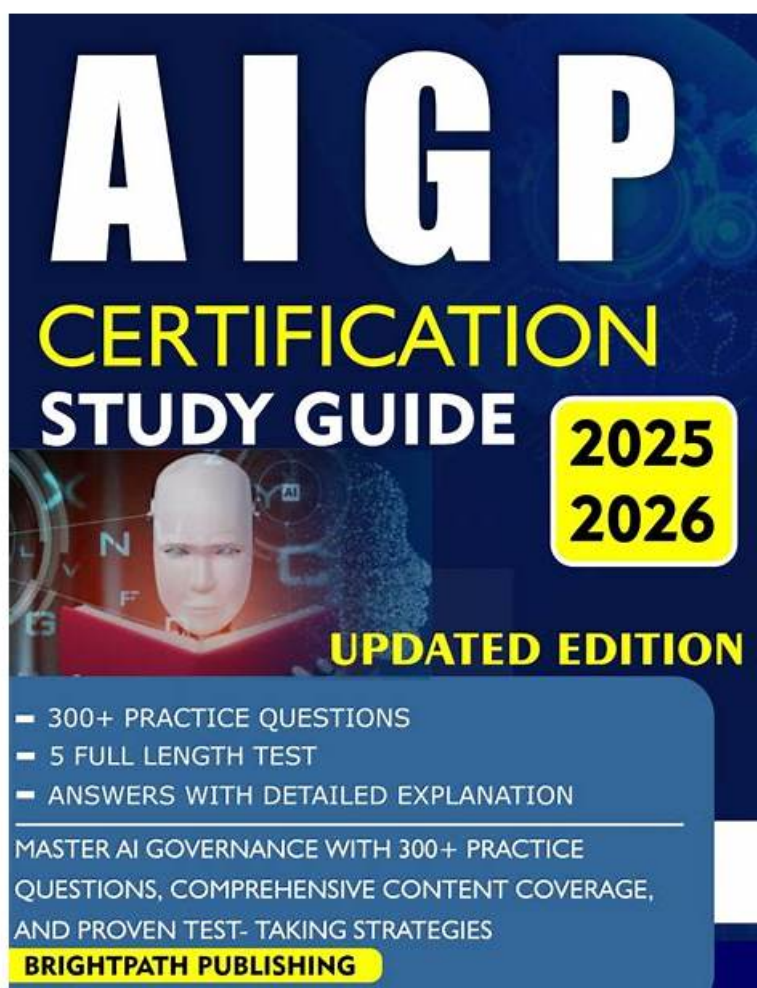


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IAPP AIGP Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.

Topic 2	<ul style="list-style-type: none"> • Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.
Topic 3	<ul style="list-style-type: none"> • Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.
Topic 4	<ul style="list-style-type: none"> • Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.

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IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q68-Q73):

NEW QUESTION # 68

Scenario:

Business A provides grammar and writing assistance tools and licenses a generative AI model from Business B to enhance its offerings. Business A is concerned that the AI model might produce inappropriate or toxic content and wants to implement governance processes to prevent this.

Which of the following governance processes should Business A take to best protect its users against potentially inappropriate text?

- A. Business A should establish a user reporting feature that allows users to flag toxic or obscene text, and report any incidents to Business B
- B. Business A should fine-tune the AI model on user-generated text that has been verified to be appropriate
- C. Business A should ask Business B for detailed documentation on the generative AI model's training data and whether it contained toxic or obscene sources
- **D. Business A should test that the AI model performs as expected and meets their minimum requirements for filtering toxic or obscene text**

Answer: D

Explanation:

The correct answer is B. According to responsible AI practices, pre-deployment testing to ensure the model behaves as expected and aligns with organizational requirements is critical.

From the AIGP ILT Guide:

"Testing for unacceptable outcomes such as toxicity, discrimination, or hallucinations should be included in the AI governance life cycle, particularly during development and prior to deployment." Also emphasized in the AI Governance in Practice Report 2025:

"Organizations must verify legal and regulatory compliance, monitor performance, and mitigate risks prior to deployment." Testing the model to meet safety and appropriateness standards is more proactive and preventive than relying solely on user reporting or requesting documentation.

NEW QUESTION # 69

Scenario:

A European AI technology company was found to be non-compliant with certain provisions of the EU AI Act.

The regulator is considering penalties under the enforcement provisions of the regulation.

According to the EU AI Act, which of the following non-compliance examples could lead to fines of up to €15 million or 3% of annual worldwide turnover (whichever is higher)?

- A. In case of AI Act prohibitions
- B. In case of the supply of misleading information to notified bodies in reply to a request
- C. In case of a breach of AI Act prohibition by the Union institutions, bodies, offices and agencies
- **D. In case of breach of a provider's obligations for high-risk AI systems**

Answer: D

Explanation:

The correct answer is B. The EU AI Act assigns a tiered penalty system based on the severity of the violation. A breach of obligations related to high-risk AI systems falls into the mid-tier category, triggering fines of €15 million or 3% of annual global turnover.

From the AIGP ILT Guide - EU AI Act Module:

"Providers of high-risk AI systems must comply with strict documentation, testing, monitoring, and registration obligations. Breaches of these result in significant fines of up to €15 million or 3% of turnover." AI Governance in Practice Report 2025 supports this:

"Non-compliance with obligations under Title III (high-risk systems) leads to financial penalties under Article 71(3) of the EU AI Act."

Note: The highest penalty (€35 million or 7%) applies to prohibited AI uses, not to obligations for high-risk systems.

NEW QUESTION # 70

The best practice to manage third-party risk associated with AI systems is to create and implement policies that?

- **A. Provide for an appropriate level of due diligence and ongoing monitoring based on the defined risk.**
- B. Require third-party AI systems to undergo a comprehensive audit by an external cybersecurity firm every six months.
- C. Focus on the technical aspects of AI systems, such as data security, while ethical risks are addressed through suitable contracts.
- D. Focus on the financial stability of third-party vendors as the primary criterion for risk assessment.

Answer: A

Explanation:

Third-party risk management for AI systems should be proportional and risk-based, involving initial due diligence and ongoing monitoring that reflects the level of risk posed by the third party's AI system.

From the AI Governance in Practice Report 2024:

"Third-party due diligence assessments to identify possible external risk and inform selection." (p. 11)

"Legal due diligence may include verification of the personal data's lawful collection by the data broker, review of contractual obligations..." (p. 19)

* A focuses too narrowly on financial stability.

* C is excessive and not scalable or aligned with best practices.

* D inappropriately separates ethical and technical risks; both must be evaluated holistically.

NEW QUESTION # 71

Which stakeholder is responsible for lawful collection of data for the training of the foundational AI model?

- **A. The data aggregator.**
- B. The tech company.
- C. The marketing agency's client.
- D. The marketing agency.

Answer: A

Explanation:

Data aggregators are third parties that collect and license data from various sources. They are responsible for ensuring the lawful collection and proper usage rights of the data they distribute - especially when such data is used to train foundational AI models.

From the AI Governance in Practice Report 2025:

"As organizations have neither proximity to how third-party data was first collected nor direct control over the data governance practices of third parties, an organization can benefit from carrying out its own legal due diligence and third-party risk management." (p. 19)

"Legal due diligence may include verification of the personal data's lawful collection by the data broker..." (p. 19)

This confirms that data aggregators bear the legal and ethical burden to verify that data has been lawfully collected and is appropriately licensed for use, including in AI training.

* A. The marketing agency and D. its client may use data, but they rely on upstream providers for its lawful origin.

* B. The tech company may train the model but depends on lawful sourcing by data aggregators.

NEW QUESTION # 72

Scenario:

An enterprise is evaluating multiple third-party generative AI tools to integrate into its platform. As part of its AI governance policy, it is assessing the most effective methods to reduce risks related to bias, data misuse, and liability when using third-party solutions.

All of the following are commonly adopted processes and policies in reducing potential risks introduced by third-party AI tools or applications EXCEPT:

- **A. Allowing publicly available information and personally identifiable information (PII) to be incorporated into the prompt**
- B. Requiring an independent third-party bias audit for third-party generative AI tools
- C. Requiring new use cases of the generative AI tools or applications to be reviewed and approved by the generative AI governance body
- D. Including clauses in the procurement agreement for buyers of generative AI tools to put certain liabilities on the tool supplier

Answer: A

Explanation:

The correct answer is B. Allowing PII to be freely entered into prompts without safeguards is considered a major privacy and security risk and is not a responsible governance practice.

From the AIGP ILT Guide - Generative AI & Third-Party Risk Management:

"Use of personal or sensitive information in AI prompts can result in unintended exposure, regulatory breaches, and downstream liability." The AI Governance in Practice Report 2025 highlights:

"PII should be minimized or protected by design. Prompt engineering should prevent entry of personally identifiable data unless legally and technically safeguarded." A, C, and D are established best practices under responsible AI procurement and use.

NEW QUESTION # 73

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