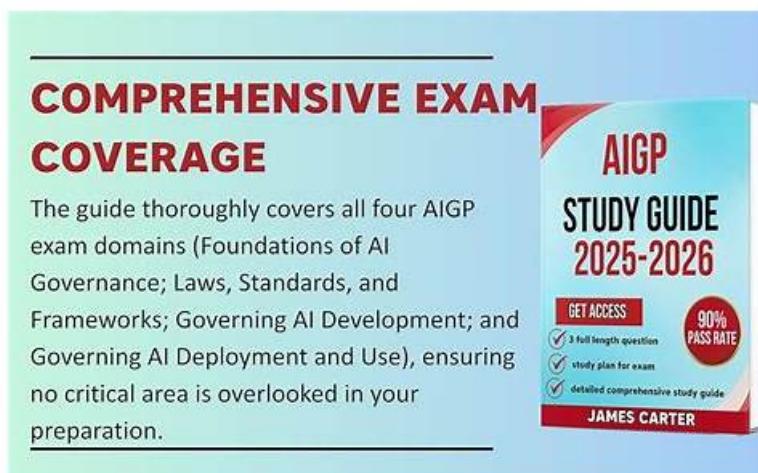


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IAPP AIGP Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.
Topic 2	<ul style="list-style-type: none">Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.
Topic 3	<ul style="list-style-type: none">Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.

Topic 4

- Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.

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IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q65-Q70):

NEW QUESTION # 65

What is the primary purpose of conducting ethical red-teaming on an AI system?

- A. To simulate model risk scenarios.
- B. To identify security vulnerabilities.
- C. To ensure compliance with applicable law.
- D. To improve the model's accuracy.

Answer: A

Explanation:

The primary purpose of conducting ethical red-teaming on an AI system is to simulate model risk scenarios.

Ethical red-teaming involves rigorously testing the AI system to identify potential weaknesses, biases, and vulnerabilities by simulating real-world attack or failure scenarios. This helps in proactively addressing issues that could compromise the system's reliability, fairness, and security. Reference: AIGP Body of Knowledge on AI Risk Management and Ethical AI Practices.

NEW QUESTION # 66

What is the primary purpose of an AI impact assessment?

- A. To anticipate and manage the potential risks and harms of an AI system
- B. To determine whether a conformity assessment is needed
- C. To identify and measure the benefits of an AI system
- D. To escalate the findings to the appropriate owner(s)

Answer: A

Explanation:

The correct answer is D. AI Impact Assessments are primarily used to identify and manage risks and harms associated with AI systems.

From the AIGP Body of Knowledge:

"The goal of an AI impact assessment is to ensure that risks are identified, evaluated, and mitigated prior to or during development and deployment." As further confirmed in the AI Governance in Practice Report 2024 (Part III):

"Risk-based tools like DPIAs and Algorithmic Impact Assessments help identify potential risks to individuals and society, enabling organizations to implement mitigation plans and safeguards." While benefits may be noted in such assessments, the core objective is to manage risks and promote responsible AI.

NEW QUESTION # 67

CASE STUDY

A global marketing agency is adapting a large language model ("LLM") to generate content for an upcoming marketing campaign for a client's new product: a hard hat designed for construction workers of any gender to better protect them from head injuries.

The marketing agency is accessing the LLM through an application programming interface ("API") developed by a third-party technology company. They want to generate text to be used for targeted advertising communications that highlight the benefits of the hard hat to potential purchasers. Both the marketing agency and the technology company have taken reasonable steps to address AI governance.

The marketing company has:

- * Entered into a contract with the technology company with suitable representations and warranties.
- * Completed an impact assessment on the LLM for this intended use.
- * Built technical guidance on how to measure and mitigate bias in the LLM.
- * Enabled technical aspects of transparency, explainability, robustness and privacy.
- * Followed applicable regulatory requirements.
- * Created specific legal statements and disclosures regarding the use of the AI on its client's advertising.

The technology company has:

- * Provided guidance and resources to developers to address environmental concerns.
- * Built technical guidance on how to measure and mitigate bias in the LLM.
- * Provided tools and resources to measure bias specific to the LLM.
- * Enabled technical aspects of transparency, explainability, robustness and privacy.
- * Mapped and mitigated potential societal harms and large-scale impacts.
- * Followed applicable regulatory requirements and industry standards.
- * Created specific legal statements and disclosures regarding the LLM, including with respect to IP and rights to data.

The marketing company and its tech provider have taken reasonable steps to govern the AI's use, including legal disclosures, impact assessments, and bias mitigation. However, the company wants to take one more step to improve governance and reduce risks related to ongoing oversight and accountability.

While the marketing agency took steps to mitigate its risks, the best additional step would be to:

- A. Negotiate an intellectual property indemnity from the technology company
- B. Evaluate the use of AI in the marketing industry to identify best practices
- C. Engage a third party to lead the procurement selection process
- D. **Establish a governance committee to oversee the project**

Answer: D

Explanation:

The correct answer is D. Forming a dedicated governance committee ensures continuous oversight, role clarity, and accountability throughout the AI lifecycle.

From the AIGP ILT Guide - Governance Structures:

"Organizations using AI in high-impact scenarios should establish a governance body responsible for oversight of risk, compliance, and ethical alignment." Also reflected in AI Governance in Practice Report 2024:

"Committees support cross-functional decision-making, provide guidance for updates, and maintain accountability. This is especially critical for high-stakes applications like marketing to diverse audiences." Options A, B, and C are valid supplementary actions, but D offers a long-term and systematic governance mechanism.

NEW QUESTION # 68

Training data is best defined as a subset of data that is used to?

- A. Resemble the structure and statistical properties of production data.
- B. Detect the initial sources of biases to mitigate prior to deployment.
- C. **Enable a model to detect and learn patterns.**
- D. Fine-tune a model to improve accuracy and prevent overfitting.

Answer: C

Explanation:

Training data is used to enable a model to detect and learn patterns. During the training phase, the model learns from the labeled data, identifying patterns and relationships that it will later use to make predictions on new, unseen data. This process is fundamental in building an AI model's capability to perform tasks accurately. Reference: AIGP Body of Knowledge on Model Training and

Pattern Recognition.

NEW QUESTION # 69

CASE STUDY

Please use the following answer the next question:

XYZ Corp., a premier payroll services company that employs thousands of people globally, is embarking on a new hiring campaign and wants to implement policies and procedures to identify and retain the best talent. The new talent will help the company's product team expand its payroll offerings to companies in the healthcare and transportation sectors, including in Asia.

It has become time consuming and expensive for HR to review all resumes, and they are concerned that human reviewers might be susceptible to bias.

Address these concerns, the company is considering using a third-party AI tool to screen resumes and assist with hiring. They have been talking to several vendors about possibly obtaining a third-party AI-enabled hiring solution, as long as it would achieve its goals and comply with all applicable laws.

The organization has a large procurement team that is responsible for the contracting of technology solutions.

One of the procurement team's goals is to reduce costs, and it often prefers lower-cost solutions. Others within the company are responsible for integrating and deploying technology solutions into the organization's operations in a responsible, cost-effective manner.

The organization is aware of the risks presented by AI hiring tools and wants to mitigate them. It also questions how best to organize and train its existing personnel to use the AI hiring tool responsibly. Their concerns are heightened by the fact that relevant laws vary across jurisdictions and continue to change.

Which other stakeholder groups should be involved in the selection and implementation of the AI hiring tool?

- A. Litigation and Product Development.
- **B. Finance and Legal.**
- C. Supply Chain and Marketing.
- D. Marketing and Compliance.

Answer: B

Explanation:

In the selection and implementation of the AI hiring tool, involving Finance and Legal is crucial. The Finance team is essential for assessing cost implications, budget considerations, and financial risks. The Legal team is necessary to ensure compliance with applicable laws and regulations, including those related to data privacy, employment, and anti-discrimination. Involving these stakeholders ensures a comprehensive evaluation of both the financial viability and legal compliance of the AI tool, mitigating potential risks and aligning with organizational objectives and regulatory requirements.

NEW QUESTION # 70

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