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Credential	Exam	Professional experience	MS audit/ assessment experience	Other requirements
PECB Certified ISO 45001 Provisional Auditor	PECB Certified ISO 45001 Lead Auditor Exam or equivalent	None	None	Signing the PECB Code of Ethics
PECB Certified ISO 45001 Auditor	PECB Certified ISO 45001 Lead Auditor Exam or equivalent	Two years: One year of work experience in OH&S management	Audit activities: a total of 200 hours	Signing the PECB Code of Ethics
PECB Certified ISO 45001 Lead Auditor	PECB Certified ISO 45001 Lead Auditor Exam or equivalent	Five years: Two years of work experience in OH&S management	Audit activities: total of 300 hours	Signing the PECB Code of Ethics
PECB Certified ISO 45001 Senior Lead Auditor	PECB Certified ISO 45001 Lead Auditor Exam or equivalent	Ten years: Seven years of work experience in OH&S management	Audit activities: a total of 1,000 hours	Signing the PECB Code of Ethics

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PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	• Fundamental principles and concepts of an occupational health and safety management system: This section of the exam measures the skills of Health and Safety Managers and covers the essential principles and concepts underlying an occupational health and safety management system (OHSMS). It focuses on understanding the framework for managing health and safety risks to prevent workplace injuries and illnesses. One skill to be measured is identifying key components of an effective OHSMS.
Topic 2	• Domain 4: Preparing for an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the preparation process for conducting an ISO 45001 audit. It emphasizes planning, resource allocation, and establishing audit objectives to ensure a thorough evaluation.
Topic 3	• Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.
Topic 4	• ISO 45001 requirements for an OH&S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.

Topic 5	• Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.

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PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q68-Q73):

NEW QUESTION # 68
During a second-party audit at a sawmill, you find that the OHSMS Manager conducted the hazard identification process. In the risk assessment report, hazards were listed as physical hazards, chemical hazards, biological hazards and psychosocial hazards, with the hazards categories as low medium and high to be addressed. The OHSMS Manager did not have an action plan for low and medium risks, saying that they ignored those He stated that they brought in a health and safety consultant to advise on treatment for the high risks.

When interviewing the OHSMS Manager, the auditor presents a nonconformity relating to potential hazards In the factory If the dust extraction system broke down. The OHSMS Manager said that. If that happened, the agreed planned action is that workers would put masks on.

Select one of the options for how the auditor should respond to this statement.

- A. Advise that the factory should cease its operations It the dust extraction equipment malfunctioned.
- B. Ask the OH5MS Manager to confirm that this action meets regulatory requirements for air purity.
- C. Suggest that they should increase their maintenance checks.
- D. Seek evidence that the response action is both Implemented and effective.

Answer: D

Explanation:
The auditor should assess whether the organization's planned action (using masks in case of dust extraction failure) is both implemented and effective. This aligns with ISO 45001 requirements to ensure that control measures are functional and meet the intended objectives.
* Clause 8.1.2 (Hierarchy of Controls):The use of PPE, such as masks, is considered a last resort in the hierarchy of controls. The organization must demonstrate that the measure is:
* Implemented (e.g., PPE is available and workers are trained to use it).
* Effective (e.g., masks provide adequate protection against dust).
* Analysis of Options:
* A. Advise that the factory should cease operations if the dust extraction equipment malfunctioned.While ceasing operations may be a necessary control in extreme situations, it is not a practical or realistic recommendation unless a high-risk scenario cannot be otherwise managed.
* B. Ask the OHSMS Manager to confirm regulatory compliance.Regulatory compliance is important but does not fully address the requirement to ensure the action is effective.
* C. Seek evidence that the response action is both implemented and effective.This is the most appropriate response. The auditor must verify implementation and effectiveness to determine conformity.
* D. Suggest increasing maintenance checks.Maintenance is important for preventing equipment breakdowns, but this option does not address the immediate audit finding about the adequacy of response actions.
ISO References:

* Clause 8.1.2: Emphasizes applying the hierarchy of controls effectively.
* Clause 9.1.1: Requires monitoring and measuring controls to ensure effectiveness.

NEW QUESTION # 69

An internal auditor of a manufacturer of plastic packaging products for the food industry raised a nonconformity against section 10.2 of ISO 45001 in Report JA202. The nonconformity (NC3) stated: "The level of reported health and safety incidents has increased by 9.7% over the last 12 months." As the third-party auditor who comes across this nonconformity during a surveillance audit, how would you react? Select one.

- A. You would investigate whether the incident rate featured in the previous internal audit report.
- B. You would ask the OHS Manager why the incident rate was rising by so much in one year.
- C. You would find out what corrective action was taken and whether it was effective.
- D. You would raise a nonconformity since the incident rate had not reduced at the time of the internal audit.

Answer: C

NEW QUESTION # 70

You are conducting a Stage 2 certification audit to ISO 45001 at an adventure park in the Scottish Highlands. The park offers treetop walks, zip-line rides, walking trails, and horse-riding trips. The park is open to adults and children of any age. You are particularly interested in compliance with legal requirements and interview the Park Manager.
You: How do you evaluate the risks to participants that the various activities present?
Park Manager: Our risks are covered by insurance, and we operate under health and safety legislation that requires frequent checks of all our facilities. For example, we trust staff to check all our harnesses every morning.
You: Are you required to have an independent inspection carried out of zip lines, for example?
Park Manager: Yes, our insurance company employs a reputable body to do that sort of thing.
You: Can you show me a copy of the latest inspection report? Park Manager: I'd need to get that from the insurance organisation. I have the initial one when we opened eight years ago.
You examine the inspection report, which takes the form of a checklist that does not identify individual zip lines, treetop platforms, harnesses, or rope ladders. It is dated eight years previously and has a scribbled signature with no other identification of the inspection engineer. Select the two statements for which there is evidence of a nonconformity to ISO 45001.
Select two statements.

- A. The inspection organisation employed by the insurance organisation was not evaluated.
- B. The park relied on the insurance organisation to evaluate its OHS risks.
- C. The organisation did not monitor the safety checks by the staff of equipment.
- D. Testing to determine the safe loading of equipment was not carried out.
- E. The park did not have the required documentation to demonstrate compliance with legal requirements.

Answer: A,E

Explanation:
ISO 45001 requires organizations to identify and maintain documentation to demonstrate compliance with legal and other requirements (Clause 7.5 and Clause 9.1.2). It also requires the evaluation and control of outsourced processes (Clause 8.1.4.3).
Analysis of Options:
A . The park did not have the required documentation to demonstrate compliance with legal requirements:
Correct. The outdated and incomplete inspection report (8 years old, lacking detailed identification) fails to demonstrate compliance with health and safety legislation.
B . The organization did not monitor the safety checks by staff of equipment:
Incorrect. While there is a potential gap in monitoring, the evidence provided does not directly indicate a lack of monitoring of staff checks.
C . The inspection organization employed by the insurance organization was not evaluated:
Correct. ISO 45001 requires the organization to evaluate outsourced services, including those provided by the inspection body, to ensure their adequacy.
D . The park relied on the insurance organization to evaluate its OHS risks:
Incorrect. Reliance on external evaluations may not constitute a nonconformity if risks are properly managed, but there is no evidence provided for noncompliance here.
E . Testing to determine the safe loading of equipment was not carried out:
Incorrect. While load testing is crucial, there is no evidence presented to confirm this specific issue as a nonconformity.
ISO Reference:

Clause 7.5: Control of documented information.
Clause 8.1.4.3: Control of outsourced processes.
Clause 9.1.2: Evaluation of compliance.

NEW QUESTION # 71
You are an audit team leader conducting a Stage 2 audit of a Financial Services Provider. You are currently interviewing the organisation's Risk and Compliance Officer, who appears very competent when it comes to the management of business risks. As the Risk and Compliance Officer, she is also identified as having lead responsibility for the management of OH&S risk, and you are now seeking evidence that she understands the requirements relating to risk that are contained within ISO 45001. During your conversation, she makes the following six statements about risk within an ISO 45001-based OHSMS. Which four of his statements are correct?

- A. Risk is often expressed as a combination of likelihood and impact.
- B. Although organisations are required to carry out risk management, the method by which they do so is up to them.
- C. The organisation is required to assess risks arising from OH&S hazards.
- D. The organisation must attempt to identify and address every risk it faces.
- E. The effect of uncertainty (i.e. risk) can result in positive outcomes as well as negative ones.
- F. Risk assessment is an activity that must be carried out by top management.

Answer: A,B,C,E

Explanation:
Analysis of Options:
* A. The organisation must attempt to identify and address every risk it faces:Incorrect. ISO 45001 focuses on OH&S risks and not every risk faced by the organization.
* B. The effect of uncertainty (i.e. risk) can result in positive outcomes as well as negative ones:
Correct. Clause 3.20 defines risk as the "effect of uncertainty," which may result in positive or negative outcomes.
* C. Although organisations are required to carry out risk management, the method by which they do so is up to them:Correct. ISO 45001 does not prescribe specific risk management methods, leaving the organization to choose the approach that best suits its context (Clause 6.1.2).
* D. Risk assessment is an activity that must be carried out by top management:Incorrect. Risk assessment can involve workers and other personnel; it is not limited to top management.
* E. The organisation is required to assess risks arising from OH&S hazards:Correct. Clause 6.1.2.2 requires organizations to assess OH&S risks associated with hazards.
* F. Risk is often expressed as a combination of likelihood and impact:Correct. This is a common way to express risk, aligned with Clause 6.1.2.
ISO References:
* Clause 3.20: Definition of risk.
* Clause 6.1.2: Hazard identification and risk assessment

NEW QUESTION # 72
Showitoff is an organisation spcialising in the design and production of wall decorating material for the domestic market. During an ISO 45001 certification audit of the site, the auditor comes across an open, walled area just outside the maintenance department. It contains various scraps of wood and metal as well as several rusty components. Several heavy concrete beams are learning against a thin brick wall in which cracks are visible. When asked about it, the OHS Manager states that he presume that the material come from maintenance work, so it is the Maintenance Manager's responsibility.
The auditor interviews the Maintenance Manager in his department. He asks about the area outside and is told that it contains some excess materials that the Manager likes to keep in case they come in handy at some stage. The auditor points out that the wall appears to be unstable collapse at any moment. The Maintenance Manager is not aware of such a situation.
Which three statement represent good audit practice?

- A. The audit should check the lines of communication between the OHS Manager and maintenance Manager.
- B. The auditor should consider surveying more areas of the site for other potentially unsafe situations.
- C. The auditor should check whether the organization has identified the safety hazards associated with the walled area.
- D. The audit should demand that the Maintenance Manager deals with the wall.
- E. The audit should refer the organization to the health and safety authorities.
- F. The audit should ask the Maintenance Manager to conduct a safety survey.
- G. The auditor should advice the organisation to get rid of the walled area.

- H. The audit should raise a nonconformity against ISO 45001.

Answer: A,B,C

Explanation:
Comprehensive Detailed Explanation along with All ISO 45001 Audit Reference Clause 6.1.2 of ISO 45001:2018 emphasizes the identification of hazards and assessment of risks, and Clause 5.4 highlights the importance of consultation and communication among roles. The auditor's role includes verifying conformity to these clauses through evidence gathering and observation.
Analysis of Options:
A :The auditor should advise the organization to get rid of the walled area.
This is outside the scope of an auditor's role, which is to observe and report findings, not to dictate specific actions.
B :The auditor should ask the Maintenance Manager to conduct a safety survey.
While safety surveys are useful, asking the Maintenance Manager to conduct one is not the auditor's responsibility.
C :The auditor should check the lines of communication between the OHS Manager and Maintenance Manager.
This aligns with ISO 45001:2018, Clause 5.4, as communication gaps may have contributed to the situation.
D :he auditor should check whether the organization has identified the safety hazards associated with the walled area.
Clause 6.1.2 requires hazard identification. The auditor must determine whether this has been done.
E:The auditor should consider surveying more areas of the site for other potentially unsafe situations.
Broadening the audit scope to identify additional risks is a good practice in line with Clause 9.2.2.
F :he auditor should demand that the Maintenance Manager deals with the wall.
Demanding action is not within the auditor's authority. The auditor should raise findings instead.
G :he auditor should raise a nonconformity against ISO 45001.
While this may be appropriate depending on evidence, raising a nonconformity is not a replacement for good audit practices.
H :The auditor should refer the organization to health and safety authorities.
This step is extreme and should only occur if there is an imminent danger and no action is being taken.
ISO Reference:
Clause 6.1.2: Hazard identification and risk assessment.
Clause 5.4: Worker consultation and participation.
Clause 9.2.2: Internal audit program and execution.

NEW QUESTION # 73
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