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## CIPS L5M5 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>• Understand the importance of compliance with ESG (Environmental, Social, and Governance) factors to achieve ethical and sustainable procurement and supply arrangements: This section of the exam measures skills of Supply Chain Specialists and covers methods to ensure compliance with ESG standards in procurement. It includes the use of supply chain mapping, risk management, stakeholder engagement, and contractual terms to secure sustainable practices. Candidates also learn how to monitor supplier performance, handle non-compliance, and utilize third-party auditors to maintain ethical standards. Relationship management strategies, corrective actions, and escalation processes are emphasized as part of ensuring suppliers meet ESG expectations.</li></ul>

Topic 2	<ul style="list-style-type: none"> <li>• Understand the impact of ESG (Environmental, Social, and Governance) considerations on ethical and sustainable supply chains: This section of the exam measures the skills of Procurement Managers and covers how ESG principles are applied to secure ethical and sustainable supply chains. It looks at the role of environmental, social, and governance factors in procurement decisions, alongside risks and benefits of ESG adoption. Learners also explore issues such as modern slavery, bribery, and human rights, as well as the importance of diversity, inclusion, and stakeholder management. The section highlights how globalization, culture, and labour practices shape supply strategies and examines potential conflicts that may arise when balancing ESG priorities with business demands.</li> </ul>
Topic 3	<ul style="list-style-type: none"> <li>• Understand initiatives and standards related to ESG (Environmental, Social, and Governance) that support ethical and sustainable procurement and supply: This section of the exam measures the skills of Procurement Leaders and covers the global frameworks and standards that shape ethical supply practices. It explores international labour standards set by organizations such as the UN and ILO, and labour codes like the Ethical Trading Initiative and SA800. Candidates also examine external environmental frameworks, industry accreditations, and their role in meeting ESG goals. Finally, this section addresses fair trade principles, organizations such as WFTO and Fairtrade International, and the need to align internal governance with global standards.</li> </ul>

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## CIPS Managing Ethical Procurement and Supply (L5M5) Sample Questions (Q23-Q28):

### NEW QUESTION # 23

Which of the following is NOT one of the four fundamental principles and rights at work recognized by the International Labor Organization (ILO)?

- A. Elimination of forced or compulsory labor
- B. Equal pay for equal work
- C. Freedom of association and the right to collective bargaining
- D. Abolition of child labor

**Answer: B**

Explanation:

Choice C - Equal pay for equal work is important but is not one of the four fundamental principles of ILO. Thus is the correct answer.

All the other options are core categories of ILO.

Reference:

LO-3.1; Page 249; Standards set by the United Nations (UN) and the International Labor Organization (ILO)

### NEW QUESTION # 24

A retail company has recently faced supply chain fraud, including counterfeit products and inflated invoices from suppliers. To enhance its fraud prevention measures, the management team is considering various strategies. Which of the following would be the most effective approach to mitigating supply chain fraud?

- A. Fact-Finding Questionnaires
- B. Keep Up to Date with Legislation

- C. Due Diligence on Suppliers
- D. Establish a Diverse Supply Chain

**Answer: C**

Explanation:

Choice D- This is the correct option. Due diligence refers to the comprehensive assessment and verification of suppliers' backgrounds, financial health, and compliance with ethical standards. This includes checking for certifications, conducting audits, and evaluating their business practices. By thoroughly vetting suppliers, companies can significantly reduce the risk of partnering with those involved in fraudulent activities, making this the most direct and effective strategy against fraud.

Incorrect answer:

Choice A- Establishing a diverse supply chain involves engaging multiple suppliers across different regions and sectors. This approach reduces reliance on any single supplier, making it harder for fraudulent activities to go unnoticed. However, while diversification can reduce risk, it does not directly address the verification of supplier integrity or prevent fraud at individual supplier levels.

Choice B- Staying informed about relevant laws and regulations is crucial for compliance and avoiding legal issues. While this can help protect a company from legal repercussions related to fraud, it does not inherently prevent fraud itself. It's more about ensuring that the company operates within legal boundaries rather than directly addressing fraudulent practices.

Choice C- Fact-finding questionnaires are tools used to gather information from suppliers regarding their operations, risk management, and compliance measures. While this can provide insights into a supplier's practices and help identify potential risks, it may not be as thorough or effective as direct due diligence efforts. Suppliers might provide misleading information, so this method should complement more rigorous vetting processes.

Reference:

LO-1.1; Page 51; Issues impacting ethical and sustainable procurement and supply: modern slavery, bribery, corruption, fraud and human rights infringements

#### NEW QUESTION # 25

What are the two ways an item can be labelled as "Fair Trade" by the WFTO? Select TWO

- A. Product certification route
- B. Audit route
- C. Manufacturer route
- D. Integrated supply chain route

**Answer: A,D**

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

The World Fair Trade Organisation (WFTO) recognises Fair Trade items through either the product certification route (A), where only the product is certified, or the integrated supply chain route (C), where the entire supply chain is Fair Trade compliant. The L5M5 study guide (old p.223, new p.300) emphasises that these approaches provide flexibility for businesses while ensuring ethical standards are upheld. Manufacturer route (B) and audit route (D) are not official WFTO pathways. Procurement professionals should understand these routes when sourcing ethically certified goods.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.300

#### NEW QUESTION # 26

Which of the following statements about the ILO (International Labour Organisation) is incorrect?

- A. A principle of the ILO is to eliminate child labour
- B. It is a body of the WTO
- C. The ILO has a representation and complaints process
- D. The ILO sets standards on health and safety in the workplace and maternity protection

**Answer: B**

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

The ILO (International Labour Organisation) is an agency of the United Nations (UN), not the WTO. The L5M5 study guide (p.249) explains that the ILO promotes labour rights globally, sets international standards, and provides mechanisms for complaints

and representation. Its principles include eliminating child labour, eradicating forced labour, and promoting safe and fair working conditions, including maternity protection. By contrast, the WTO (World Trade Organisation) deals with trade rules and disputes, not labour standards. This distinction is essential in procurement, as professionals must understand which bodies regulate trade versus labour practices.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.249

### NEW QUESTION # 27

ABC Group, the country's largest textile company, is considering partnering with a Fair Trade-certified supplier to support sustainability and ethical practices. However, they are concerned about potential challenges in working with a Fair Trade organization. Which TWO of the following challenges might ABC Group face in this partnership?

- A. The Fair Trade certification process may require complex audits, and if there is a longer period between audits, activities could change and will no longer be compliant
- B. Fair Trade standards may require investments in audits supported by self-assessment reports and office reviews adding costs to the business
- C. The product prices from Fair Trade suppliers are often significantly lower than conventional suppliers, making it hard to remain competitive
- D. Improved stakeholder perception of the brand, which can lead to reputational benefits
- E. There are no real challenges, as all Fair Trade products are guaranteed to be competitive and cost-efficient

**Answer: A,B**

Explanation:

Choice A- Obtaining Fair Trade certification often involves a thorough and detailed audit process, which demonstrates a company's position at a period of time. If there is a longer period between audits, there is a chance that activities and processes could change within business and they will no longer be compliant with a fair trade organisation.

Choice C- This is correct. Fair Trade standards accreditation come at a cost and four yearly independent audits supported by self assessment reports and office reviews take time and resources.

Incorrect answer:

Choice B- This is incorrect. While Fair Trade products aim to provide ethical and sustainable options, they can come with challenges such as higher costs and longer certification processes.

Choice D- This is incorrect. Fair Trade products typically come with higher prices due to ethical production costs and fair wages. These prices are often higher, not lower, than those from conventional suppliers.

Choice E- This is a benefit of associating with a fair trade organisation and not a challenge.

Reference:

LO-3.3; Page 297-298; The World Fair Trade Organization (WFTO) and its principles and Charter of Fair Trade and implications for procurement and supply

### NEW QUESTION # 28

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