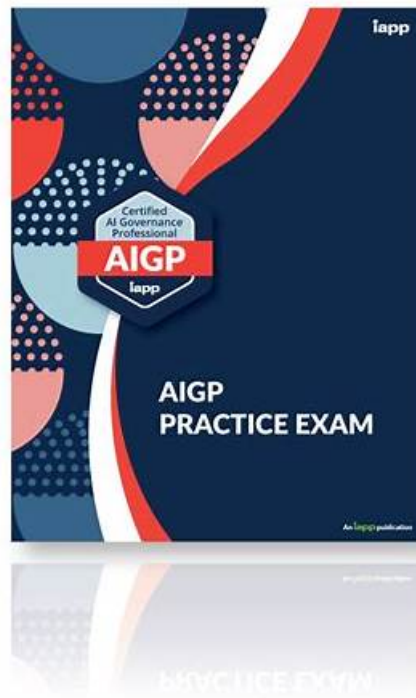


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IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q98-Q103):

NEW QUESTION # 98

All of the following types of testing can help evaluate the performance of a responsible AI system EXCEPT?

- A. Statistical sampling.
- B. Decision analysis.

- C. Adversarial robustness.
- **D. Risk probability/severity.**

Answer: D

Explanation:

Risk probability/severity testing is not typically used to evaluate the performance of an AI system. While important for risk management, it does not directly assess an AI system's operational performance. Adversarial robustness, statistical sampling, and decision analysis are all methods that can help evaluate the performance of a responsible AI system by testing its resilience, accuracy, and decision-making processes under various conditions. Reference: AIGP Body of Knowledge on AI Performance Evaluation and Testing.

NEW QUESTION # 99

CASE STUDY

Please use the following answer the next question:

A mid-size US healthcare network has decided to develop an AI solution to detect a type of cancer that is most likely arise in adults. Specifically, the healthcare network intends to create a recognition algorithm that will perform an initial review of all imaging and then route records a radiologist for secondary review pursuant agreed-upon criteria (e.g., a confidence score below a threshold).

To date, the healthcare network has taken the following steps: defined its AI ethical principles; conducted discovery to identify the intended uses and success criteria for the system; established an AI governance committee; assembled a broad, crossfunctional team with clear roles and responsibilities; and created policies and procedures to document standards, workflows, timelines and risk thresholds during the project.

The healthcare network intends to retain a cloud provider to host the solution and a consulting firm to help develop the algorithm using the healthcare network's existing data and de-identified data that is licensed from a large US clinical research partner.

In the design phase, what is the most important step for the healthcare network to take when mapping its existing data to the clinical research partner data?

- **A. Identify fits and gaps in the combined data.**
- B. Apply privacy-enhancing technologies to the data.
- C. Evaluate the country of origin of the data.
- D. Ensure the data is labeled and formatted.

Answer: A

Explanation:

In the design phase of integrating data from different sources, identifying fits and gaps is crucial. This process involves understanding how well the data from the clinical research partner aligns with the healthcare network's existing data. It ensures that the combined data set is coherent and can be effectively used for training the AI algorithm. This step helps in spotting any discrepancies, inconsistencies, or missing data that might affect the performance and accuracy of the AI model. It directly addresses the integrity and compatibility of the data, which is foundational before applying any privacy-enhancing technologies, labeling, or evaluating the origin of the data. Reference: AIGP Body of Knowledge on Data Integration and Quality.

NEW QUESTION # 100

A shipping service based in the US is looking to expand its operations into the EU. It utilizes an in-house developed multimodal AI model that analyzes all personal data collected from shipping senders and recipients, and optimizes shipping routes and schedules based on this data.

As they expand into the EU, all of the following descriptions should be included in the technical documentation for their AI model EXCEPT?

- A. A general description of the AI system.
- B. A description of the appropriateness of the performance metrics for the specific AI system.
- **C. A description of the prioritization of the risks of deployment of the AI system.**
- D. A detailed description of the elements of the AI system and of the process for its development.

Answer: C

Explanation:

The EU AI Act outlines what must be included in technical documentation for high-risk systems. These requirements are designed to support conformity assessment, transparency, and traceability.

From the AI Governance in Practice Report 2024:

"It mandates drawing up technical documentation... must include a general description of the AI system, the intended purpose, and a detailed description of the elements and development process." (p. 34)

"Documentation... includes training, testing, evaluation procedures, and appropriateness of performance metrics." (p. 34-35) The risk management system is addressed separately through a risk management plan, not within the technical documentation itself.

Thus:

* A, C, and D are explicitly required in the technical documentation.

* B, while important, is part of the risk management process, not a required section of technical documentation.

NEW QUESTION # 101

CASE STUDY

A premier payroll services company that employs thousands of people globally, is embarking on a new hiring campaign and wants to implement policies and procedures to identify and retain the best talent. The new talent will help the company's product team expand its payroll offerings to companies in the healthcare and transportation sectors, including in Asia.

It has become time consuming and expensive for HR to review all resumes, and they are concerned that human reviewers might be susceptible to bias.

To address these concerns, the company is considering using a third-party AI tool to screen resumes and assist with hiring. They have been talking to several vendors about possibly obtaining a third-party AI-enabled hiring solution, as long as it would achieve its goals and comply with all applicable laws.

The organization has a large procurement team that is responsible for the contracting of technology solutions.

One of the procurement team's goals is to reduce costs, and it often prefers lower-cost solutions. Others within the company deploy technology solutions into the organization's operations in a responsible, cost-effective manner.

The organization is aware of the risks presented by AI hiring tools and wants to mitigate them. It also questions how best to organize and train its existing personnel to use the AI hiring tool responsibly. Their concerns are heightened by the fact that relevant laws vary across jurisdictions and continue to change.

The organization continues planning the adoption of an AI tool to support hiring, but is concerned about potential bias in content generated by AI systems and how that could affect public perception.

Which of the following measures should the company adopt to best mitigate its risk of reputational harm from using the AI tool?

- A. Ensure the vendor provides indemnification for the AI tool
- B. Require the procurement and deployment teams to agree upon the AI tool
- **C. Test the AI tool pre- and post-deployment**
- D. Continue to require the company's hiring personnel to manually screen all applicants

Answer: C

Explanation:

Note: This is the same scenario and question as Question 21 and thus has the same correct answer: A. It's possible this was duplicated in your original input.

Repeated for clarity:

"Testing AI tools pre- and post-deployment helps ensure they perform as expected and do not introduce bias, privacy issues, or fairness concerns. This mitigates reputational and legal risk." The AI Governance in Practice Report 2024 further reinforces:

"Ongoing monitoring and testing post-deployment allows organizations to catch and correct unintended impacts... especially important in HR and hiring contexts."

NEW QUESTION # 102

Scenario:

A public sector agency is reviewing proposed AI use cases for improving services. It wants to prioritize implementations that deliver value but minimize unintended negative consequences.

When evaluating which AI use cases to implement, an organization should consider all of the following EXCEPT:

- A. The users and their expectations
- B. Potential positive and negative impacts of the system
- **C. Related TEVV (test, evaluate, verify, validate) and system metrics**
- D. Equitable access to the AI tool

Answer: C

Explanation:

The correct answer is A. While TEVV is important in later lifecycle phases, it is not the primary consideration when evaluating and prioritizing use cases.

From the AIGP Body of Knowledge - Use Case Assessment Module:

"Use case evaluation focuses on value, impact, fairness, and accessibility-technical testing considerations come later." ILT Guide confirms:

"Organizations should first assess whether the AI system provides equitable outcomes and aligns with stakeholder expectations. TEVV is part of implementation, not initial prioritization." Thus, A is not a top-level consideration during use case selection.

NEW QUESTION # 103

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