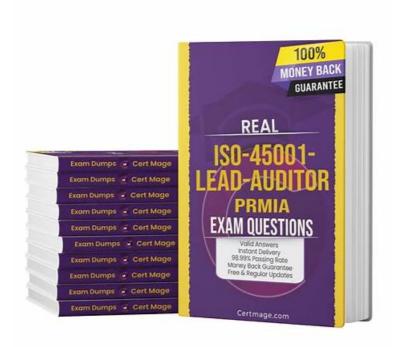
## ISO-45001-Lead-Auditor Dumps Reviews - ISO-45001-Lead-Auditor Exam Pass Guide



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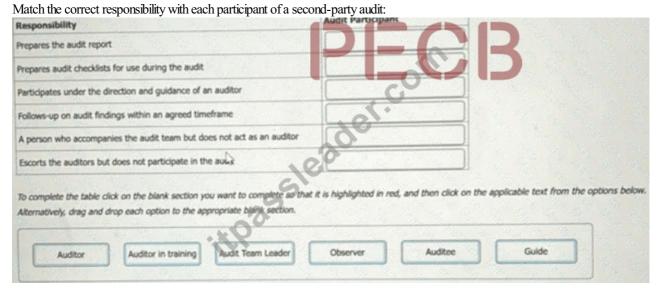
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## PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	Fundamental principles and concepts of an occupational health and safety management system: This section of the exam measures the skills of Health and Safety Managers and covers the essential principles and concepts underlying an occupational health and safety management system (OHSMS). It focuses on understanding the framework for managing health and safety risks to prevent workplace injuries and illnesses. One skill to be measured is identifying key components of an effective OHSMS.
Topic 2	ISO 45001 requirements for an OH&S MS — Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.
Topic 3	Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.

# PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q37-Q42):

#### **NEW QUESTION #37**



#### Answer:

Explanation:

Responsibility	Audit Participant	
Prepares the audit report	Audit Team Leader	
Prepares audit checklists for use during the audit	Auditor	
Participates under the direction and guidance of an auditor	Auditor in training	
Follows-up on audit findings within an agreed timeframe	Auditee	
A person who accompanies the audit team but does not act as an auditor	Cobserver	
Escorts the auditors but does not participate in the audit	Guide	
To complete the table click on the blank section poir want to complete so that Alternatively, drag and drop each option to the appropriate blank section.	t it is highlighted in red, and then click on t	he applicable text from the options below
Auditor in training Audit Team Leader	Observer Auditee	Gulde

#### Explanation:

A screenshot of a computer Description automatically generated

Responsibility	Audit Participant
Prepares the audit report	Audit Team Leader
Prepares audit checklists for use during the audit	Auditor
Participates under the direction and guidance of an auditor	Auditor in Training
Follows up on audit findings within an agreed timeframe	Auditee
A person who accompanies the audit team but does not act as an auditor	Observer
Escorts the auditors but does not participate in the audit	Guide

#### Explanation:

- \* Prepares the audit report # Audit Team Leader: The Audit Team Leader is responsible for compiling and preparing the final audit report, summarizing findings and conclusions.
- \* Prepares audit checklists for use during the audit # Auditor: Auditors create checklists to ensure a thorough and systematic evaluation during the audit.
- \* Participates under the direction and guidance of an auditor # Auditor in Training: An auditor in training works under supervision to gain experience and contribute to the audit process.
- \* Follows up on audit findings within an agreed timeframe # Auditee: The auditee is responsible for addressing and resolving audit findings and providing evidence of corrective actions.
- \* A person who accompanies the audit team but does not act as an auditor # Observer: Observers are typically external parties or internal personnel who watch the audit process but do not participate actively.
- \* Escorts the auditors but does not participate in the audit # Guide: A guide facilitates the audit by helping auditors navigate the site and access relevant areas or documents.

#### References

\* ISO 19011:2018: Guidelines for auditing management systems.

#### **NEW QUESTION #38**

ISO 45001 requires the organisation to maintain and retain documented OH&SMS information. Check each statement and determine which two are true:

- A. Industry-specific standards provided by the client should be maintained.
- B. The results of emergency drills should be kept.
- C. A documented plan for achieving occupational health and safety objectives should be maintained.
- D. The occupational health and safety policy is an example of a document to be kept.
- E. Documented information to be retained includes material safety data sheets.
- F. The terms "maintain" and "retain" can be used interchangeably."

#### **NEW QUESTION #39**

Which three of the following ISO 45001 activities require the organisation to emphasise non-managerial workers' participation?

- A. Determining what needs to be communicated
- B. Establishing OHS objectives
- C. Determining how to fulfil legal requirements
- D. Determining competence requirements
- E. Determining controls on outsourced processes
- F. Managing the internal audit programme
- G. Determining actions to eliminate hazards
- H. Establishing the OHS policy

#### Answer: B,G,H

#### Explanation:

ISO 45001 emphasizes the importance of worker participation, particularly non-managerial workers, in developing and improving the OH&S management system. Clause 5.4 outlines specific areas where their involvement is crucial. Analysis of Options:

- \* A. Determining competence requirements:Incorrect. Competence requirements are primarily determined by management but may involve feedback from workers.
- \* B. Determining how to fulfil legal requirements: Incorrect. Management typically handles compliance with legal requirements.
- \* C. Determining controls on outsourced processes:Incorrect. This is a management responsibility.
- \* D. Managing the internal audit programme: Incorrect. Workers participate in audits, but managing the program is a management task.
- \* E. Determining what needs to be communicated:Incorrect. Communication strategies are usually set by management.
- \* F. Determining actions to eliminate hazards:Correct. Non-managerial workers' input is vital in identifying and eliminating workplace hazards (Clause 8.1.2).
- \* G. Establishing the OHS policy:Correct. Workers' participation in policy development ensures it is relevant and inclusive (Clause 5.2).
- \* H. Establishing OHS objectives:Correct. Workers' participation ensures that objectives are practical and aligned with workplace realities (Clause 6.2).

#### **NEW QUESTION #40**

Showitoff is an organization specialization in the design and production of wall decorating materials for the domestic market. During an ISO 45001 certification audit of the site, the auditor comes across an open, walled area just outside the maintenance department. It contains various scraps of wood and metal as well as serveral rusty components. Several heavy concrete beams are learning against a thin brick wall in which cracks are available. When asked about it, the OHS Manager states that he presumes that the materials come from maintenance work, so it is the Maintenance Manager's responsibility.

The auditor interview the Maintenance Manager in his department. He asks about the area outside and is told that it contains some excess material that the Manager likes to keep in case they come in handy at some stage.

The auditor points out that the wall appears to be unstable and could collapse at any moment. The Maintenance Manager is not aware of such a situation.

Wash-it-up is an organization that provides window cleaning services for the industrial sector. It has been certified to ISO 45001 for some time and has appointed a new OHS Manager. The auditor asks to see the most recent management review meeting minutes. Which three statement would represent input audit evidence for the management review?

- A. Proposal to priorities health and safety issues as a business strategy
- B. Decisions related to continual Improvement opportunities
- C. Worker feedback of positive and negative comments
- D. Allocation of a bigger budget for the OHS department
- E. Procurement of new safety harnesses for workers
- F. Decisions on Improvements in the OHSMS
- G. Report showing the trend of on increase in safety incidents
- H. Updates to the risk register

Answer: C,G,H

#### Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit References Clause 9.3 of ISO 45001:2018 defines inputs for management review, which must include information on performance trends, feedback, risks, and opportunities for improvement. Analysis of Options:

- \* A. Allocation of a bigger budget for the OHS department: This is an output of management review, not an input.
- \* B. Decisions on improvements in the OHSMS: This is an output, not an input.
- \* C. Decisions related to continual improvement opportunities: This is an output, not an input.
- \* D. Procurement of new safety harnesses for workers: This is an operational action, not an input for management review.
- \* E. Proposal to prioritize health and safety issues as a business strategy:Proposals may be discussed during review, but they are outputs.
- \* F. Report showing the trend of an increase in safety incidents: Correct. This is a valid input that informs system performance.
- \* G. Updates to the risk register:Correct. Risk updates are required inputs under Clause 6.1.2.
- \* H. Worker feedback of positive and negative comments: Correct. Feedback is an essential input per Clause 5.4 and Clause 9.3. ISO References:
- \* Clause 9.3: Management review inputs.
- \* Clause 5.4: Worker consultation and participation.

#### **NEW QUESTION #41**

You are an audit team leader overseeing the activity of a trainee auditor. Your team has just completed a surveillance audit and is assembling the final report.

The trainee has not contributed to a final report before and is clearly struggling with what she should provide to you for inclusion. Which three of her following statements would be appropriate?

- A. The audit client's objectives for this audit were never realistic. That's why we were unable to complete the audit plan.
- B. The maintenance team could not replace a lightbulb, let alone an air conditioning unit.
- C. The Sales Manager was kind and seems to be a nice person.
- D. The guide was trying to be helpful and would not stop talking during the audit.
- E. The OHS Management team were extremely helpful and went out of their way to facilitate access to required records.
- F. The OHS Manager disagreed with me In respect of all of the nonconformances I raised. He still does not accept any of them.
- G. The Production Manager is kicky to have a job as he does not appear to understand how anything works around here.
- H. The Sales Manager said he had forgotten the password to the Sales Ordering system and so too had everyone else In his
  department

#### Answer: A,E,H

#### Explanation:

Audit reports should focus on objective evidence, observations, and findings relevant to the management system and the audit process. Personal opinions, unrelated remarks, or derogatory comments are inappropriate.

Analysis of Statements:

- \* A. The guide was trying to be helpful and would not stop talking during the audit:Incorrect. This is irrelevant to the audit findings or conclusions
- \* B. The Sales Manager was kind and seems to be a nice person:Incorrect. Personal opinions about individuals are not appropriate in audit reports.
- \* C. The audit client's objectives for this audit were never realistic. That's why we were unable to complete the audit plan:Correct. This is relevant as it relates to the audit process and highlights challenges in meeting objectives.
- \* D. The maintenance team could not replace a lightbulb, let alone an air conditioning unit: Incorrect. This is derogatory and irrelevant to the audit report.
- \* E. The OHS Management team were extremely helpful and went out of their way to facilitate access to required records: Correct. This is a valid observation about cooperation during the audit process.
- \* F. The OHS Manager disagreed with me in respect of all of the nonconformances I raised. He still does not accept any of them:Incorrect. While disagreements may occur, this statement is overly subjective and not constructive.
- \* G. The Production Manager is lucky to have a job as he does not appear to understand how anything works around here:Incorrect. Personal attacks or irrelevant judgments have no place in the report.
- \* H. The Sales Manager said he had forgotten the password to the Sales Ordering system and so too had everyone else in his department: Correct. This relates to system access and could indicate a nonconformance or an opportunity for improvement. ISO References:
- \* ISO 19011:2018, Clause 6.7: Reporting audit results.

#### **NEW QUESTION #42**

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