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IAPP AIGP Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.
Topic 2	<ul style="list-style-type: none"> Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.
Topic 3	<ul style="list-style-type: none"> Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.

Topic 4	<ul style="list-style-type: none"> • Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.
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IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q193-Q198):

NEW QUESTION # 193

All of the following types of testing can help evaluate the performance of a responsible AI system EXCEPT?

- A. Decision analysis.
- B. Statistical sampling.
- **C. Risk probability/severity.**
- D. Adversarial robustness.

Answer: C

Explanation:

Risk probability/severity testing is not typically used to evaluate the performance of an AI system. While important for risk management, it does not directly assess an AI system's operational performance.

Adversarial robustness, statistical sampling, and decision analysis are all methods that can help evaluate the performance of a responsible AI system by testing its resilience, accuracy, and decision-making processes under various conditions. Reference: AIGP Body of Knowledge on AI Performance Evaluation and Testing.

NEW QUESTION # 194

Which of the following is a foundational characteristic of effective AI governance?

- **A. Engagement of a cross-functional team**
- B. Thorough reviews of a company's public filings with experts.
- C. Reliance on tested vendor management processes.
- D. Uniform policies and procedures across developer, deployer and user roles.

Answer: A

Explanation:

Effective AI governance fundamentally requires the engagement of a cross-functional team to incorporate diverse perspectives and expertise throughout the AI lifecycle.

NEW QUESTION # 195

CASE STUDY

A global marketing agency is adapting a large language model ("LLM") to generate content for an upcoming marketing campaign for

a client's new product: a hard hat designed for construction workers of any gender to better protect them from head injuries. The marketing agency is accessing the LLM through an application programming interface ("API") developed by a third-party technology company. They want to generate text to be used for targeted advertising communications that highlight the benefits of the hard hat to potential purchasers. Both the marketing agency and the technology company have taken reasonable steps to address AI governance.

The marketing company has:

- * Entered into a contract with the technology company with suitable representations and warranties.
- * Completed an impact assessment on the LLM for this intended use.
- * Built technical guidance on how to measure and mitigate bias in the LLM.
- * Enabled technical aspects of transparency, explainability, robustness and privacy.
- * Followed applicable regulatory requirements.
- * Created specific legal statements and disclosures regarding the use of the AI on its client's advertising.

The technology company has:

- * Provided guidance and resources to developers to address environmental concerns.
- * Build technical guidance on how to measure and mitigate bias in the LLM.
- * Provided tools and resources to measure bias specific to the LLM.
- * Enabled technical aspects of transparency, explainability, robustness and privacy.
- * Mapped and mitigated potential societal harms and large-scale impacts.
- * Followed applicable regulatory requirements and industry standards.
- * Created specific legal statements and disclosures regarding the LLM, including with respect to IP and rights to data.

The marketing company and its tech provider have taken reasonable steps to govern the AI's use, including legal disclosures, impact assessments, and bias mitigation. However, the company wants to take one more step to improve governance and reduce risks related to ongoing oversight and accountability.

While the marketing agency took steps to mitigate its risks, the best additional step would be to:

- A. Evaluate the use of AI in the marketing industry to identify best practices
- **B. Establish a governance committee to oversee the project**
- C. Negotiate an intellectual property indemnity from the technology company
- D. Engage a third party to lead the procurement selection process

Answer: B

Explanation:

The correct answer is D. Forming a dedicated governance committee ensures continuous oversight, role clarity, and accountability throughout the AI lifecycle.

From the AIGP ILT Guide - Governance Structures:

"Organizations using AI in high-impact scenarios should establish a governance body responsible for oversight of risk, compliance, and ethical alignment." Also reflected in AI Governance in Practice Report 2024:

"Committees support cross-functional decision-making, provide guidance for updates, and maintain accountability. This is especially critical for high-stakes applications like marketing to diverse audiences." Options A, B, and C are valid supplementary actions, but D offers a long-term and systematic governance mechanism.

NEW QUESTION # 196

Which risk category addresses situations where AI systems behave in ways inconsistent with their intended objectives?

- A. Data leakage risk
- B. Availability risk
- **C. Misalignment risk**
- D. Vendor lock-in risk

Answer: C

NEW QUESTION # 197

CASE STUDY

Please use the following answer the next question:

XYZ Corp., a premier payroll services company that employs thousands of people globally, is embarking on a new hiring campaign and wants to implement policies and procedures to identify and retain the best talent. The new talent will help the company's product team expand its payroll offerings to companies in the healthcare and transportation sectors, including in Asia.

It has become time consuming and expensive for HR to review all resumes, and they are concerned that human reviewers might be

susceptible to bias.

Address these concerns, the company is considering using a third-party AI tool to screen resumes and assist with hiring. They have been talking to several vendors about possibly obtaining a third-party AI-enabled hiring solution, as long as it would achieve its goals and comply with all applicable laws.

The organization has a large procurement team that is responsible for the contracting of technology solutions.

One of the procurement team's goals is to reduce costs, and it often prefers lower-cost solutions. Others within the company are responsible for integrating and deploying technology solutions into the organization's operations in a responsible, cost-effective manner.

The organization is aware of the risks presented by AI hiring tools and wants to mitigate them. It also questions how best to organize and train its existing personnel to use the AI hiring tool responsibly. Their concerns are heightened by the fact that relevant laws vary across jurisdictions and continue to change.

The frameworks that would be most appropriate for XYZ's governance needs would be the NIST AI Risk Management Framework and?

- A. NIST Cyber Security Risk Management Framework (CSF 2.0).
- **B. IEEE Ethical System Design Risk Management Framework (IEEE 7000-21).**
- C. NIST Information Security Risk (NIST SP 800-39).
- D. Human Rights, Democracy, and Rule of Law Impact Assessment (HUDERIA).

Answer: B

Explanation:

The IEEE Ethical System Design Risk Management Framework (IEEE 7000-21) would be most appropriate for XYZ Corp's governance needs in addition to the NIST AI Risk Management Framework. The IEEE framework specifically addresses ethical concerns during system design, which is crucial for ensuring the responsible use of AI in hiring. It complements the NIST framework by focusing on ethical risk management, aligning well with XYZ Corp's goals of deploying AI responsibly and mitigating associated risks.

NEW QUESTION # 198

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