

ISO-45001-Lead-Auditor Passleader Review | Exam ISO-45001-Lead-Auditor Tutorial



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PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">Fundamental principles and concepts of an occupational health and safety management system: This section of the exam measures the skills of Health and Safety Managers and covers the essential principles and concepts underlying an occupational health and safety management system (OHSMS). It focuses on understanding the framework for managing health and safety risks to prevent workplace injuries and illnesses. One skill to be measured is identifying key components of an effective OHSMS.
Topic 2	<ul style="list-style-type: none">Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.
Topic 3	<ul style="list-style-type: none">Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.

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PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q63-

Q68):

NEW QUESTION # 63

Wash-it-up is an organization that provides window cleaning services for the industrial sector. It has been certified to ISO 45001 for some time and has appointed a new OHS Manager. The audit plan during a surveillance audit includes the improvement actions and the auditor asks to see the most recent management review meeting minutes.

surveillance audit includes the Improvement actions and the auditor asks to see the most recent management review meeting minutes. Which six of the statements would represent output audit evidence for the management review?

- A. Worker feedback of positive and negative comments
- **B. Improvements In the OHSMS**
- C. Report showing the trend of an increase In safety incidents
- D. Procurement of new safety harnesses for workers
- **E. Plan to priorities health and safety issues as a business strategy**
- **F. Opportunities to integrate the OHS management system with other business processes**
- G. Minutes of previous management reviews
- **H. Allocation of a bigger budget for the OHS department**
- **I. Updates to the risk register**
- **J. Decagons related to continual improvement opportunities**

Answer: B,E,F,H,I,J

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit Reference Clause 9.3 of ISO 45001:2018 outlines the requirements for management reviews. These reviews must evaluate the performance of the OHSMS and identify opportunities for improvement. Outputs of management reviews typically include decisions and actions that align with strategic and operational priorities.

Analysis of Options:

A . Decisions related to continual improvement opportunities:

This is a valid output, as continual improvement is a key objective of management reviews under Clause 10.3.

B . Allocation of a bigger budget for the OHS department:

Resource allocation is a common output of management reviews to address identified needs.

C . Improvements in the OHSMS:

Improvements reflect the organization's commitment to enhancing safety performance.

D . Minutes of previous management reviews:

While minutes provide context, they are not an output of the current management review.

E . Opportunities to integrate the OHS management system with other business processes:

Integration opportunities are valid outputs, as they enhance the system's effectiveness.

F . Plan to prioritize health and safety issues as a business strategy:

Strategic alignment of health and safety with business objectives is an expected output.

G . Procurement of new safety harnesses for workers:

This is an operational action, not a direct output of the management review process.

H . Report showing the trend of an increase in safety incidents:

This is an input to the management review, not an output.

I . Updates to the risk register:

Risk register updates reflect changes in identified hazards and risks, making this a valid output.

J . Worker feedback of positive and negative comments:

Worker feedback is an input to the management review, not an output.

ISO Reference:

Clause 9.3: Management review inputs and outputs.

Clause 10.3: Continual improvement actions.

NEW QUESTION # 64

An internal auditor of a manufacturer of plastic packaging products for the food industry raised a nonconformity against section 10.2 of ISO 45001 in Report JA202. The nonconformity (NC3) stated: "The level of reported health and safety incidents has increased by 9.7% over the last 12 months." As the third-party auditor who comes across this nonconformity during a surveillance audit, how would you react? Select one.

- A. You would ask the OHS Manager why the incident rate was rising by so much in one year.
- B. You would raise a nonconformity since the incident rate had not reduced at the time of the internal audit.

- C. You would find out what corrective action was taken and whether it was effective.
- D. You would investigate whether the incident rate featured in the previous internal audit report.

Answer: C

NEW QUESTION # 65

According to ISO 45001, who should participate in the continuous improvement of the health and safety management system?

- A. Top management, senior officers and designated safety representatives only
- B. Everyone, at all levels of the company
- C. Top management only
- D. Top management and senior officers only

Answer: B

Explanation:

ISO 45001 emphasizes worker participation and engagement at all levels of the organization to support continuous improvement (Clause 5.4 and Clause 10.3). Continuous improvement requires input from everyone, including workers who identify hazards, report incidents, and contribute to safety enhancements.

Analysis of Options:

- * A. Everyone, at all levels of the company:Correct. Clause 5.4 explicitly includes all levels of the organization in consultation and participation, fostering continuous improvement.
- * B. Top management and senior officers only:Incorrect. While top management plays a crucial role in leadership, ISO 45001 extends the responsibility for participation to all levels.
- * C. Top management, senior officers, and designated safety representatives only:Incorrect. Limiting participation to designated representatives excludes a significant portion of the workforce from the improvement process.
- * D. Top management only:Incorrect. While top management must lead and commit to continuous improvement, their involvement alone is insufficient under ISO 45001.

ISO References:

- * Clause 5.4: Worker consultation and participation.
- * Clause 10.3: Continual improvement.

NEW QUESTION # 66

An audit team leader arrives at a fabrication organisation that manufactures stairways to ..certification audit.

At a meeting with the OHSMS Manager the audit finds that the responsibility for worker consultation and participation lies with the managerial team. Select from the options four actions by the managerial team that encourage worker consultation and participation.

- A. Implementing methods for engaging workers
- B. Promoting collaborating workers to higher positions
- C. Appointing worker's representatives
- D. Giving ownership of health and safety matters
- E. Implementing a payment scheme to reward worker's OHS performance
- F. Ignoring worker's suggestions
- G. Conducting toolbox talks on health and safety
- H. threatening reprisals to whistleblowers

Answer: A,C,D,G

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit References Clause 5.4 of ISO 45001:2018 emphasizes the importance of worker consultation and participation in the OHS management system. It requires organizations to establish processes that ensure workers are actively involved in decision-making and implementation of OHS practices.

Analysis of Options:

- * A. Promoting collaborating workers to higher positions:While promotions may boost morale, they do not directly contribute to worker consultation and participation in the OHSMS.
- * B. Appointing worker representatives:This action fosters direct communication and representation of workers' interests in health and safety matters.
- * C. Conducting toolbox talks on health and safety:Toolbox talks are an effective way to engage workers in discussing and addressing health and safety issues.

- * D. Giving ownership of health and safety matters: Involving workers in decision-making and giving them responsibility for specific health and safety tasks encourages participation.
 - * E. Ignoring workers' suggestions: This undermines consultation and is contrary to ISO 45001 principles.
 - * F. Implementing a payment scheme to reward workers' OHS performance: While incentives can motivate safe behavior, they are not a consultation or participation mechanism as required by Clause 5.4.
 - * G. Implementing methods for engaging workers: This aligns directly with Clause 5.4, as effective engagement methods (e.g., surveys, focus groups) enhance participation.
 - * H. Threatening reprisals to whistleblowers: This violates ISO 45001 principles and discourages worker participation.
- ISO References:
- * Clause 5.4: Worker consultation and participation.
 - * Clause 5.1: Leadership's responsibility to foster participation.

NEW QUESTION # 67

Select two options that are corrections.

- A. Reviewing workers training records.
- **B. Adding a missing signature to a corrective action record.**
- C. Using OHSMS induction training to address an identified lack OHSMS awareness among workers.
- D. Changing a process to reduce its inherent risk.
- **E. Changing the name of a tutor that did not deliver a course to the name of the tutor that did.**
- F. Updating the emergency preparedness plan as a result of carrying out a practical test.

Answer: B,E

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit References Corrections address immediate issues, such as errors or omissions, without addressing root causes. Clause 10.2 of ISO 45001:2018 allows for corrections alongside corrective actions.

Analysis of Options:

- * A. Adding a missing signature to a corrective action record: Correct. This is a correction addressing an administrative oversight.
- * B. Changing a process to reduce its inherent risk: Incorrect. This is a corrective action aimed at addressing root causes, not a correction.
- * C. Changing the name of a tutor that did not deliver a course to the name of the tutor that did: Correct. This corrects an error in records without addressing systemic issues.
- * D. Reviewing workers' training records: Incorrect. This is part of ongoing monitoring or auditing, not a correction.
- * E. Updating the emergency preparedness plan as a result of carrying out a practical test: Incorrect. This is a corrective action resulting from performance evaluation, not a correction.
- * F. Using OHSMS induction training to address an identified lack of OHSMS awareness among workers: Incorrect. This is a preventive or corrective action, not a correction.

ISO References:

- * Clause 10.2: Nonconformity and corrective action.
- * Clause 7.5: Control of documented information

NEW QUESTION # 68

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