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SAMPLE CMT LEVEL II EXAM

The following document is a sample exam paper. The questions have been constructed/ phrased in a manner that is similar to past exams. The objective of this sample exam is to give a clear indication of the question structure and language one can expect in the exam.

Important points to note

- Note that these sample questions consist of questions patterned after those drawn from a much larger pool of actual exam questions. This creates the chance that the questions appearing in the exam may be significantly different from those contained in this sample. Candidates are cautioned **not to expect** exact replicas of the questions that will be seen in the current year administration.
- Note that the order in which questions appear in the sample exams has no bearing on the order questions will appear in the actual exam. The order of questions is randomized during the exam.
- The actual exam consists of 170 questions of which 150 are scored items. The remaining 20 questions are under trial for use in future exams.
- You will have four hours to complete the 170 questions of the exam.
- The exam is delivered on computer in Prometric testing facilities. Please schedule well in advance.

Please note that this sample question booklet has been prepared completely independently from the exam to ensure that the questions that will appear in the actual exam paper remain secure and confidential. The MTA maintains a discussion group forum for CMT candidates on its web site. Candidates are encouraged to utilize this resource and to discuss and clarify questions regarding the areas of the Body of Knowledge where they lack familiarity or seek better understanding.

This book of practice exams is produced by
Market Technicians Association, Inc., 61 Broadway, Suite 514, New York, NY 10006

The MTA gratefully acknowledges assistance on this sample from Optuma CMT Education.

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The CMT-Level-I exam is administered by the CMT Association, a non-profit organization that promotes the education, training, and certification of technical analysis professionals. The association has been providing certification programs for over 50 years and is widely recognized as the leading authority on technical analysis. The CMT-Level-I exam is the first level of the three-part CMT certification program, which is designed to provide a comprehensive understanding of technical analysis and its application in the financial markets.

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The CMT-Level-I Exam is a rigorous assessment that requires candidates to demonstrate a deep understanding of technical analysis concepts and their application in real-world market situations. Successful candidates are expected to have a solid foundation in the principles of technical analysis and be able to analyze market trends and make informed trading decisions. CMT Level 1 Exam certification provides candidates with a recognized credential that can enhance their professional reputation and open up new opportunities in the field of finance.

CMT Association CMT Level 1 Exam Sample Questions (Q48-Q53):

NEW QUESTION # 48

Which is an example of candle pattern filtering?

- A. Accepting only those patterns that fit the strict definition of their pattern
- B. Accepting only those patterns that are confirmed by the intermediate trend
- C. Using western techniques to confirm a candle pattern
- D. Using cycles to confirm the existence of a pattern

Answer: C

Explanation:

Detailed Explanation:

"Filtering" refers to using additional tools to verify a signal and reduce false positives. A common method is using Western techniques (such as support/resistance levels, moving averages, or momentum indicators like RSI) to confirm a Japanese candlestick signal.

NEW QUESTION # 49

Which of the following has closest tradability as paper currency?

- A. Government bills
- B. Government bonds
- C. Government Notes
- D. Corporate bonds

Answer: A

Explanation:

Detailed Explanation:

Government Bills (T-Bills) are extremely liquid, short-term, and considered risk-free. Because of their high liquidity and near-cash nature (cash equivalents), they have the closest tradability to actual paper currency.

NEW QUESTION # 50

Which of the following lists arranges cycles from shortest to longest in duration?

- A. Kondratieff, presidential, seasonal
- B. Presidential, seasonal, Kondratieff
- C. Seasonal, presidential, Kondratieff
- D. Presidential, Kondratieff, seasonal

Answer: C

Explanation:

Detailed Explanation:

* Seasonal Cycle: Typically 1 year.

* Presidential Cycle: 4 years.

* Kondratieff Wave: Approximately 54 years (long-wave economic cycle).

The correct order from shortest to longest is Seasonal, Presidential, Kondratieff.

NEW QUESTION # 51

_____ are bonds without any collateral backing them other than a company's full faith and credit.

- A. Commercial paper (CP)
- B. Certificates of deposit (CDs)
- **C. Debentures**
- D. Treasury bills

Answer: C

Explanation:

Detailed Explanation:

Debentures are unsecured bonds. They are backed only by the creditworthiness and reputation (full faith and credit) of the issuer, not by specific physical assets or collateral.

NEW QUESTION # 52

Longer-term moving averages:

- A. "Give back" a lot more when the trend reverses
- B. Are better at giving signals at major tops
- C. Give fewer buy and sell signals
- **D. A and C**

Answer: D

Explanation:

Detailed Explanation:

Longer-term moving averages (like the 200-day) are smoother and less sensitive to daily fluctuations.

Consequently, they generate fewer signals (Option A) than shorter averages. However, because they lag significantly, they are slow to react when a trend reverses, meaning a significant portion of the profit is lost ("given back") before the sell signal is triggered (Option C).

NEW QUESTION # 53

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