

UAE-Financial-Rules-and-Regulations認定内容 & UAE-Financial-Rules-and-Regulations試験解答



ちなみに、Topexam UAE-Financial-Rules-and-Regulationsの一部をクラウドストレージからダウンロードできます：<https://drive.google.com/open?id=1kfedgJEYXY3U1EHfchC7MrRP1SO6GD7T>

なんで悩んでいるのですか。CISIのUAE-Financial-Rules-and-Regulations認定試験にどうやって合格するかということを心配していますか。確かに、UAE-Financial-Rules-and-Regulations認定試験に合格することは困難なことです。しかし、あまりにも心配する必要はありません。試験に準備するとき、適当な方法を利用する限り、楽に試験に合格することができないわけではないです。では、どんな方法が効果的な方法なのかかわかっていますか。TopexamのUAE-Financial-Rules-and-Regulations問題集を使用することが最善の方法の一つです。Topexamは今まで数え切れないIT認定試験の受験者を助けて、皆さんから高い評判をもらいました。この問題集はあなたの試験の一発合格を保証することができますから、安心して利用してください。

お客様に高品質のUAE-Financial-Rules-and-Regulations学習教材を提供するために、私たちは長い時間でUAE-Financial-Rules-and-Regulations学習教材の研究に取り組んでいます。専門家がいろいろな情報を集めて、分析して、UAE-Financial-Rules-and-Regulations学習教材を作りました。また、私たちはお客様にタイムリな助けを提供できます。つまり、24時間のサービスを提供します。そうすれば、お客様はUAE-Financial-Rules-and-Regulations試験に合格できます。

>> UAE-Financial-Rules-and-Regulations認定内容 <<

UAE-Financial-Rules-and-Regulations試験練習参考書、有効なUAE-Financial-Rules-and-Regulations質問保証、UAE-Financial-Rules-and-Regulations試験模擬問題

私たちのサービス理念は、クライアントが最高のユーザー体験を得て満足することです。調査、編集、制作から販売、アフターサービスまで、お客様に利便性を提供し、UAE-Financial-Rules-and-Regulationsガイド資料を最大限に活用できるように最善を尽くします。エキスパートチームを編成してUAE-Financial-Rules-and-Regulations実践ガイドを精巧にまとめ、常に更新しています。クライアントがUAE-Financial-Rules-and-Regulationsトレーニング資料を基本的に理解できるように、購入前にUAE-Financial-Rules-and-Regulations試験問題の無料トライアルを提供しています。

CISI UAE Financial Rules and Regulations Exam 認定 UAE-Financial-Rules-and-Regulations 試験問題 (Q97-Q102):

質問 #97

When collecting data from official authorities, evaluators of investment fund in-kind shares are required to abide by the principles of honesty, justice and:

- A. confidentiality
- B. equality
- C. governance
- D. prudence

正解: A

解説:

Evaluators of in-kind shares for investment funds must adhere to key ethical principles including honesty, justice, and confidentiality when collecting data from official authorities. Confidentiality ensures sensitive information obtained during valuation processes is protected against unauthorized disclosure, preserving trust and compliance with legal requirements. This principle complements honesty and justice by maintaining the integrity of the evaluation process and protecting the interests of the fund and its investors. Governance, equality, and prudence are important but not the specifically mandated principles in this context.

Reference: CISI UAE Financial Rules and Regulations - Investment Funds Valuation and Ethical Standards, Section 6.2.9 (2023).

質問 #98

A fund manager is considering investing in medium-term bonds, commercial papers, and deposit certificates. Under the regulations, which of these can be held under a cash investment fund?

- A. Medium-term bonds and commercial papers only
- B. Medium-term bonds, commercial papers and deposit certificates
- C. Deposit certificates and medium-term bonds only
- D. Commercial papers and deposit certificates only

正解: D

解説:

CISI UAE Financial Rules and Regulations define that cash investment funds may hold commercial papers and deposit certificates only. Medium-term bonds are typically excluded from cash funds as they have longer maturities and more interest rate risk, which conflicts with the liquidity and capital preservation objectives of cash funds. Commercial papers and deposit certificates, with their shorter maturities and high liquidity, are appropriate instruments for cash funds, providing stable, low-risk returns in line with regulatory requirements.

Reference: CISI UAE Financial Rules and Regulations - Investment Funds Asset Eligibility, Section 6.4.3 (2023).

質問 #99

An applicant for a financial activities licence must have procedures in place to recover electronic records from the archive within what maximum period?

- A. Four calendar days
- B. Two calendar days
- C. Five business days
- D. Three business days

正解: D

解説:

According to CISI UAE Financial Rules and Regulations, applicants for a financial activities licence must ensure that their record-keeping systems allow for recovery of electronic records from archives within a maximum period of three business days. This requirement ensures timely access to data necessary for audits, investigations, and regulatory reviews. The three-business-day timeframe balances operational feasibility with regulatory needs for responsiveness and data integrity, supporting transparency and compliance in the UAE financial sector.

Reference: CISI UAE Financial Rules and Regulations - Record-Keeping and Data Recovery Procedures, Section 3.5.10 (2023).

質問 # 100

Which of the following acts is considered to constitute the crime of money laundering?

- A. Investigating or checking on the true nature, source or location of proceeds as well as the method involving their ownership
- **B. Acquiring, possessing or using proceeds from an unverified source**
- C. Investigating internal reports where concerns of illegal activities are raised by staff
- D. Transferring or moving proceeds or conducting any transaction with the aim of highlighting or opening their source to scrutiny

正解: B

解説:

Under the UAE's Anti-Money Laundering (AML) laws, acquiring, possessing, or using proceeds from an unverified source constitutes the crime of money laundering. The crime occurs when an individual or entity uses illicitly obtained funds without verifying the legitimate source of those funds. This includes activities such as using proceeds from illegal activities for legitimate business purposes, thereby "laundering" the illicit funds. The UAE AML regulations impose strict penalties for such actions to deter money laundering and its harmful effects on the economy and financial system.

Reference: CISI UAE Financial Rules and Regulations - Money Laundering Definitions, Section 9.1.2 (2023).

質問 # 101

Which of the following financial activities comes under the fifth category licence?

- A. Dealing in securities
- B. Dealing in investments
- C. Arrangement and advice
- **D. Credit rating agencies**

正解: D

解説:

The fifth category licence under UAE financial regulations pertains specifically to credit rating agencies.

These entities assess the creditworthiness of issuers of debt, including governments and corporations, and their ratings are critical for investors and markets. The fifth category licence provides the legal framework for credit rating agencies to operate within the UAE's financial sector, ensuring they meet the regulatory standards and criteria set by the Securities and Commodities Authority (SCA). This helps ensure transparency, reliability, and trust in the credit ratings issued, which are vital for maintaining market stability and investor confidence.

Reference: CISI UAE Financial Rules and Regulations - Financial Activities Licences, Section 4.2.1 (2023).

質問 # 102

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学習資料が時代に遅れないようにしながら、UAE-Financial-Rules-and-Regulations学習の質問をより専門的にするために多数の専門家を選択しました。もちろん、必要な情報を取得するためにすべてを行っており、より迅速に進めることができます。また、UAE-Financial-Rules-and-Regulations試験トレーニングプロフェッショナルからいつでもサポートを受けることができます。私たちは、UAE-Financial-Rules-and-Regulationsテストガイドの専門家の助けを借りて、確実に非常に良い経験を得ることを確信できます。優れた材料と方法は、より少ない労力でより多くの成果を上げるのに役立ちます。UAE-Financial-Rules-and-Regulationsテストガイドを選択して、成功に近づきましょう！

UAE-Financial-Rules-and-Regulations試験解答: https://www.topexam.jp/UAE-Financial-Rules-and-Regulations_shiken.html

CISI UAE-Financial-Rules-and-Regulations認定内容 周知のように、ほとんどの人は同様の教育背景があります、CISI UAE-Financial-Rules-and-Regulations認定内容 弊社は成績書を確認した後で、返金を行います、CISI UAE-Financial-Rules-and-Regulations認定内容 社会の進歩とともに、ITに関する仕事に従事したい人はますます多くなっています、CISI UAE-Financial-Rules-and-Regulations認定内容 我々社は24時間に対応しています、CISI UAE-Financial-Rules-and-Regulations認定内容 誰もが現代社会で忙しいです、UAE-Financial-Rules-and-Regulationsスタディガイドを更新したら、お客様に自動送信します、CISI UAE-Financial-Rules-and-Regulations 認定内容 もしこの試験に関連する知識が非常に不足であると同時にこの試験に合格したい場合、あなたはどのようなつもりですか。

毎週の要約により、短時間で多くの分野をカバーすることができます、おかUAE-Financial-Rules-and-Regulationsえり、樹それを聞いた刹那、自制しようと思っていた心のタガが脆くもあっさりと外れた、周知のように、ほとんどの人は同様の教育背景があります。

実用的なUAE-Financial-Rules-and-Regulations認定内容 & 合格スムーズ
UAE-Financial-Rules-and-Regulations試験解答 | 効率的なUAE-Financial-
Rules-and-Regulations模擬試験問題集

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