

# Reasonable ISO-45001-Lead-Auditor Exam Price - Valid ISO-45001-Lead-Auditor Exam Papers



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## PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>ISO 45001 requirements for an OH&amp;S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.</li></ul>
Topic 2	<ul style="list-style-type: none"><li>Domain 4: Preparing for an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the preparation process for conducting an ISO 45001 audit. It emphasizes planning, resource allocation, and establishing audit objectives to ensure a thorough evaluation.</li></ul>
Topic 3	<ul style="list-style-type: none"><li>Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.</li></ul>

Topic 4	<ul style="list-style-type: none"> <li>• Fundamental principles and concepts of an occupational health and safety management system: This section of the exam measures the skills of Health and Safety Managers and covers the essential principles and concepts underlying an occupational health and safety management system (OHSMS). It focuses on understanding the framework for managing health and safety risks to prevent workplace injuries and illnesses. One skill to be measured is identifying key components of an effective OHSMS.</li> </ul>
Topic 5	<ul style="list-style-type: none"> <li>• Conducting an ISO 45001 audit: This section of the exam measures the skills of Lead Auditors and covers the execution of an ISO 45001 audit. It focuses on gathering evidence, interviewing personnel, and assessing compliance with OHSMS requirements during the audit process.</li> </ul>
Topic 6	<ul style="list-style-type: none"> <li>• Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.</li> </ul>

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## PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q60-Q65):

### NEW QUESTION # 60

What is the definition of the term 'audit' as per clause 3.32 of ISO 45001:2018?

- A. A systematic process to assess the risks in a workplace
- B. A legal requirement to comply with health and safety standards
- C. A document that outlines safety procedures and guidelines
- D. An evaluation of the effectiveness of health and safety measures

**Answer: D**

Explanation:

According to Clause 3.32 of ISO 45001:2018, an audit is defined as a systematic, independent, and documented process for obtaining objective evidence and evaluating it to determine the extent to which audit criteria are fulfilled.

This involves assessing the effectiveness of health and safety measures implemented in the organization's OH&S management system.

Analysis of Options:

\* A. A systematic process to assess the risks in a workplace:Incorrect. While audits may include risk assessments, the purpose is broader and involves evaluating the entire OH&S management system.

\* B. An evaluation of the effectiveness of health and safety measures:Correct. This aligns with the definition of an audit as per ISO 45001:2018.

\* C. A legal requirement to comply with health and safety standards:Incorrect. An audit is not necessarily a legal requirement but a process to assess conformity.

\* D. A document that outlines safety procedures and guidelines:Incorrect. An audit is a process, not a document.

ISO Reference:

\* Clause 3.32: Audit definition.

### NEW QUESTION # 61

You are an audit team leader conducting a Stage 2 audit of a Financial Services Provider. You are currently interviewing the organisation's Risk and Compliance Officer, who appears very competent when it comes to the management of business risks. As the Risk and Compliance Officer, she is also identified as having lead responsibility for the management of OH&S risk, and you are now seeking evidence that she understands the requirements relating to risk that are contained within ISO 45001. During your conversation, she makes the following six statements about risk within an ISO 45001-based OHSMS. Which four of his statements are correct?

- A. The organisation must attempt to identify and address every risk it faces.
- B. The organisation is required to assess risks arising from OH&S hazards.
- C. Although organisations are required to carry out risk management, the method by which they do so is up to them.
- D. Risk assessment is an activity that must be carried out by top management.
- E. The effect of uncertainty (i.e. risk) can result in positive outcomes as well as negative ones.
- F. Risk is often expressed as a combination of likelihood and impact.

**Answer: B,C,E,F**

Explanation:

Analysis of Options:

\* A. The organisation must attempt to identify and address every risk it faces: Incorrect. ISO 45001 focuses on OH&S risks and not every risk faced by the organization.

\* B. The effect of uncertainty (i.e. risk) can result in positive outcomes as well as negative ones:

Correct. Clause 3.20 defines risk as the "effect of uncertainty," which may result in positive or negative outcomes.

\* C. Although organisations are required to carry out risk management, the method by which they do so is up to them: Correct. ISO 45001 does not prescribe specific risk management methods, leaving the organization to choose the approach that best suits its context (Clause 6.1.2).

\* D. Risk assessment is an activity that must be carried out by top management: Incorrect. Risk assessment can involve workers and other personnel; it is not limited to top management.

\* E. The organisation is required to assess risks arising from OH&S hazards: Correct. Clause 6.1.2.2 requires organizations to assess OH&S risks associated with hazards.

\* F. Risk is often expressed as a combination of likelihood and impact: Correct. This is a common way to express risk, aligned with Clause 6.1.2.

ISO References:

\* Clause 3.20: Definition of risk.

\* Clause 6.1.2: Hazard identification and risk assessment

### NEW QUESTION # 62

Which two of these statements about processes are true?

- A. OHS&S processes that are undertaken by external providers must be audited to ensure they are controlled.
- B. The organisation must seek to continually improve its OHSMS processes
- C. OHS&S processes that are undertaken by external providers may or may not form part of the organisation's OHSMS.
- D. All processes must have a designated process owner who has specific responsibility to ensure the process remains under control.
- E. All processes needed to meet the requirements of the OHSMS must be documented.
- F. The organisation must implement controls to ensure processes are operating in accordance with defined criteria.

**Answer: A,F**

### NEW QUESTION # 63

XYZ Corporation is an organisation that employs 100 people. As an audit team leader, you are conducting a certification audit at Stage 1. When reviewing the OH&S management system (OHSMS), you find that the objectives have been defined by an external consultant using those of a competitor, but nothing is documented. The Health and Safety Manager complains that this has created a lot of resistance to the OHSMS, and the Chief Executive is asking questions about how much it will cost.

Select two of the options which describe the circumstances in which you could raise a nonconformity against clause 0.2 of ISO 45001.

- A. OH&S objectives are not being implemented by the organisation's personnel.
- B. H&S objectives were not established in alignment with the organization's OH&S policy.

- C. The consultant has not interpreted ISO 45001 correctly.
- D. H&S objectives are not maintained as documented information.
- E. Establishing OH&S objectives did not include top management.
- F. The organization cannot afford to undertake OH&S objectives all at once

**Answer: B,E**

Explanation:

Clause 0.2 of ISO 45001 emphasizes the involvement of top management and alignment of OH&S objectives with the organization's OH&S policy.

Nonconformities Identified:

The objectives were developed by an external consultant without involving top management, which undermines leadership accountability and commitment.

The objectives were based on a competitor's framework, not aligned with the organization's unique OH&S policy or context.

Analysis of Options:

A . Establishing OH&S objectives did not include top management.

True. Clause 5.1 (Leadership) and Clause 6.2 (Objectives) emphasize that top management must be involved in defining and supporting OH&S objectives.

B . OH&S objectives are not being implemented by personnel.

Implementation relates to operationalization, not the development phase, and is not relevant to Clause 0.2.

C . OH&S objectives are not maintained as documented information.

While documentation is required, the absence of documented information is a separate issue under Clause 7.5, not Clause 0.2.

D . OH&S objectives were not established in alignment with the organization's OH&S policy.

True. Clause 6.2.1 requires objectives to align with the OH&S policy, which reflects the organization's commitment to safety and health.

E . The consultant has not interpreted ISO 45001 correctly.

While possibly true, the issue here is the organization's failure to involve top management, not the consultant's interpretation.

F . The organization cannot afford to undertake OH&S objectives all at once.

Financial constraints are not relevant to Clause 0.2; objectives can be prioritized for phased implementation.

ISO Reference:

Clause 0.2: Leadership commitment and alignment with organizational policy.

Clause 5.1: Top management's role in leadership and participation.

Clause 6.2.1: Establishing OH&S objectives aligned with the policy.

#### **NEW QUESTION # 64**

An audit team leader arrives at a fabrication organisation that manufactures stairways to ..certification audit. At a meeting with the OHSMS Manager the audit finds that the responsibility for worker consultation and participation lies with the managerial team. Select from the options four actions by the managerial team that encourage worker consultation and participation.

- A. Implementing methods for engaging workers
- B. threatening reprisals to whistleblowers
- C. Implementing a payment scheme to reward worker's OHS performance
- D. Giving ownership of health and safety matters
- E. Ignoring worker's suggestions
- F. Conducting toolbox talks on health and safety
- G. Promoting collaborating workers to higher positions
- H. Appointing worker's representatives

**Answer: A,D,F,H**

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit Reference Clause 5.4 of ISO 45001:2018 emphasizes the importance of worker consultation and participation in the OHS management system. It requires organizations to establish processes that ensure workers are actively involved in decision-making and implementation of OHS practices.

Analysis of Options:

A . Promoting collaborating workers to higher positions:

While promotions may boost morale, they do not directly contribute to worker consultation and participation in the OHSMS.

B . Appointing worker representatives:

This action fosters direct communication and representation of workers' interests in health and safety matters.

C . Conducting toolbox talks on health and safety:

Toolbox talks are an effective way to engage workers in discussing and addressing health and safety issues.

D . Giving ownership of health and safety matters:

Involving workers in decision-making and giving them responsibility for specific health and safety tasks encourages participation.

E . Ignoring workers' suggestions:

This undermines consultation and is contrary to ISO 45001 principles.

F . Implementing a payment scheme to reward workers' OHS performance:

While incentives can motivate safe behavior, they are not a consultation or participation mechanism as required by Clause 5.4.

G . Implementing methods for engaging workers:

This aligns directly with Clause 5.4, as effective engagement methods (e.g., surveys, focus groups) enhance participation.

H . Threatening reprisals to whistleblowers:

This violates ISO 45001 principles and discourages worker participation.

ISO Reference:

Clause 5.4: Worker consultation and participation.

Clause 5.1: Leadership's responsibility to foster participation.

## NEW QUESTION # 65

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