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CSC CANADIAN SECURITIES COURSE EXAM NEWEST 2024- 2025 ACTUAL EXAM COMPLETE 321 QUESTIONS AND CORRECT DETAILED ANSWERS (VERIFIED ANSWERS)

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Agent	An investment dealer operates as an agent when it acts on behalf of a buyer or a seller of a security and does not itself own title to the securities at any time during the transactions.
Broker	An investment dealer or a duly registered individual that is registered to trade in securities in the capacity of an agent or principal and is a member of a self-regulatory organization.
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Clearing	The process of confirming and matching security trade details.
Closed-end fund	Shares in closed-end investment companies are readily transferable in the open market and are bought and sold like other shares. Capitalization is fixed.

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CSI CSC2 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> The Corporation: This section of the exam measures the skills of a Corporate Finance Analyst and covers corporate structures, financial statements, disclosure requirements, investor rights, financing methods, capital raising processes, prospectus requirements, securities distribution, and exchange listing procedures for corporations.
Topic 2	<ul style="list-style-type: none"> The Economy: This section of the exam measures the skills of an Economic Analyst and covers fundamental economic concepts including microeconomics and macroeconomics, economic growth measurement, business cycles, labor markets, interest rates, inflation, international trade, and both fiscal and monetary policy with emphasis on the Bank of Canada's role and government policy challenges.
Topic 3	<ul style="list-style-type: none"> Analysis of Managed and Structured Products: This section of the exam measures the skills of an Investment Products Specialist and covers mutual funds, exchange-traded funds, alternative investments, structured products, and other managed products including their structures, regulations, features, risks, strategies, performance measurement, and tax implications within the Canadian investment landscape.
Topic 4	<ul style="list-style-type: none"> Investment Products: This section of the exam measures the skills of an Investment Products Analyst and covers fixed-income securities features, pricing, and trading; equity securities including common and preferred shares; derivatives including options, forwards, futures, rights and warrants; and the characteristics and uses of all these investment instruments in Canadian markets.

CSI Canadian Securities Course Exam2 Sample Questions (Q127-Q132):

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