

# L5M5 Valid Exam Syllabus, Exam L5M5 Forum



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## CIPS L5M5 Exam Syllabus Topics:

Topic	Details

Topic 1	<ul style="list-style-type: none"> <li>Understand the importance of compliance with ESG (Environmental, Social, and Governance) factors to achieve ethical and sustainable procurement and supply arrangements: This section of the exam measures skills of Supply Chain Specialists and covers methods to ensure compliance with ESG standards in procurement. It includes the use of supply chain mapping, risk management, stakeholder engagement, and contractual terms to secure sustainable practices. Candidates also learn how to monitor supplier performance, handle non-compliance, and utilize third-party auditors to maintain ethical standards. Relationship management strategies, corrective actions, and escalation processes are emphasized as part of ensuring suppliers meet ESG expectations.</li> </ul>
Topic 2	<ul style="list-style-type: none"> <li>Understand initiatives and standards related to ESG (Environmental, Social, and Governance) that support ethical and sustainable procurement and supply: This section of the exam measures the skills of Procurement Leaders and covers the global frameworks and standards that shape ethical supply practices. It explores international labour standards set by organizations such as the UN and ILO, and labour codes like the Ethical Trading Initiative and SA800. Candidates also examine external environmental frameworks, industry accreditations, and their role in meeting ESG goals. Finally, this section addresses fair trade principles, organizations such as WFTO and Fairtrade International, and the need to align internal governance with global standards.</li> </ul>
Topic 3	<ul style="list-style-type: none"> <li>Understand the impact of ESG (Environmental, Social, and Governance) considerations on ethical and sustainable supply chains: This section of the exam measures the skills of Procurement Managers and covers how ESG principles are applied to secure ethical and sustainable supply chains. It looks at the role of environmental, social, and governance factors in procurement decisions, alongside risks and benefits of ESG adoption. Learners also explore issues such as modern slavery, bribery, and human rights, as well as the importance of diversity, inclusion, and stakeholder management. The section highlights how globalization, culture, and labour practices shape supply strategies and examines potential conflicts that may arise when balancing ESG priorities with business demands.</li> </ul>

## CIPS Managing Ethical Procurement and Supply (L5M5) Sample Questions (Q85-Q90):

### NEW QUESTION # 85

A seafood company in Country Z sources fish from a supplier employing migrant workers. An investigation reveals that the workers were charged excessive recruitment fees, leading to severe debt. Upon arrival, their passports were confiscated, and they were forced to work on fishing vessels for months without fair pay or rest. Those who attempted to leave or report the abuse faced threats of violence. Which labor rights violation best describes this situation?

- A. Discrimination
- B. Sexual exploitation
- C. Child labor
- D. Forced labor

**Answer: D**

Explanation:

Option C- This scenario involves forced labor because:

Workers were subjected to coercion through confiscation of passports and threats of violence.

They were trapped in abusive working conditions due to debts and lack of freedom to leave. The key elements of forced labor include deception during recruitment, coercion, and lack of consent, all of which are evident in this situation.

Incorrect answer:

Option A- The scenario does not mention minors being employed.

Option B- No evidence of unequal treatment based on characteristics like gender, race, or religion is mentioned.

Option D- The scenario does not involve sexual abuse or coercion.

Reference:

LO-3.1; Page 250-251; Standards set by the United Nations (UN) and the International Labor Organization (ILO)

### NEW QUESTION # 86

What forms of organisation form the Ethical Trading Initiative (ETI)? Select THREE

- A. Governments
- **B. NGOs**
- C. Public sector businesses
- **D. Private businesses**
- **E. Trade Unions**

**Answer: B,D,E**

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

The Ethical Trading Initiative (ETI) is a leading alliance focused on improving working conditions in global supply chains. The L5M5 study guide (p.260) explains that ETI membership comprises:

Private businesses (such as retailers and brands),  
Non-Governmental Organisations (NGOs), and  
Trade Unions.

This tripartite approach enables collaboration between commercial, labour, and social interests to improve standards. Governments are not direct members, although their legislation can influence ethical trading. Public sector bodies may adopt ETI principles but are not core members. ETI's Base Code, founded on ILO conventions, outlines key principles including no forced labour, freedom of association, and fair working conditions.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.260

#### **NEW QUESTION # 87**

In what circumstances is Expert Determination the most suitable method for resolving contract disputes?

- A. international contracts
- B. personality disputes
- C. high value contracts
- **D. technical disputes**

**Answer: D**

Explanation:

Choice C- This is the correct answer. Expert Determination is particularly suited for disputes that require specialized technical knowledge, such as issues related to engineering, construction, or IT systems. An independent expert with relevant expertise can assess and resolve the dispute effectively.

Incorrect answer:

Choice A-Expert Determination is not specifically suited to international contracts. While it could be used in such cases, it is more about the nature of the dispute (technical issues) rather than the geographic scope of the contract.

Choice B- The value of the contract does not determine the suitability of Expert Determination. Other methods like arbitration or litigation are often more common for resolving high-value disputes.

Choice D- Expert Determination is not designed to handle personality conflicts or disputes rooted in interpersonal disagreements. Mediation or other relationship-focused methods are more appropriate in such cases.

Reference:

LO-2.4; Page 236; Escalating non-compliance and making exit arrangements

#### **NEW QUESTION # 88**

XYZ Corp is seeking industry certification and has hired an external firm to assess its compliance with regulatory requirements and industry standards. The firm will provide an unbiased evaluation and recommend improvements. What type of audit is XYZ Corp conducting?

- A. Compliance audit
- B. Financial audit
- C. Internal audit
- **D. Third-party audit**

**Answer: D**

Explanation:

Choice B- While the audit focuses on compliance (option C), the key detail in the scenario is that the audit is being conducted by an external firm. This makes it a third-party audit. A compliance audit (option C) could be conducted either internally or externally, depending on the organization's approach.

Incorrect answer:

Choice A- Internal audits are conducted by the organization's own employees or an internal audit team to assess compliance, risks, and processes. Since XYZ Corp hired an external firm, this is not an internal audit.

Choice C- Although the audit involves assessing compliance with regulatory and industry standards, the key point in the scenario is the use of an external firm. This makes it a third-party audit. A compliance audit can be conducted internally, externally, or by a third party. Incorrect (in this context) Choice D- A financial audit focuses on verifying the accuracy of an organization's financial statements and records. The scenario does not mention financial data but instead focuses on regulatory and industry compliance. Therefore, this is not a financial audit.

Reference:

LO-2.3; Page 207-208; Sourcing third parties for auditing services

### NEW QUESTION # 89

An electronics manufacturing company aims to transition to a circular economy model by minimizing waste and maximizing resource efficiency. Which strategies would best support this shift?

- A. Implementing a one-time recycling program for old devices
- B. Offering discounts on new products but not providing any take-back or recycling options for old devices
- C. Focusing solely on increasing production to meet rising consumer demand
- **D. Designing products for easy disassembly and refurbishment, allowing for longer product life and reuse of components**

**Answer: D**

Explanation:

Choice A- While a recycling program is a step in the right direction, it is a reactive approach. A circular economy emphasizes proactive strategies such as designing products for longevity and reusability, rather than just recycling at the end of a product's life. option promotes sustainable practices by encouraging product longevity and resource recovery, making it the most aligned with circular economy principles. This approach minimizes waste by ensuring that products can be refurbished or repaired rather than discarded. It encourages a culture of reuse, which is fundamental to the circular economy model.

Choice C- This approach is contrary to the principles of a circular economy, which seeks to minimize resource extraction and waste. Increasing production often leads to more waste and environmental impact, rather than promoting sustainability and resource efficiency.

Choice D- While discounts can encourage sales, without a system to handle old devices, this option does not contribute to sustainability. It fails to address the issue of waste management and does not promote reuse or recycling, which are essential components of a circular economy.

Reference:

LO-1.1; Page 14; The role of environmental considerations in securing ethical and sustainable procurement and supply arrangements

### NEW QUESTION # 90

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