AIGP Body of Knowledge on AI Security and Risk Management.

NEW QUESTION # 17

Scenario:

A public sector agency is reviewing proposed AI use cases for improving services. It wants to prioritize implementations that deliver value but minimize unintended negative consequences.

When evaluating which AI use cases to implement, an organization should consider all of the following EXCEPT:

- A. Related TEVV (test, evaluate, verify, validate) and system metrics
- B. Equitable access to the AI tool
- C. Potential positive and negative impacts of the system
- D. The users and their expectations

Answer: B

Explanation:

The correct answer is A. While TEVV is important in later lifecycle phases, it is not the primary consideration when evaluating and prioritizing use cases.

From the AIGP Body of Knowledge - Use Case Assessment Module:

"Use case evaluation focuses on value, impact, fairness, and accessibility-technical testing considerations come later." ILT Guide confirms:

"Organizations should first assess whether the AI system provides equitable outcomes and aligns with stakeholder expectations. TEVV is part of implementation, not initial prioritization." Thus, A is not a top-level consideration during use case selection.

NEW QUESTION # 18

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