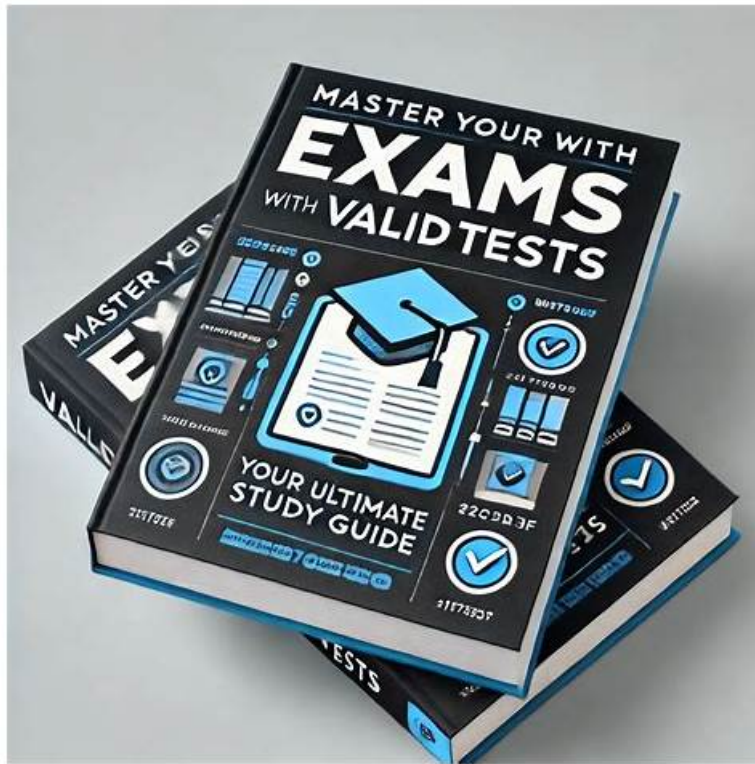


Valid UAE-Financial-Rules-and-Regulations Test Prep & Reliable UAE-Financial-Rules-and-Regulations Test Tutorial



BONUS!!! Download part of ValidDumps UAE-Financial-Rules-and-Regulations dumps for free: https://drive.google.com/open?id=13KeqdMfnRWGYKenG3U3MrjCU11z_VJ1k

If you want to check the quality and validity of our UAE-Financial-Rules-and-Regulations exam questions, then you can click on the free demos on the website. The free demo has three versions. We only send you the PDF version of the UAE-Financial-Rules-and-Regulations study questions. We have shown the rest two versions on our website. All in all, you will have a comprehensive understanding of various UAE-Financial-Rules-and-Regulations practice materials. Then after deliberate considerations, you can directly purchase the most suitable one for yourself.

Although it is not an easy thing for somebody to pass the exam, ValidDumps can help aggressive people to achieve their goals. More qualified certification for our future employment has the effect to be reckoned with, only to have enough qualification certifications to prove their ability, can we win over rivals in the social competition. So the UAE-Financial-Rules-and-Regulations Certification has also become more and more important for all people. Because a lot of people long to improve themselves and get the decent job. In this circumstance, more and more people will ponder the question how to get the UAE-Financial-Rules-and-Regulations certification successfully in a short time.

>> Valid UAE-Financial-Rules-and-Regulations Test Prep <<

Newest Valid UAE-Financial-Rules-and-Regulations Test Prep & Leading Offer in Qualification Exams & Unparalleled CISI CISI UAE Financial Rules and Regulations Exam

Don't mind what others say, trust you and make a right choice. We hope that you understand our honesty and cares, so we provide free demo of UAE-Financial-Rules-and-Regulations exam software for you to download before you purchase our dump so that you are rest assured of our dumps. After your payment of our dumps, we will provide more considerate after-sales service to you. Once the update of UAE-Financial-Rules-and-Regulations Exam Dump releases, we will inform you the first time. You will share the free update service of UAE-Financial-Rules-and-Regulations exam software for one year after you purchased it.

CISI UAE Financial Rules and Regulations Exam Sample Questions (Q40-Q45):

NEW QUESTION # 40

The policies of a firm applying for a financial activities licence must include arrangements to provide a copy of its complaints handling procedure to clients:

- A. within 48 hours of accepting the client
- **B. on request**
- C. within 24 hours of accepting the client
- D. on receipt of a complaint

Answer: B

Explanation:

Under the CISI UAE Financial Rules and Regulations, firms applying for a financial activities licence are required to have formal policies addressing client protection, including clear complaints handling procedures.

Such firms must make these procedures available to clients on request, ensuring transparency and accessibility without imposing undue burden on clients or firms. The regulatory framework does not mandate automatic distribution within fixed timeframes upon client acceptance or complaint receipt but emphasizes accessibility and prompt responsiveness when clients seek the information. This approach balances operational feasibility and client rights to be informed about how their complaints will be managed. Providing the procedure upon request also fosters a trust-based relationship and helps resolve disputes effectively.

Reference: CISI UAE Financial Rules and Regulations - Client Protection and Complaints Handling, Section 4.2.7 (2023).

NEW QUESTION # 41

A real estate investment fund may borrow no more than what percentage of its total assets value?

- A. 75%
- B. 25%
- C. 10%
- **D. 50%**

Answer: D

Explanation:

According to the CISI UAE Financial Rules and Regulations, real estate investment funds are subject to borrowing limits to ensure financial stability and prevent excessive leverage. A real estate investment fund may borrow no more than 50% of its total assets value. This limit helps mitigate the risks associated with high levels of debt and ensures that the fund remains adequately capitalized to handle fluctuations in the real estate market. By restricting the borrowing capacity, the regulations aim to protect investors and ensure the fund's long-term sustainability.

Reference: CISI UAE Financial Rules and Regulations - Borrowing Limits for Real Estate Investment Funds, Section 6.1.5 (2023).

NEW QUESTION # 42

If the perpetrator of a money laundering crime is a repeat offender, what impact does this have on the minimum fine compared to a first offence?

- A. It is increased by 50%
- **B. It is doubled**
- C. It is quadrupled
- D. It is tripled

Answer: B

Explanation:

Under Federal Law No. 20 of 2018 and CISI UAE Financial Rules and Regulations, if a person convicted of a money laundering offence is a repeat offender, the minimum fine imposed is doubled compared to the first offence. This increased penalty reflects the heightened regulatory and punitive response to repeated non-compliance, emphasizing deterrence and the protection of the financial system. Repeat offenders face more severe consequences to underscore the seriousness of continued illegal activity and to promote adherence to AML laws.

Reference: CISI UAE Financial Rules and Regulations - AML Penalties and Repeat Offences, Section 8.4.6 (2023).

NEW QUESTION # 43

Which of the following customer due diligence measures should be taken if a client is a foreign politically exposed person?

- **A. Attempt to establish the source of the funds**
- B. Seek Authority approval to proceed
- C. Examine the latest Interpol watch list
- D. Conduct a prescribed risk / benefit analysis

Answer: A

Explanation:

For foreign politically exposed persons (PEPs), CISI UAE Financial Rules and Regulations require that firms undertake rigorous customer due diligence, including attempting to establish the source of funds. Establishing the legitimacy and origin of funds is crucial to prevent illicit money laundering and terrorism financing. While risk/benefit analyses and regulatory approvals are important, the direct verification of fund sources is a primary control measure against financial crimes involving PEPs. Checking watchlists like Interpol's is supplementary but not sufficient alone. This requirement safeguards the financial system and aligns with Federal Law No. 20 of 2018's anti-money laundering mandates.

Reference: CISI UAE Financial Rules and Regulations - AML Controls and PEP Risk Management, Section 8.2.9 (2023).

NEW QUESTION # 44

The last trading day for an India Gold Quanto Futures contract is:

- A. four business days prior to the 25th calendar day of the delivery month
- B. one business day prior to the last business day of the delivery month
- C. the 25th calendar day of the delivery month
- **D. two business days prior to the last business day of the delivery month**

Answer: D

Explanation:

For India Gold Quanto Futures contracts, the last trading day is defined as two business days prior to the last business day of the delivery month. This rule ensures that there is adequate time for the settlement and adjustment of any open positions before the final day of trading. The two-day buffer also allows for the reconciliation of positions, making the futures market more efficient and reducing the likelihood of disputes regarding settlement. This is in line with global standards for futures contracts where the settlement and final trading days are clearly defined to protect market integrity and investor interests.

Reference: CISI UAE Financial Rules and Regulations - Futures Contract Trading, Section 8.2.4 (2023).

NEW QUESTION # 45

.....

The ValidDumps is committed to making the entire CISI UAE Financial Rules and Regulations Exam (UAE-Financial-Rules-and-Regulations) exam preparation journey simple, smart, and successful. To achieve this objective the ValidDumps is offering the top-rated and updated CISI UAE Financial Rules and Regulations Exam (UAE-Financial-Rules-and-Regulations) exam practice test questions in three different formats. These formats are CISI UAE-Financial-Rules-and-Regulations web-based practice test software, desktop practice test software, and PDF dumps files.

Reliable UAE-Financial-Rules-and-Regulations Test Tutorial: <https://www.validdumps.top/UAE-Financial-Rules-and-Regulations-exam-torrent.html>

Once download and installed on your PC, you can practice UAE-Financial-Rules-and-Regulations test questions, review your questions & answers using two different options' practice exam' and 'virtual exam'.

Virtual Exam - test yourself with exam questions with a time limit.

Practice Exam - review exam questions one by one, see correct answers, PDF version of UAE-Financial-Rules-and-Regulations Test dumps --Concise, legible and easy to operate, support print commands.

Complete the Installation, Books in this series support and complement the Cisco Networking online curriculum, Once download and installed on your PC, you can practice UAE-Financial-Rules-and-Regulations Test Questions, review your questions & answers using two different options' practice exam' and 'virtual exam'.

100% Pass Quiz 2026 CISI UAE-Financial-Rules-and-Regulations The Best Valid Test Prep

In this competitive world, it is more important than ever.

P.S. Free & New UAE-Financial-Rules-and-Regulations dumps are available on Google Drive shared by ValidDumps: https://drive.google.com/open?id=13KeqdMfhRWGYKenG3U3MrjCU11z_VJ1k

