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CIPS L5M5 Exam Syllabus Topics:

Topic	Details

Topic 1	<ul style="list-style-type: none"> • Understand the impact of ESG (Environmental, Social, and Governance) considerations on ethical and sustainable supply chains: This section of the exam measures the skills of Procurement Managers and covers how ESG principles are applied to secure ethical and sustainable supply chains. It looks at the role of environmental, social, and governance factors in procurement decisions, alongside risks and benefits of ESG adoption. Learners also explore issues such as modern slavery, bribery, and human rights, as well as the importance of diversity, inclusion, and stakeholder management. The section highlights how globalization, culture, and labour practices shape supply strategies and examines potential conflicts that may arise when balancing ESG priorities with business demands.
Topic 2	<ul style="list-style-type: none"> • Understand the importance of compliance with ESG (Environmental, Social, and Governance) factors to achieve ethical and sustainable procurement and supply arrangements: This section of the exam measures skills of Supply Chain Specialists and covers methods to ensure compliance with ESG standards in procurement. It includes the use of supply chain mapping, risk management, stakeholder engagement, and contractual terms to secure sustainable practices. Candidates also learn how to monitor supplier performance, handle non-compliance, and utilize third-party auditors to maintain ethical standards. Relationship management strategies, corrective actions, and escalation processes are emphasized as part of ensuring suppliers meet ESG expectations.
Topic 3	<ul style="list-style-type: none"> • Understand initiatives and standards related to ESG (Environmental, Social, and Governance) that support ethical and sustainable procurement and supply: This section of the exam measures the skills of Procurement Leaders and covers the global frameworks and standards that shape ethical supply practices. It explores international labour standards set by organizations such as the UN and ILO, and labour codes like the Ethical Trading Initiative and SA800. Candidates also examine external environmental frameworks, industry accreditations, and their role in meeting ESG goals. Finally, this section addresses fair trade principles, organizations such as WFTO and Fairtrade International, and the need to align internal governance with global standards.

CIPS Managing Ethical Procurement and Supply (L5M5) Sample Questions (Q63-Q68):

NEW QUESTION # 63

Mahfuz, a Procurement Officer at GreenLine Industries, manages a contract with a supplier of key raw materials. He recently noticed the supplier's non-compliance with the company's CSR (Corporate Social Responsibility) policy. Despite addressing the issue in a meeting, no significant improvements have been made. What should Mahfuz do next?

- A. Make a financial claim
- B. Issue an ultimatum
- C. Terminate the contract
- D. Escalate the issue to a senior manager

Answer: D

Explanation:

Choice C - This is the correct answer. Escalating the issue allows for higher-level intervention, which may lead to more significant pressure on the supplier to comply with the CSR policy. Senior managers can also provide strategic direction on how to handle the situation.

Incorrect answer:

Choice A - This is a drastic step and should typically be a last resort. Terminating the contract without giving the supplier an adequate opportunity to improve or exhausting internal escalation processes may damage the business relationship and disrupt the supply chain.

Choice B - While this might push the supplier to act, it can come across as confrontational and may not lead to a constructive resolution. A more collaborative approach, such as involving senior management, is usually preferable.

Choice D- This option is not suitable unless the supplier's non-conformance has directly resulted in financial damages that can be substantiated. The scenario does not indicate such damages, so this is not an appropriate action at this stage.

Reference:

LO-2.4; Page 233-234; Escalating non-compliance and making exit arrangements

NEW QUESTION # 64

Berty Boo Ltd conducts an audit checking compliance with regulations, policies, and risk procedures. What type of audit is this?

- A. Third-party audit
- **B. Compliance audit**
- C. External audit
- D. Benchmarking audit

Answer: B

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

A compliance audit evaluates whether an organisation adheres to regulatory requirements, security policies, and internal risk management procedures. The L5M5 study guide (p.207) highlights that this is distinct from external audits (conducted by outsiders), third-party audits (commissioned independently), and benchmarking audits (comparing against industry peers). Procurement professionals must use compliance audits to ensure suppliers meet legislative and regulatory standards, reducing risks of non-compliance, fines, and reputational damage.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.207

NEW QUESTION # 65

As a procurement manager with a focus on sustainability, your objective is to develop KPIs that assess the environmental impact of your suppliers and demonstrate their commitment to eco-friendly practices. What key performance indicators would be most effective in measuring these impacts?

- A. The percentage of shipments that are damaged during transit
- B. The total number of products the supplier offers
- C. The average response time to customer complaints
- **D. The ratio of recycled materials used in production versus virgin materials**

Answer: D

Explanation:

Choice A- This KPI directly measures the supplier's commitment to sustainability and their environmental impact by indicating how much they are utilizing recycled resources compared to new materials. The other options do not effectively reflect environmental practices.

Incorrect answer:

Choice B- This KPI measures the breadth of the supplier's offerings but does not provide any insight into their environmental practices or sustainability. A supplier could offer many products without any of them being eco-friendly.

Choice C- While important for customer service and supplier responsiveness, this KPI does not relate to environmental impact. A fast response time does not necessarily mean that the supplier is following sustainable practices.

Choice D- This metric focuses on logistics and supply chain efficiency rather than environmental sustainability. Although reducing damaged shipments can have some indirect environmental benefits, it doesn't directly measure the supplier's environmental practices or commitment to sustainability.

Reference:

LO-1.1; Page 16; The role of environmental considerations in securing ethical and sustainable procurement and supply arrangements

NEW QUESTION # 66

Which framework is designed to promote ethical labor practices and social responsibility in global supply chains, addressing issues such as worker rights, health and safety, and fair wages?

- **A. ETI (Ethical Trading Initiative)**
- B. OECD (Organization for Economic Co-operation and Development)
- C. WIETA (Wine and Agricultural Ethical Trading Alliance)
- D. SA8000

Answer: A

Explanation:

Option A- The Ethical Trading Initiative (ETI) is a UK-based organization that works to improve the working conditions in global supply chains by promoting ethical labor practices. It provides guidelines and a platform for businesses to commit to ensuring workers' rights are upheld, including fair wages, safe working conditions, and the elimination of child labor and forced labor.

Incorrect answer:

Option B- While WIETA (Wine and Agricultural Ethical Trading Alliance) focuses on improving conditions within the South African wine industry, it is more specific to that sector and geography.

Option C- SA8000 is a certification standard for social accountability that focuses on labor rights but is not a framework or initiative aimed at ethical trading across industries.

Option D- The OECD (Organization for Economic Co-operation and Development) provides guidelines on responsible business conduct, but it is broader in scope and not solely focused on labor practices within supply chains.

Reference:

LO-3.1; Page 260-262; Labor codes of conduct: Ethical Trading Initiative (ETI) and Social Accountability International's standard SA8000

NEW QUESTION # 67

Santino is a procurement manager at ABC Ltd. He manages a contract with a supplier. The relationship is characterised by both companies seeking advantages at the expense of the other. What type of relationship does Santino have with his supplier?

- A. Partnership
- B. Transactional
- C. Adversarial
- D. Closer tactical

Answer: C

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

The correct answer is Adversarial. The relationship spectrum described in the L5M5 study guide (p.151) outlines different approaches to supplier relationships: transactional, adversarial, tactical, and partnership. An adversarial relationship exists where both parties compete rather than collaborate, often trying to maximise their own benefit at the expense of the other. This type of relationship is common in short-term, price-driven procurement arrangements but is not conducive to long-term collaboration or ethical supply chain practices. Partnership relationships (A) involve cooperation and shared goals, transactional (B) are short-term and arms-length but neutral, and closer tactical (C) involve selective collaboration. Procurement professionals are encouraged to move away from adversarial relationships to more cooperative models where possible.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.151

NEW QUESTION # 68

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