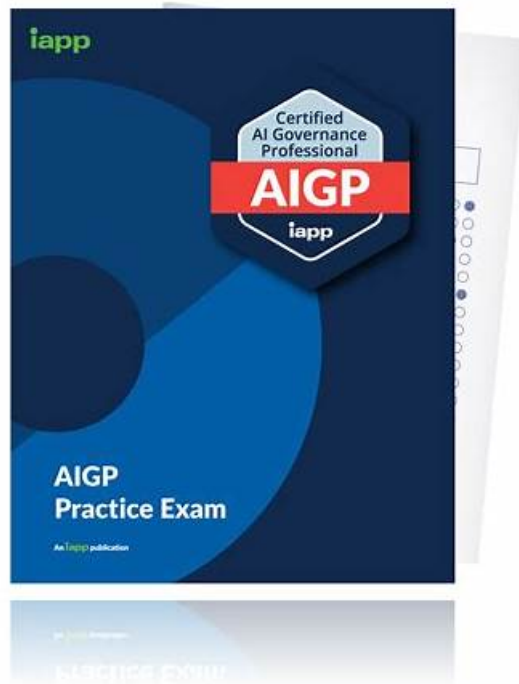


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IAPP AIGP Exam Syllabus Topics:

| Topic | Details |
|---------|---|
| Topic 1 | <ul style="list-style-type: none">Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation. |
| Topic 2 | <ul style="list-style-type: none">Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders. |

| | |
|---------|---|
| Topic 3 | <ul style="list-style-type: none"> • Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life. |
| Topic 4 | <ul style="list-style-type: none"> • Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices. |

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IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q115-Q120):

NEW QUESTION # 115

All of the following are reasons to deploy a challenger AI model in addition to a champion AI model EXCEPT to:

- **A. Retrain the champion model.**
- B. Perform testing on the champion model.
- C. Provide a framework to consider alternatives to the champion model.
- D. Automate real-time monitoring of the champion model.

Answer: A

Explanation:

Retraining the champion model is a maintenance activity, not a reason to deploy a challenger model; challenger models provide alternative options for comparison and testing.

NEW QUESTION # 116

Under the EU AI Act, which of the following compliance actions applies only to General Purpose AI models with systemic risk?

- A. Making information available to downstream providers who integrate the model into their AI systems.
- B. Implementing an intellectual property policy to comply with EU copyright laws.
- **C. Publishing a detailed summary of the data used to train the model.**
- D. Maintaining up-to-date technical documentation, including testing details.

Answer: C

Explanation:

Under the EU AI Act, General-Purpose AI models with systemic risk are required to publish a detailed summary of the data used to

train the model. This transparency measure ensures that users and regulatory bodies can assess the model's data sources and potential biases.

NEW QUESTION # 117

Which of the following steps occurs in the design phase of the AI life cycle?

- A. Data augmentation.
- B. Model explainability.
- C. Impact assessment.
- D. Performance evaluation.

Answer: C

Explanation:

Conducting an impact assessment is a key step during the design phase to anticipate and address potential risks and ethical considerations before development proceeds.

NEW QUESTION # 118

CASE STUDY

Please use the following answer the next question:

ABC Corp, is a leading insurance provider offering a range of coverage options to individuals. ABC has decided to utilize artificial intelligence to streamline and improve its customer acquisition and underwriting process, including the accuracy and efficiency of pricing policies.

ABC has engaged a cloud provider to utilize and fine-tune its pre-trained, general purpose large language model ("LLM"). In particular, ABC intends to use its historical customer data-including applications, policies, and claims-and proprietary pricing and risk strategies to provide an initial qualification assessment of potential customers, which would then be routed .. human underwriter for final review.

ABC and the cloud provider have completed training and testing the LLM, performed a readiness assessment, and made the decision to deploy the LLM into production. ABC has designated an internal compliance team to monitor the model during the first month, specifically to evaluate the accuracy, fairness, and reliability of its output. After the first month in production, ABC realizes that the LLM declines a higher percentage of women's loan applications due primarily to women historically receiving lower salaries than men.

During the first month when ABC monitors the model for bias, it is most important to?

- A. Compare the results to human decisions prior to deployment.
- B. Seek approval from management for any changes to the model.
- C. Continue disparity testing.
- D. Analyze the quality of the training and testing data.

Answer: C

Explanation:

During the first month of monitoring the model for bias, it is most important to continue disparity testing.

Disparity testing involves regularly evaluating the model's decisions to identify and address any biases, ensuring that the model operates fairly across different demographic groups.

Reference: Regular disparity testing is highlighted in the AIGP Body of Knowledge as a critical practice for maintaining the fairness and reliability of AI models. By continuously monitoring for and addressing disparities, organizations can ensure their AI systems remain compliant with ethical and legal standards, and mitigate any unintended biases that may arise in production.

NEW QUESTION # 119

A company developing and deploying its own AI model would perform all of the following steps to monitor and evaluate the model's performance EXCEPT:

- A. Establishing a regular schedule for human evaluation of the model's performance, including qualitative assessments.
- B. Setting up automated tools to regularly track the model's accuracy, precision and recall rates in real-time.
- C. Implementing a formal incident response plan to address incidents that may occur during system operation.
- D. Publicly disclosing data with forecasts of secondary and downstream harms to stakeholders.

Answer: D

Explanation:

Publicly disclosing data with forecasts of secondary and downstream harms is not a required or typical step in monitoring an AI model's performance. Monitoring focuses on internal tracking, incident response, and scheduled human review, not public disclosure of harm forecasts.

NEW QUESTION # 120

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