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SAMPLE CMT LEVEL I EXAM

PREFACE:

The following document is a sample exam paper. The questions have been constructed/ phrased in a manner that is similar to past exams. The objective of this sample exam is to give a clear indication of the question structure and language one can expect in the exam.

Important points to note

- Please note that there may be up to 25% variation in the question mix in the Level II exams as changes in administration occur. Also note that these sample questions are patterned after a limited number of questions drawn from a much larger pool of actual exam questions. This further increases the chances that the questions appearing in the exam may be significantly different from those contained in this sample. Candidates are cautioned not to expect the questions from the previous years' exams to appear in the current year.
- In addition, these sample questions cover a variety of topics; however, in the actual exam the weighting may vary, i.e. the proportion of questions drawn from different topics may be different from the pattern followed in this sample paper. The actual exam consists of 135 questions of which 120 are scored items.
- In some aspects, these sample questions are designed differently from the actual exam so as to better serve as a reviewing tool for candidates. For example, many questions and/or answers may be longer than in the actual exam so that the questions and answers serve as a review of the material.

Please note that this sample question booklet has been prepared completely independently from the exam to ensure that the questions that will appear in the actual exam paper remain secure and confidential. The MTA maintains a discussion group forum for CMT candidates on its web site. Candidates are encouraged to utilize this resource and to discuss and clarify questions regarding the areas of the Body of Knowledge where they lack familiarity or seek better understanding.

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CMT Association CMT Level 1 Exam Sample Questions (Q329-Q334):

NEW QUESTION # 329

A method to improve a trading system utilizing band penetrations as trading signals, which is giving too many unprofitable trade signals, is to utilize:

- A. A shorter time horizon
- **B. A wider range of price movement**
- C. A narrower range of price movement
- D. Stop loss orders

Answer: B

Explanation:

Detailed Explanation:

If a band system (like Bollinger Bands) is generating too many false signals (whipsaws), it typically means the bands are too narrow or sensitive to noise. By using a wider range of price movement (e.g., increasing the standard deviation setting from 2.0 to 2.5 or the lookback period), you filter out minor fluctuations and only trigger signals on more significant moves¹.

NEW QUESTION # 330

The stock price of Kennedy Consultants is falling for last 30 days. Ronny observed that one indicator was still rising continuously. Which of the following is he observing?

- A. Rate of change
- B. Relative strength index
- C. 10 day Moving average
- **D. Relative strength**

Answer: D

Explanation:

Detailed Explanation:

Relative Strength (Comparative) measures a stock against a benchmark. Even if a stock is falling, if the benchmark is falling faster, the ratio (Stock/Benchmark) will rise. Thus, it is possible for the Relative Strength line to rise while the absolute price is falling.

NEW QUESTION # 331

An evening doji star candlestick pattern:

- A. Has a doji in the last position
- B. Has a doji in the first position
- C. Is completely comprised of dojis
- **D. Has a doji in the middle position**

Answer: D

Explanation:

Detailed Explanation:

The Evening Doji Star consists of three candles. The first is a long white candle, the second is a Doji that gaps up (middle position), and the third is a black candle. The Doji in the middle signifies the moment of indecision at the top.

NEW QUESTION # 332

Chris is determining mean for the data 123.4, 125.7, 129.5, 122.468, 124.7, 133.5, 127.8, 129.5, 130.5, 128.7, 129.5, 132.8. What would be the correct mean?

- A. 129.5
- B. 129.1
- **C. 128.2**
- D. 128.9

Answer: C

Explanation:

Detailed Explanation:

Sum of values = 1538.4.

Count of values = 12.

Mean = $1538.4 / 12 = 128.2$.

NEW QUESTION # 333

Treasury bill returns come from:

- A. Volatility
- B. Interest payments
- C. Dividend payments
- **D. Price changes**

Answer: D

Explanation:

Detailed Explanation:

Treasury Bills (T-Bills) are zero-coupon securities issued at a discount. They do not pay regular interest. The return comes entirely from the price change (appreciation) as the bill rises from its discounted purchase price to its face value (Par) at maturity.

NEW QUESTION # 334

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