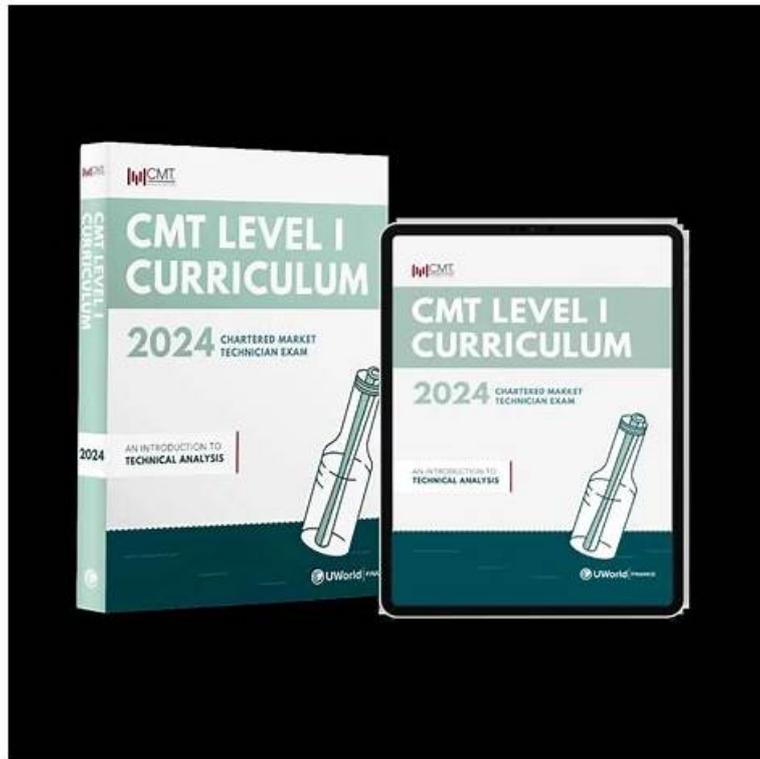


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CMT Association CMT Level 1 Exam Sample Questions (Q54-Q59):

NEW QUESTION # 54

During emotional times, flow of funds signals:

- A. Appear to work poorly because price seems to divorce itself from economics
- B. Suggest the duration of the next downleg

- C. Function no differently than at any other time
- D. Appear to work exceptionally well

Answer: A

Explanation:

Detailed Explanation:

Flow of funds analyzes liquidity and buying power. In highly emotional markets (panics or bubbles), psychology overrides liquidity constraints. Therefore, flow of funds indicators appear to work poorly as prices move based on fear/greed rather than available capital/economics²¹.

NEW QUESTION # 55

On a Point and Figure chart, to account for the distortion caused by low-price action not appearing as active as high-price action, one should change the:

- A. Scale to arithmetic
- B. Box size on the chart
- C. Reversal amount
- **D. Scale to logarithmic**

Answer: D

Explanation:

Detailed Explanation:

Using a Logarithmic scale (or percentage-based box sizes) ensures that a 1% move is represented by the same vertical distance whether the stock is at \$10 or \$100. This corrects the distortion where low-priced stocks generate huge charts on arithmetic scales for small absolute moves.

NEW QUESTION # 56

In rectangle, triangle, flag and pennant patterns, volume generally:

- A. Increases as the pattern develops
- B. Cannot be measured
- C. Spikes midway through the pattern
- **D. Decreases as the pattern develops**

Answer: D

Explanation:

Detailed Explanation:

These patterns are consolidations. As the market pauses and the trading range tightens (e.g., apex of a triangle), uncertainty increases and participation drops. Consequently, volume generally decreases (dries up) as the pattern develops, until the breakout occurs.

NEW QUESTION # 57

Tami Al-Hari, CMT, in meeting with a client states that "since I have earned the prestigious CMT charter, you can expect my stock selections and analysis will be superior to my competitors who have not earned the designation." With respect to the MTA Code of Ethics, Al-Hari's actions are:

- A. In violation as Members and Affiliates cannot make any promises when soliciting clients
- **B. In violation of the Code as the statement is inaccurate and misleading**
- C. Not in violation of the Code
- D. In violation because the CMT charter does not imply superiority

Answer: B

Explanation:

Detailed Explanation:

The CMT designation certifies knowledge and competence, but it does not guarantee superior investment performance or stock selection results compared to others. Making such a claim is misleading and exaggerates the meaning of the credential, which is a violation 10101010.

NEW QUESTION # 58

Which group of the following may be termed as the key to making money?

- A. Objectivity, flexibility, discipline and risk management
- B. Studies, Discipline, risk control and non-leveraged positions
- C. Subjectivity, research, discipline and risk management
- D. Objectivity, Analysis, Discipline and risk management

Answer: A

Explanation:

Detailed Explanation:

Successful trading requires Objectivity (seeing the market as it is), Discipline (following the plan), and Risk Management (controlling losses). Flexibility is also crucial to adapt when market conditions change. Rigidity (lack of flexibility) is often cited as a cause of failure.²⁸

NEW QUESTION # 59

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