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IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q120-Q125):

NEW QUESTION # 120

What is the primary purpose of an AI impact assessment?

- A. To escalate the findings to the appropriate owner(s)

- B. To determine whether a conformity assessment is needed
- **C. To anticipate and manage the potential risks and harms of an AI system**
- D. To identify and measure the benefits of an AI system

Answer: C

Explanation:

The correct answer is D. AI Impact Assessments are primarily used to identify and manage risks and harms associated with AI systems.

From the AIGP Body of Knowledge:

"The goal of an AI impact assessment is to ensure that risks are identified, evaluated, and mitigated prior to or during development and deployment." As further confirmed in the AI Governance in Practice Report 2024 (Part III):

"Risk-based tools like DPIAs and Algorithmic Impact Assessments help identify potential risks to individuals and society, enabling organizations to implement mitigation plans and safeguards." While benefits may be noted in such assessments, the core objective is to manage risks and promote responsible AI.

NEW QUESTION # 121

Scenario:

A mid-sized tech firm is building its AI governance program and is exploring ISO/IEC standards that could support consistency in terminology and risk assessment processes across teams.

ISO/IEC 22989 and ISO/IEC 42001 can be valuable resources for AI Governance professionals in all of the following ways EXCEPT:

- A. Recommending key activities to assess and manage risk: test, evaluate, verify and validate (TEVV)
- **B. Addressing specific issues related to managing procurement processes with third parties that provide or develop AI systems for their organization**
- C. Being applicable to organizations of any size and industry seeking to use AI responsibly and effectively in their design processes, information systems and controls
- D. Establishing terminology and describing concepts so that governance team members can communicate with diverse parties and stakeholders from around the world

Answer: B

Explanation:

The correct answer is C. ISO/IEC 22989 and 42001 focus on terminology, risk, and management systems, but do not specifically address procurement-related concerns with third-party vendors.

From the AIGP Body of Knowledge - Standards Section:

"ISO/IEC 22989 defines terminology and foundational concepts. ISO/IEC 42001 provides a management system standard for AI. They are not procurement-focused documents." Also confirmed in the AI Governance in Practice Report 2024:

"These standards help establish common language and risk governance procedures. Procurement governance typically falls under separate frameworks or sector-specific guidance." Thus, procurement governance (Option C) is not a central use case for these standards.

NEW QUESTION # 122

Scenario:

A European AI technology company was found to be non-compliant with certain provisions of the EU AI Act.

The regulator is considering penalties under the enforcement provisions of the regulation.

According to the EU AI Act, which of the following non-compliance examples could lead to fines of up to € 15 million or 3% of annual worldwide turnover (whichever is higher)?

- A. In case of AI Act prohibitions
- B. In case of the supply of misleading information to notified bodies in reply to a request
- C. In case of a breach of AI Act prohibition by the Union institutions, bodies, offices and agencies
- **D. In case of breach of a provider's obligations for high-risk AI systems**

Answer: D

Explanation:

The correct answer is B. The EU AI Act assigns a tiered penalty system based on the severity of the violation. A breach of

obligations related to high-risk AI systems falls into the mid-tier category, triggering fines of €15 million or 3% of annual global turnover.

From the AIGP ILT Guide - EU AI Act Module:

"Providers of high-risk AI systems must comply with strict documentation, testing, monitoring, and registration obligations. Breaches of these result in significant fines of up to €15 million or 3% of turnover." AI Governance in Practice Report 2024 supports this: "Non-compliance with obligations under Title III (high-risk systems) leads to financial penalties under Article 71(3) of the EU AI Act."

Note: The highest penalty (€35 million or 7%) applies to prohibited AI uses, not to obligations for high-risk systems.

NEW QUESTION # 123

To maintain fairness in a deployed system, it is most important to?

- A. Protect against loss of personal data in the model.
- B. Detect anomalies outside established metrics that require new training data.
- **C. Monitor for data drift that may affect performance and accuracy.**
- D. Optimize computational resources and data to ensure efficiency and scalability.

Answer: C

NEW QUESTION # 124

In the machine learning context, feature engineering is the process of?

- A. Creating learning schema for a model apply.
- B. Converting raw data into clean data.
- **C. Extracting attributes and variables from raw data.**
- D. Developing guidelines to train and test a model.

Answer: C

Explanation:

In the machine learning context, feature engineering is the process of extracting attributes and variables from raw data to make it suitable for training an AI model. This step is crucial as it transforms raw data into meaningful features that can improve the model's accuracy and performance. Feature engineering involves selecting, modifying, and creating new features that help the model learn more effectively. Reference: AIGP Body of Knowledge on AI Model Development and Feature Engineering.

NEW QUESTION # 125

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