

# PECB ISO-14001-Lead-Auditor Questions - Pass Exam With Ease (2026)



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## PECB ISO-14001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>Fundamental audit concepts and principles: This section of the exam assesses the grasp EMS auditors have on core audit principles such as integrity, fair presentation, due professional care, and evidence-based evaluation. It ensures auditors can apply these principles consistently in the audit process.</li></ul>
Topic 2	<ul style="list-style-type: none"><li>Managing an ISO 14001 audit program: This section of the exam evaluates the ability of EMS auditors to manage a complete audit program. It includes planning, implementing, monitoring, and continually improving the audit program to align with organizational and compliance goals.</li></ul>
Topic 3	<ul style="list-style-type: none"><li>Fundamental principles and concepts of an environmental management system: This section of the exam measures the foundational knowledge of EMS auditors and covers key principles such as environmental sustainability, continual improvement, and the process approach. It assesses understanding of how environmental management systems contribute to overall business performance and regulatory compliance.</li></ul>
Topic 4	<ul style="list-style-type: none"><li>Conducting an ISO 14001 audit: This part tests the practical skills of EMS auditors in executing the audit, including conducting meetings, collecting audit evidence, interviewing personnel, and documenting findings in accordance with ISO 14001 standards.</li></ul>

Topic 5	<ul style="list-style-type: none"> <li>Environmental management system requirement: This section evaluates the ability of audit consultants to interpret and apply the specific requirements of ISO 14001. It focuses on understanding the structure of the standard, including context of the organization, leadership, planning, support, operations, and performance evaluation.</li> </ul>
Topic 6	<ul style="list-style-type: none"> <li>Preparing an ISO 14001 audit: This domain measures the competence of audit consultants in planning and preparing for an ISO 14001 audit. It covers audit objectives, scope, criteria, and developing the audit plan, ensuring readiness for an efficient and objective audit.</li> </ul>

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### PECB Certified EMS ISO 14001 Lead Auditor Exam Sample Questions (Q41-Q46):

#### NEW QUESTION # 41

An organisation has the following environmental policy document displayed in the reception area:

"This organisation is committed to providing electric cables to customers' requirements, in accordance with environmental regulations for their manufacture, use and disposal. The organisation will strive to increase the environmental awareness of its personnel, suppliers, subcontractors and interested parties. Continual environmental improvement is a permanent objective of the organisation. This policy shall be communicated to all employees with the opportunity for them to seek clarification where required. Where required the policy shall be communicated to all interested parties." Referring to the above policy statement, select three options for which the organisation is meeting ISO 14001 requirements.

- A. The organisation's processes deliver the intended improvement to the environment.
- B. The organisation uses suppliers committed to environmental sustainability.
- C. The organisation has a good reputation for recycling its waste.
- D. The organisation clearly meets all statutory requirements.
- E. The organisation has a commitment to environmental protection.
- F. The organisation is committed to continual environmental improvement.
- G. The organisation makes available its environmental policy to interested parties.
- H. The organisation satisfies its customers' environmental requirements.

**Answer: F,G,H**

#### NEW QUESTION # 42

A multi-level shopping centre is open every day to the public from 09:00-21:00 hours. During an external audit of the centre to ISO 14001, you establish that there are 40 vending machines that are permanently switched on. Thirty of them do not sell perishable foodstuffs. You also note that there are eight escalators in the complex that run constantly during public access. When asked about environmental performance improvement objectives, the Centre Manager says that there are plans to increase the natural daylight by installing more skylights thus saving on lighting costs, but the estimated budget for this has not yet been approved by the board.

You: I note that energy consumption is a significant environmental aspect in the centre. How do you mitigate the impacts of this?

EMS Manager: We try to reduce our energy costs by negotiating a better deal from the energy company. We are considering changing to one that only supplies electricity from renewable sources.

You: What steps have you taken to reduce overall consumption?

EMS Manager: We conducted awareness training for staff. For example, turning off lights in staff areas not being used.

You: I see that the escalators account for the highest usage of energy. Are there any objectives to try and reduce this?

EMS Manager: No, it would be too costly to change them.

You: How do you measure electricity consumption?

EMS Manager: We have meters installed in each floor of the centre. We take readings every hour and we plot the consumption graph for every staff shift.

You continue the interview and find that energy consumption has increased steadily over the past five years.

Select the two statements that are true.

- A. The vending machines are switched on permanently.
- B. The organisation's energy supplier sources renewable energy.
- C. The organisation should take action to address its energy consumption.
- D. The centre staff are responsible for energy consumption in the staff areas.
- E. The escalators are switched on permanently.
- F. The Centre Manager is trained in energy awareness.

Answer: A,C

#### NEW QUESTION # 43

A group of 22 Italian restaurants in downtown San Francisco (US) established an EMS following the requirements of ISO 14001. You are performing an internal audit before the fourth certification cycle. The audit plan included an audit of the General Manager (GM) as the last interview before the closing meeting.

During the interview with the GM, you audit clause 10.3 (Continual improvement). The dialogue is as follows.

You: Could you please tell me how did you improve the EMS to enhance environmental performance (Clause 10.3)?

GM: One way to improve the EMS is to improve one or several of its processes. We decided to improve the water-consuming processes in all our branches. We use water in many of our processes (e.g. to wash our kitchens, our cutlery, plates, and glasses, to keep our floors clean). The idea was to review these processes in detail to reduce the amount of water used per customer. Each of the 22 branches sent us their results, we analysed the data and found out that the average water consumption per customer was reduced by 13%; therefore, we improved our environmental performance. We are happy about that.

You: What about the results in each branch?

GM: Have a look at this table:

Branches that:	Number of branches
A. Reduced the level of water consumption by more than 13%	13
B. Reduced the water consumption by less than 13%	5
C. Maintained the level water consumption	3
D. Increased the water consumption	1

Analysing this table, what would be your decision related to raising nonconformities to clause 10.3? Select two.

- A. I would raise a nonconformity for the whole group
- B. I would not raise any nonconformity
- C. I would raise an opportunity for improvement for branches in B, C, and D
- D. I would raise a nonconformity for branches in B
- E. I would raise a nonconformity for branches in D
- F. I would raise a nonconformity for branches in C and D

Answer: C,E

#### NEW QUESTION # 44

You are auditing the ISO 14001 management system of a primary school (School 21st Century) located in downtown Lima, Peru. There are 400 students, attending classes from 9 am until 5.30 pm.

You have presented a nonconformity at the closing meeting:

Non-conformity - No environmental aspect has been identified related to the impact of the education provided by the school on students' awareness (as future adults) related to generic environmental issues.

Select the three best acceptable corrections that the school may take.

- A. Add the education on generic environmental issues to the list of environmental aspects of the school's EMS
- B. Establish environmental objectives for the school
- C. Thoroughly review the syllabus for next year to include environmental issues whenever appropriate
- D. Hire an environment expert next week to deliver a lecture on the environment to all students
- E. Hire an environmentalist next month to deliver a couple of lectures on the environment to all employees of the school, except students

- F. Revise the school's environmental policy to include environmental concerns
- G. Ask the National Environmental Agency for reading materials to give to students before the lecture by the expert
- H. Review the procedure for waste management in the school

**Answer: A,C,D**

#### NEW QUESTION # 45

You are conducting a Stage 2 certification audit of VitalSpark, a pharmaceutical organisation manufacturing vitamins and other healthcare medicines. In a separate area in the centre of their large site, a supplier produces oxygen supplies piped directly into the manufacturing processes of VitalSpark. They also deliver oxygen in bulk tankers to other customers. When you review the environmental aspects register, you find that the only environmental aspect recorded for this arrangement relates to the heavy goods vehicles of the supplier transiting the site.

You ask the EMS Manager about the environmental consequences to VitalSpark of this arrangement and are told that they are not significant since the supplier has its own services for water, sewage, waste and energy.

The EMS Manager tried unsuccessfully to communicate with the supplier who is located inside the VitalSpark site and outside the scope of the EMS.

You raise a non-conformance against clause 6.1.2 of ISO 14001:

"VitalSpark does not maintain documented information on aspects/impacts related to activities, products and services of the oxygen supplier." Which combination of two of the following actions proposed by VitalSpark would permit you to close out the nonconformity?

- A. The oxygen supplier will be requested to implement an ISO 14001 management system within the next 3 months.
- B. VitalSpark will suggest that the oxygen supplier should undertake training in environmental awareness.
- C. The oxygen supplier will be requested to undertake a full environmental survey of its processes.
- **D. VitalSpark will update its documented information on aspects/impacts to include those related to the oxygen supplier.**
- **E. VitalSpark will retrieve environmental data on a monthly basis from the on-site supplier.**
- F. VitalSpark will expand its audit programme to include audits of the oxygen supplier's organisation.

**Answer: D,E**

#### NEW QUESTION # 46

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