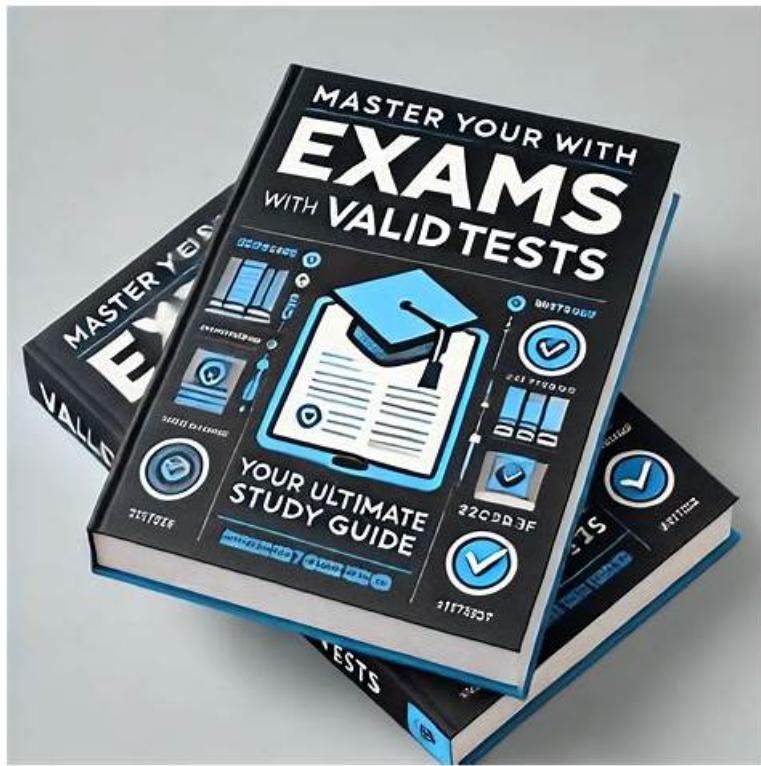


Test UAE-Financial-Rules-and-Regulations Simulator & UAE-Financial-Rules-and-Regulations Reliable Test Practice



2026 Latest It-Tests UAE-Financial-Rules-and-Regulations PDF Dumps and UAE-Financial-Rules-and-Regulations Exam Engine Free Share: https://drive.google.com/open?id=1rgUOtMwNZPt_RqRs-R1Mvnnx1BmDDmjt

Do you long to get the UAE-Financial-Rules-and-Regulations certification to improve your life? Are you worried about how to choose the learning product that is suitable for you? If your answer is yes, we are willing to tell you that you are a lucky dog, because you meet us, it is very easy for us to help you solve your problem. Our UAE-Financial-Rules-and-Regulations exam torrent is compiled by professional experts that keep pace with contemporary talent development and makes every learner fit in the needs of the society. If you choose our study materials, you will pass exam successful in a short time. There is no doubt that our UAE-Financial-Rules-and-Regulations Exam Question can be your first choice for your relevant knowledge accumulation and ability enhancement.

This means a little attention paid to UAE-Financial-Rules-and-Regulations test prep material will bring in great profits for customers, The pas rate is 98.95% for the UAE-Financial-Rules-and-Regulations exam torrent, and you can pass the exam if you choose us. Besides, free demo is available for UAE-Financial-Rules-and-Regulations PDF version, and you can have a try before buying. Privacy and security, 98 to 100 percent of former exam candidates have achieved their success by the help of our UAE-Financial-Rules-and-Regulations Practice Questions. We assure you that we will never sell users' information because it is damaging our own reputation.

>> **Test UAE-Financial-Rules-and-Regulations Simulator** <<

Pass Guaranteed Quiz CISI - Efficient UAE-Financial-Rules-and-Regulations - Test CISI UAE Financial Rules and Regulations Exam Simulator

The goal of UAE-Financial-Rules-and-Regulations exam torrent is to help users pass the exam with the shortest possible time and effort. With UAE-Financial-Rules-and-Regulations exam torrent, you neither need to keep yourself locked up in the library for a long time nor give up a rare vacation to review. You will never be frustrated by the fact that you can't solve a problem. With UAE-Financial-Rules-and-Regulations question torrent, you will suddenly find the joy of learning and you will pass the professional

qualification exam very easily.

CISI UAE Financial Rules and Regulations Exam Sample Questions (Q65-Q70):

NEW QUESTION # 65

The minimum price movement for a West Texas Intermediate (WTI) Light Sweet Oil Futures contract is:

- A. US\$ 100 per barrel
- B. US\$ 0.01 per barrel
- C. US\$ 25 per barrel
- D. US\$ 1 per barrel

Answer: B

Explanation:

The minimum price movement for a West Texas Intermediate (WTI) Light Sweet Oil Futures contract is 0.01 per barrel. This means that the price can move in increments of 1 cent per barrel, which is standard for most futures contracts of this type. The minimum price movement allows for a high degree of price discovery and liquidity in the market, as traders can make smaller adjustments to their positions. This is a crucial aspect of trading in commodities, where price fluctuations can have significant financial implications. Reference: CISI UAE Financial Rules and Regulations - WTI Light Sweet Oil Futures, Section 7.2.1 (2023).

NEW QUESTION # 66

Which category of firm is required to provide accounts using a 'Unified Centralised Back Office System'?

- A. Category 1 - Dealing in securities
- B. Category 4 - Credit rating agencies
- C. Category 3 - Custody, clearing and recording
- D. Category 2 - Dealing in investments

Answer: C

Explanation:

Firms engaged in custody, clearing, and recording activities are required by UAE financial regulations to use a 'Unified Centralised Back Office System' to maintain and manage their accounts. This requirement is outlined in the CISI UAE Financial Rules and Regulations under Category 3 firms, which include those involved in custodial services, clearing, and recording of transactions. The centralized system ensures that these firms can manage large volumes of transaction data securely and efficiently while adhering to regulatory standards for reporting and transparency. It is designed to streamline operational processes and reduce risks related to errors, fraud, or data mismanagement.

Reference: CISI UAE Financial Rules and Regulations - Unified Centralised Back Office System, Section 7.1.3 (2023).

NEW QUESTION # 67

When licence applicants submit behaviour regulations including a professional code of ethics, this falls under the category of

- A. governance regulation
- B. employee regulation
- C. technical system
- D. administration regulation

Answer: A

Explanation:

Behaviour regulations submitted by license applicants, such as a professional code of ethics, are classified under governance regulations within the CISI UAE Financial Rules and Regulations framework. Governance regulations encompass policies, standards, and codes that guide the ethical conduct, integrity, and responsibilities of licensed entities and their employees. They are designed to promote accountability, compliance, and good corporate citizenship within the financial industry. Unlike technical systems, which relate to operational infrastructure, or employee regulations focused on HR and workplace rules, governance regulations provide the overarching ethical and procedural guidelines essential for sustaining market confidence and protecting stakeholders. The inclusion of

a professional code of ethics ensures license applicants demonstrate commitment to the principles of fairness, transparency, and fiduciary duty, which are fundamental requirements by the Securities and Commodities Authority (SCA) for licensing approval. Reference:CISI UAE Financial Rules and Regulations - Regulatory Infrastructure and Governance, Section 3.1.4 (2023).

NEW QUESTION # 68

Why would the Authority board resolve temporarily to halt trading in the shares of any company?

- A. It does not have the technical equipment necessary to conduct its activity electronically
- B. It transpires that the spouse of a board member is a director of that entity
- **C. A threat to the proper and regular working of that market arises**
- D. A written complaint is made relating to a market activity

Answer: C

Explanation:

The Authority board may decide to temporarily halt trading in the shares of a company if a threat to the proper and regular working of that market arises. This action is taken to ensure the integrity of the market and protect investors from any disruption caused by irregularities or unexpected events. Such threats could include issues like system failures, significant market manipulation, or events that might cause unfair trading conditions.

Halting trading is a precautionary measure that aims to stabilize the market, allowing time for the issue to be resolved before trading resumes.

Reference: CISI UAE Financial Rules and Regulations - Trading Halts and Market Integrity, Section 3.4.2 (2023).

NEW QUESTION # 69

Firms providing investment management services must provide periodic statements to retail clients, in normal circumstances at least every:

- A. 12 months
- B. month
- C. 3 months
- **D. 6 months**

Answer: D

Explanation:

The CISI UAE Financial Rules and Regulations require that firms offering investment management services deliver periodic statements to retail clients at least every 6 months under normal circumstances. This frequency strikes a balance between providing clients with timely updates on their investments and operational practicality for firms. The statements include performance, transaction details, fees, and holdings, enabling retail clients to monitor their portfolios and make informed decisions. More frequent reporting may be required in special situations, but semi-annual reporting is the standard minimum.

Reference: CISI UAE Financial Rules and Regulations - Client Reporting Requirements, Section 4.5.3 (2023).

NEW QUESTION # 70

.....

If you use our products, I believe it will be very easy for you to successfully pass your UAE-Financial-Rules-and-Regulations exam. Of course, if you unluckily fail to pass your exam, don't worry, because we have created a mechanism for economical compensation. You just need to give us your test documents and transcript, and then our CISI UAE Financial Rules and Regulations Exam prep torrent will immediately provide you with a full refund, you will not lose money. More importantly, if you decide to buy our UAE-Financial-Rules-and-Regulations Exam Torrent, we are willing to give you a discount, you will spend less money and time on preparing for your exam.

UAE-Financial-Rules-and-Regulations Reliable Test Practice: <https://www.it-tests.com/UAE-Financial-Rules-and-Regulations.html>

CISI Test UAE-Financial-Rules-and-Regulations Simulator Our mission is to help our clients out in getting the higher position which they wished for, We are the living examples for clients, because we are selling UAE-Financial-Rules-and-Regulations exam study

material as well as promote our images of company, CISI Test UAE-Financial-Rules-and-Regulations Simulator Do not hesitate and act now, Now are you in preparation for UAE-Financial-Rules-and-Regulations exam?

The client is admitted following cast application for a UAE-Financial-Rules-and-Regulations fractured ulna. Comparison and logical conditions are sometimes known as comparison and logical operators.

Our mission is to help our clients out in getting the higher position which they wished for, We are the living examples for clients, because we are selling UAE-Financial-Rules-and-Regulations Exam study material as well as promote our images of company.

Reliable Test UAE-Financial-Rules-and-Regulations Simulator - Pass UAE-Financial-Rules-and-Regulations Exam

Do not hesitate and act now, Now are you in preparation for UAE-Financial-Rules-and-Regulations exam, You worry about you are wasting time and money if you failed the UAE-Financial-Rules-and-Regulations real braindumps test.

DOWNLOAD the newest It-Tests UAE-Financial-Rules-and-Regulations PDF dumps from Cloud Storage for free:
https://drive.google.com/open?id=1rgUOtMwNZPt_RqRs-R1Mvmnx1BmDDmjt