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CMT Level 1 - Sample Questions with complete solutions

Cycles are GENERALLY measured

- a. High to low
- b. Low to low
- c. High to high
- d. Either b or c - answer ✓✓ Answer: b. Low to low

Reference: Dahlquist, Julie R., and Charles D. Kirkpatrick. Technical Analysis: The Complete Resource for Financial Market Technicians. Chapter 19.

This cycle principle states as stocks go through similar cycles, the size and magnitude will differ.

- a. Commonality
- b. Variation
- c. Summation
- d. Proportionality - answer ✓✓ Answer: b. Variation

Reference: Dahlquist, Julie R., and Charles D. Kirkpatrick. Technical Analysis: The Complete Resource for Financial Market Technicians. Chapter 19.

In regards to cycle analysis, translation is:

- a. Distance between troughs
- b. Distance between peaks
- c. Useful in checking where the trend direction is headed or if it is changing
- d. To the left when the peak is beyond the halfway point - answer ✓✓ Answer: c. Useful in checking where the trend direction is headed or if it is changing

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CMT Association CMT Level 1 Exam Sample Questions (Q50-Q55):

NEW QUESTION # 50

The Efficient Frontier concept in modern portfolio theory:

- A. Is a plot of the highest possible returns obtainable over a series of risk levels
- B. Is a 45 degree line plotting possible risk versus return
- C. Is unrelated to the risk free rate
- D. Centers on the premise that systemic risks can be diversified away

Answer: A

Explanation:

Detailed Explanation:

The Efficient Frontier is the curved line on a Risk (X-axis) vs. Return (Y-axis) graph that represents the optimal portfolios offering the highest expected return for a defined level of risk.

NEW QUESTION # 51

The resistance level of a price trend refers to:

- A. The level over the market where selling pressure is sufficiently strong to overcome buying pressure and a price advance is expected to be turned back
- B. The level under the market where buying interest is sufficiently strong to overcome selling pressure
- C. The boundaries of the trading range of the trend
- D. The target price indicated by the count

Answer: A

Explanation:

Detailed Explanation:

Resistance is defined as a price level above the current market price where selling interest is strong enough to overcome buying pressure, causing the price advance to halt or reverse. Option A describes support.

NEW QUESTION # 52

Which of the following is NOT a proper interpretation of volume and trend in the futures markets?

- A. Volume rising and open interest falling equals position liquidation
- B. Volume falling and open interest falling equals consolidation phase
- C. Volume rising and open interest rising equals trend reversal
- D. Volume falling and open interest rising equals slow accumulation

Answer: C

Explanation:

Detailed Explanation:

Standard interpretation states that if Volume and Open Interest are both rising, it confirms the strength of the current trend (new money entering). It does not signal a trend reversal. Therefore, statement A is the incorrect interpretation.

NEW QUESTION # 53

Calculate the nominal return based on the information provided below:

The S&P 500 index fell 0.003 percent in 2015.

Dividends paid during the year equaled 2.089 percent of the index's value.

Inflation was 3.4 percent.

- A. 2.08%
- B. 1.30%
- C. -1.3%
- D. -2.08%

Answer: A

Explanation:

Detailed Explanation:

Nominal Return is the total return before adjusting for inflation.

Formula: Price Appreciation + Dividend Yield.

Price Change = -0.003%

Dividends = +2.089%

Total Nominal Return = 2.089% - 0.003% = 2.086%.

Rounded to two decimal places: 2.08%.

NEW QUESTION # 54

Common filters used to confirm a trendline break INCLUDE:

- A. A close above/below the trendline
- B. All the above
- C. Penetration above/below the trendline by a set percentage
- D. A pre-defined period of time during which prices stay above/ below the trendline

Answer: B

Explanation:

Detailed Explanation:

To avoid false breakouts (whipsaws), analysts apply filters:

* Percentage filter: Price must break by 1% or 3%.

* Time filter: Price must close beyond the line for 2 consecutive days.

* Close filter: Intraday violations are ignored; only closing prices count.

NEW QUESTION # 55

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