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SAMPLE CMT LEVEL I EXAM

PREFACE:

The following document is a sample exam paper. The questions have been constructed/ phrased in a manner that is similar to past exams. The objective of this sample exam is to give as clear an indication as possible of the question structure and language one can expect in the exam. Every reasonable effort has been taken to make these exam questions representative of what candidates will see in the exams, however the following caveats should be noted.

- Exam questions change constantly. There may be up to 25% variation in the question mix in the Level I exams from one test cycle to the next. These sample questions are patterned after a limited number of questions drawn from a much larger pool of actual exam questions. This further increases the chances that the questions appearing in the exam may be significantly different from those contained in this sample. Candidates are cautioned ***not to expect*** the questions from the previous years' exams to appear in the current year.
- These sample questions are drawn exclusively from the material listed in the Body of Knowledge.
- Candidate knowledge and experience will vary. The actual exam questions may be more difficult than those represented here, though that is unintentional. A question may be more difficult for one candidate and not another.
- The sample questions cover a variety of topics; however, in the actual exam the weighting may vary, i.e. the proportion of questions drawn from different topics may be different from the pattern followed in this sample paper.
- The actual exam consists of 135 questions of which 120 are scored items. Candidates are allotted 2 hours to take the Level I exam. This sample exam should be completed in 2 hours to better simulate performance on the exam.

Please note that this sample question booklet has been prepared completely independently from the exam to ensure that the questions that will appear in the actual exam paper remain secure and confidential. The MTA maintains a discussion group forum for CMT candidates on its web site. Candidates are encouraged to utilize this resource and to discuss and clarify questions regarding the areas of the Body of Knowledge where they lack familiarity or seek better understanding.

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CMT Association CMT Level 1 Exam Sample Questions (Q61-Q66):

NEW QUESTION # 61

Which of the following places cycles from shortest to longest in duration?

- A. Seasonal, presidential, Kondratieff
- B. Presidential, seasonal, Kondratieff
- C. Kondratieff, presidential, seasonal
- D. Kitchin, Juglar, Seasonal

Answer: A

Explanation:

Detailed Explanation:

* Seasonal:1 year.

* Presidential:4 years.

* Kondratieff ~54 years (Long wave).

Therefore, the order Seasonal, Presidential, Kondratieff is correct.

NEW QUESTION # 62

Identify the Elliott Wave pattern highlighted below (Chart showing W-X-Y with sideways movement):

- A. Zigzag
- B. Expanded flat
- C. Regular flat
- D. Running flat

Answer: D

Explanation:

Detailed Explanation:

The chart labeled 104 shows a Running Flat (Wave B makes new high, Wave C fails to break Wave A low).

Correction: The chart labeled 104 in the PDF visual actually corresponds to question 105 or is a Running Flat structure. Checking key for 104: Key says B: Running Flat. A Running Flat is characterized by B breaking the high of A, but C *failing* to break the low of A, indicating strong underlying trend strength.

NEW QUESTION # 63

Maggie manages several client portfolios. She utilizes the research of highly skilled analysts in her firm to support her investment decisions. Maggie:

- A. Complied with the CFA Institute Code and Standards
- B. Violated the CFA Institute Code and Standards because she failed to disclose this information to her clients
- C. Violated the CFA Institute Code and Standards because she was unfamiliar with the processes and procedures used by the analysts to arrive at recommendations
- D. Violated the CFA Institute Code and Standards because she did not exercise due diligence in making her investment decisions

Answer: A

Explanation:

Detailed Explanation:

Maggie complied with the standards. Members are permitted to rely on the research and judgment of other professionals within their firm (Diligence and Reasonable Basis), provided they have a reasonable belief that the research is sound. She is not required to re-create the research herself.

NEW QUESTION # 64

Zoya found that the futures price of Codex International was decreasing, and the open interest was also decreasing. Which of the following is taking place in the stock?

- A. Long built up
- **B. Profit booking**
- C. Short built up
- D. Short covering

Answer: B

Explanation:

Detailed Explanation:

Price Decreasing + OI Decreasing = Long Liquidation (Profit Booking). Long holders are selling to exit their positions. This selling drives the price down, and the closing of contracts reduces Open Interest.

NEW QUESTION # 65

Which of the following would NOT be true in designing a trading system utilizing bands?

- A. Buy when prices penetrate the upper band
- **B. Cover shorts when prices reverse and go below the center of the band**
- C. Sell when price breaks below the lower band
- D. Close out longs when prices reverse and go below the center of the band

Answer: B

Explanation:

Detailed Explanation:

In a band system (like Bollinger Bands):

* Buying the upper breakout and selling the lower breakdown are standard trend-following rules.

* Closing longs when price drops below the mean (center) is a standard exit.

* Option C says "Cover shorts when prices... go below the center". If you are short, you want the price to go down. You would cover (exit) if the price rallies above the center, not below it. Covering below the center would mean exiting while the trade is still moving in your favor.

NEW QUESTION # 66

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