

C_BCBTM_2509 Reliable Practice Questions, Latest C_BCBTM_2509 Exam Papers

83 SIE Practice Exam Questions with Correct Answers

A broker-dealer is permitted to accept payment for a new issue from a new customer when the: >>>**registration is effective**

Which of the following actions is required when a firm receives cash in excess of \$10,000 from one customer in one business day? >>>**File a Currency Transaction Report (CTR)**

A transaction in which a writer covers a position by purchasing an option is called? >>>**a closing purchase**

A customer has a cash balance in her account together with long positions in several securities. She has made no securities transactions in the account during the past 18 months. How often must the firm send her an account statement? >>>**Quarterly**

To offer its shareholders a privilege to obtain its shares at a fixed price, which of the following products does a corporation issue? >>>**Rights**

Which of the following responses describes an advantage of index funds? >>>**Lower management fees due to passive management of the fund**

Blue-sky laws are regulated by which of the following entities? >>>**State securities regulators**

Company ABC announces a 20% stock dividend for its common shareholders. If a customer holds 1,000 shares at \$50.00, what is the new price and number of shares following the payment of the stock dividend? >>>**1,200 shares at \$41.67**

An associated person who is not a registered representative is permitted to engage in which of the following activities? >>>**Provide account opening forms to a new customer**

Which of the following security types provides investors with a stated maturity date, a floating interest rate, and an option to put the security back to a financial intermediary on a daily weekly basis? >>>**Variable rate demand note**

The primary purpose of a syndicate desk in the context of an equity offering is to: >>>**Build an order book and allocate the stock**

At the time of issuance, which of the following securities normally has the longest period to expiration? >>>**Warrants**

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SAP Certified Associate - Positioning SAP Business Transformation Management Solutions Sample Questions (Q10-Q15):

NEW QUESTION # 10

What is the primary function of SAP Signavio Process Intelligence?

- A. A collaboration platform for managing business decisions.
- B. An ERP system for managing financial transactions.
- **C. A process mining solution that provides process analytics and insights.**
- D. A cloud-based process modeling tool for documenting workflows.

Answer: C

Explanation:

SAP Signavio Process Intelligence is the process mining and analytics component of the SAP Signavio Business Transformation Suite. It allows organizations to analyze real process data to identify inefficiencies and improvement opportunities.

"SAP Signavio Process Intelligence is a process mining solution that enables customers to analyze and visualize process flows, uncovering performance issues and improvement opportunities." Reference:

It connects to operational systems, extracts event logs, and reconstructs end-to-end business processes to deliver data-driven process insights.

"The solution provides process analytics and actionable insights by comparing actual process execution data against designed models." Incorrect Options:

A: Refers to SAP Signavio Process Manager, not Process Intelligence.
B: SAP Signavio is not an ERP; it integrates with SAP ERP systems for analysis.
C: Collaboration capabilities are provided via SAP Signavio Collaboration Hub, not Process Intelligence.
Therefore, the verified and correct answer based on SAP's official documentation is:
D: A process mining solution that provides process analytics and insights.

NEW QUESTION # 11

Of the following options, which technologies does SAP provide to help organizations transform?

- A. SAP ERP and SAP CRM
- **B. SAP Signavio and SAP LeanIX solutions**
- C. SAP Sales and SAP HR
- D. SAP Finance and SAP Marketing

Answer: B

Explanation:

SAP enables digital transformation primarily through its Business Transformation Management (BTM) portfolio, led by SAP Signavio and SAP LeanIX. These technologies give organizations the tools to visualize, plan, and manage transformation initiatives effectively.

"SAP helps organizations accelerate transformation with SAP Signavio for business process transformation and SAP LeanIX for enterprise architecture and technology management." Reference:

SAP Signavio enables business process analysis, mining, and optimization, while SAP LeanIX supports enterprise architecture, application portfolio management, and technology risk compliance - together offering full visibility from business to IT.

"The combination of SAP Signavio and SAP LeanIX forms the backbone of SAP's transformation offerings, aligning business process improvements with IT landscape modernization." Incorrect Options:

A, B, and C refer to standard ERP or LOB modules, not transformation-focused solutions.

Verified Correct answer: D: SAP Signavio and SAP LeanIX solutions.

NEW QUESTION # 12

What are the core solutions provided by SAP LeanIX?

(Note: There are 2 correct answers to this question.)

- **A. Enterprise Architecture Management**
- B. SAP Commerce Cloud
- C. SAP Signavio Journey Modeler

- D. Technology Risk and Compliance

Answer: A,D

Explanation:

SAP LeanIX is an integral part of SAP's Business Transformation Management (BTM) portfolio. It provides visibility and control over an organization's IT landscape, supporting enterprise architecture, technology risk management, and transformation planning. "SAP LeanIX delivers comprehensive Enterprise Architecture Management (EAM) and Technology Risk and Compliance capabilities that enable organizations to align IT with business goals and manage technology landscapes efficiently." Reference: Enterprise Architecture Management (Answer B):

This solution allows organizations to document, visualize, and analyze their application and technology landscape to support informed decision-making during transformation.

"With Enterprise Architecture Management, customers gain transparency into their application portfolios, dependencies, and business capabilities to optimize transformation efforts." Technology Risk and Compliance (Answer D):

This module helps identify and mitigate technology-related risks, ensuring compliance with internal and external standards while maintaining operational resilience.

"Technology Risk and Compliance enables continuous monitoring of technology standards, risks, and compliance requirements to maintain stability during business transformation." Incorrect Options:

A (SAP Signavio Journey Modeler) belongs to the SAP Signavio suite, not LeanIX.

C (SAP Commerce Cloud) is part of SAP Customer Experience (CX) solutions, unrelated to LeanIX's transformation and architecture management focus.

Therefore, the correct and verified answers from SAP BTM documentation are:

B: Enterprise Architecture Management

D: Technology Risk and Compliance

NEW QUESTION # 13

What primary issue does WalkMe's solution aim to solve for customers?

- A. Challenges in adopting and effectively using digital tools
- B. High employee turnover rates
- C. Need for upgrading physical infrastructure in organizations
- D. Difficulty in maintaining accurate financial records

Answer: A

Explanation:

The WalkMe Digital Adoption Platform (DAP), which is integrated into SAP's Business Transformation Management (BTM) solutions, primarily addresses the challenge of digital adoption - helping users effectively utilize enterprise software and workflows. "WalkMe is designed to eliminate user friction with digital systems by providing contextual, in-application guidance and automation that accelerates digital adoption." Reference:

The main problem WalkMe solves is difficulty in adopting and effectively using digital tools, which directly impacts productivity, process efficiency, and transformation success.

"Organizations struggle with the complexity of modern applications; WalkMe's solution enables users to navigate and complete digital processes successfully without training dependency." Options B, C, and D do not represent the platform's focus:

WalkMe is not an HR solution to reduce turnover (B).

It does not manage physical infrastructure (C).

It does not handle financial record accuracy (D).

The central goal, as reinforced in SAP BTM documentation, is to bridge the gap between technology implementation and effective user adoption - making A the correct and verified answer.

NEW QUESTION # 14

What are the benefits of SAP Business Transformation Management solutions?

(Note: There are 2 correct answers to this question.)

- A. They empower organizations to drive digital transformation by enhancing process efficiency and IT infrastructure management.
- B. They are tools limited to IT landscape analysis and financial reporting.
- C. They are process mining tools which can help identifying process and IT infrastructure bottlenecks.
- D. They provide visibility into processes, people, and applications and therefore support our customers in their ERP

transformation.

Answer: A,D

Explanation:

SAP Business Transformation Management (BTM) Solutions are comprehensive, cross-functional tools designed to give organizations visibility across business processes, people, and technology - empowering them to manage digital transformation effectively.

"SAP BTM solutions provide end-to-end visibility across processes, people, and applications, helping organizations streamline ERP transformation initiatives." Reference:

Visibility Across the Enterprise (Answer B):

SAP BTM combines the analytical power of SAP Signavio (process intelligence), SAP LeanIX (enterprise architecture), and WalkMe (adoption enablement) to support holistic transformation initiatives.

"BTM solutions provide a unified view of the business and IT landscape, ensuring transparency across processes, systems, and stakeholders." Driving Digital Transformation and Efficiency (Answer C):

These solutions enable companies to improve process performance and IT infrastructure through insight-driven optimization and modernization.

"By enhancing process efficiency and IT infrastructure management, SAP BTM empowers organizations to drive digital transformation with measurable business outcomes." Incorrect Options:

A: BTM solutions go far beyond IT analysis; they connect business, IT, and people perspectives.

D: While process mining is part of the suite (via Signavio), BTM offers much broader transformation management beyond mining alone.

Therefore, the verified answers are:

B: They provide visibility into processes, people, and applications and therefore support our customers in their ERP transformation.

C: They empower organizations to drive digital transformation by enhancing process efficiency and IT infrastructure management.

NEW QUESTION # 15

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