

UAE-Financial-Rules-and-Regulations Schulungsangebot, UAE-Financial-Rules-and- Regulations Testing Engine, CISI UAE Financial Rules and Regulations Exam Trainingsunterlagen



Wir sind der Schnellste, der Prüfungsfragen und Antworten von CISI UAE-Financial-Rules-and-Regulations Prüfung erhält. Unser Pass4Test bietet Ihnen die Testfragen und Antworten von CISI UAE-Financial-Rules-and-Regulations Zertifizierungsprüfung, die von den IT-Experten durch Experimente und Praxis erhalten werden und über IT-Zertifizierungserfahrungen über 10 Jahre verfügt. Pass4Test verspricht, dass Sie das CISI UAE-Financial-Rules-and-Regulations Zertifikat schneller und leichter erhalten, als Sie durch die anderen Webseiten.

Die Testaufgaben von CISI UAE-Financial-Rules-and-Regulations Zertifizierungsprüfung aus Pass4Test sind durch die Praxis getestet, daher sind sie zur Zeit das gründlichste, das genaueste und das neueste Produkt auf dem Markt. Unser Pass4Test bietet Ihnen präzise Lehrbücher und Erfahrungen, die auf umfangreichem Erfahrungen und der realen Welt basieren, was Ihnen verspricht, dass Sie in kürzester Zeit die Zertifizierungsprüfung von CISI UAE-Financial-Rules-and-Regulations bestehen können. Nach dem Kauf unserer Produkte werden Sie einjährige Aktualisierung genießen.

>> UAE-Financial-Rules-and-Regulations Originale Fragen <<

CISI UAE-Financial-Rules-and-Regulations Fragen&Antworten & UAE-Financial-Rules-and-Regulations Prüfungsinformationen

Wenn Sie sich noch anstrengend bemühen, die CISI UAE-Financial-Rules-and-Regulations Prüfung zu bestehen, kann Pass4Test Ihren Traum verwirklichen. Die Schulungsunterlagen zur CISI UAE-Financial-Rules-and-Regulations Zertifizierung von Pass4Test sind die besten und bieten Ihnen auch eine gute Plattform zum Lernen. Die Frage lautet, wie Sie sich auf die Prüfung vorbereiten sollen, um die UAE-Financial-Rules-and-Regulations Prüfung 100% zu bestehen. Die Antwort ist ganz einfach. Sie sollen die Fragenkataloge zur CISI UAE-Financial-Rules-and-Regulations Zertifizierung von Pass4Test wählen. Mit ihr können Sie sich ganz entspannt auf die UAE-Financial-Rules-and-Regulations Prüfung vorbereiten.

CISI UAE Financial Rules and Regulations Exam UAE-Financial-Rules-and-

Regulations Prüfungsfragen mit Lösungen (Q80-Q85):

80. Frage

What proportion of the market value of securities owned by a brokerage company are used to increase the value of its guarantee?

- A. 60%
- **B. 70%**
- C. 80%
- D. 50%

Antwort: B

Begründung:

According to CISI UAE Financial Rules and Regulations, brokerage companies must use 70% of the market value of securities they own to increase the value of their guarantee. This guarantee acts as a financial safeguard to ensure the firm's stability and protect clients and counterparties against default risk. The 70% proportion strikes a balance between maximizing the leverage of owned securities and maintaining prudent risk management. It reflects the regulatory emphasis on requiring sufficient collateral and guarantees to support brokerage operations, maintaining market confidence and financial soundness within the UAE capital markets. Reference: CISI UAE Financial Rules and Regulations - Brokerage Firms Guarantees, Section 3.3.9 (2023).

81. Frage

Which of the following financial activities comes under the fifth category licence?

- A. Arrangement and advice
- B. Dealing in investments
- C. Dealing in securities
- **D. Credit rating agencies**

Antwort: D

Begründung:

The fifth category licence under UAE financial regulations pertains specifically to credit rating agencies.

These entities assess the creditworthiness of issuers of debt, including governments and corporations, and their ratings are critical for investors and markets. The fifth category licence provides the legal framework for credit rating agencies to operate within the UAE's financial sector, ensuring they meet the regulatory standards and criteria set by the Securities and Commodities Authority (SCA).

This helps ensure transparency, reliability, and trust in the credit ratings issued, which are vital for maintaining market stability and investor confidence.

Reference: CISI UAE Financial Rules and Regulations - Financial Activities Licences, Section 4.2.1 (2023).

82. Frage

A public joint-stock company is automatically prevented by the regulations from executing a buyback transaction if it:

- A. was incorporated in the last three years
- **B. issued shares in a public offer in the last six months**
- C. is formally classed as an insurance company
- D. has been designated a company of State importance

Antwort: B

Begründung:

According to CISI UAE Financial Rules and Regulations, a public joint-stock company is automatically barred from executing a buyback transaction if it issued shares in a public offer in the last six months. This regulatory restriction is designed to prevent market manipulation and protect shareholders' interests by ensuring sufficient market stability following a new share issuance. Buybacks shortly after public offerings could distort share prices or unfairly affect market liquidity. Other conditions like being an insurance company or state-designated firm do not automatically preclude buybacks under these regulations. This rule aligns with international corporate governance best practices governing share repurchases.

Reference: CISI UAE Financial Rules and Regulations - Share Buyback Rules, Section 5.4.7 (2023).

83. Frage

A vote carried out by the board of the Authority resulted in a tie. What happens in these circumstances?

- A. The vote for the resolution is automatically carried
- B. The vote against the resolution is automatically carried
- C. The decision is postponed until another vote can be taken
- **D. The chairman or their deputy is granted the casting vote**

Antwort: D

Begründung:

Under the CISI UAE Financial Rules and Regulations, in the event of a tie vote by the board of the Authority, the chairman or their deputy is granted the casting vote to resolve the deadlock. This mechanism ensures decisions can be made efficiently without indefinite postponement. The casting vote provides a decisive voice to the chair in balancing the board's decisions, reflecting common governance principles in corporate and regulatory bodies. Automatic carriage or rejection of resolutions without further input is not allowed, and postponement is typically avoided to maintain regulatory effectiveness.

Reference: CISI UAE Financial Rules and Regulations - Governance and Board Procedures, Section 2.4.8 (2023).

84. Frage

If a listed company faces accumulated losses of 50% or more of capital, what is required to happen?

- A. It is moved from a second category listing to a first category of listing
- B. It is required to be audited more frequently
- **C. It is moved from a first category listing to a second category of listing**
- D. Its shares are suspended for at least six months

Antwort: C

Begründung:

If a listed company faces accumulated losses of 50% or more of its capital, the company is required to be moved from a first category listing to a second category of listing. This measure is designed to protect investors by ensuring that only financially stable companies remain in the primary listing category, which is subject to stricter regulatory oversight and higher investor expectations. The second category listing provides a lower level of visibility, which reflects the increased financial risks associated with such companies. This helps mitigate the potential impact on other market participants.

Reference: CISI UAE Financial Rules and Regulations - Listing Categories and Financial Health, Section 2.5.1 (2023).

85. Frage

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Wenn Sie Pass4Test wählen, steht der Erfolg schon vor der Tür. Und bald können Sie CISI UAE-Financial-Rules-and-Regulations Zertifikat bekommen. Das Produkt von Pass4Test bietet Ihnen 100%-Pass-Garantie und auch einen kostenlosen einjährigen Update-Service.

UAE-Financial-Rules-and-Regulations Fragen&Antworten: <https://www.pass4test.de/UAE-Financial-Rules-and-Regulations.html>

CISI UAE-Financial-Rules-and-Regulations Originale Fragen Es ist für jeden Prüfungscode anders, Übrigens, Sie sollten uns zunächst das Testbericht Formular von der UAE-Financial-Rules-and-Regulations Prüfung zeigen, fall Sie eine Zurückerstattung bewerben, CISI UAE-Financial-Rules-and-Regulations Originale Fragen So können Sie bessere Resultate bei weniger Einsatz erzielen, Darüber hinaus liefern wir drei Versionen, nämlich PDF Version, PC (Nur Windows) und APP online Version von UAE-Financial-Rules-and-Regulations Studienführer.

Umgekehrt wird erst bekannt, wer Superman ist, wenn die letzte Person UAE-Financial-Rules-and-Regulations gesehen wird, Tongo stieg auf die Rutschbahn und blickte im Stehen zum nächtlichen Himmel, Es ist für jeden Prüfungscode anders.

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