権威のあるUAE-Financial-Rules-and-Regulationsテストトレーニング &資格試験のリーダー &最新CISI CISI UAE Financial Rules and Regulations Exam

2026年ShikenPASSの最新UAE-Financial-Rules-and-Regulations PDFダンプおよびUAE-Financial-Rules-and-Regulations試験エンジンの無料共有：https://drive.google.com/open?id=1ApccypIXmlIqdGFeibQmcn_HGGoAv_Zf

誰も自分の学習習慣を持っています。UAE-Financial-Rules-and-Regulations問題集は、あなたに異なるシステムバージョンを提供します。 あなたの特定の状況に基づいて、あなたに最も適するUAE-Financial-Rules-and-Regulations問題集バージョンを選択できます。また、複数のバージョンを同時に使用することができます。 だから、各バージョンのUAE-Financial-Rules-and-Regulations問題集には独自の利点があります。 非常に忙しい場合、短い時間でUAE-Financial-Rules-and-Regulations問題集を勉強すると、UAE-Financial-Rules-and-Regulations試験に参加できます。

弊社のソフトを利用して、あなたはCISIのUAE-Financial-Rules-and-Regulations試験に合格するのが難しくないことを見つけられます。ShikenPASSの提供する資料と解答を通して、あなたはCISIのUAE-Financial-Rules-and-Regulations試験に合格するコツを勉強することができます。あなたに安心でソフトを買わせるために、あなたは無料でCISIのUAE-Financial-Rules-and-Regulationsソフトのデモをダウンロードすることができます。

>> UAE-Financial-Rules-and-Regulationsテストトレーニング <<

CISI UAE-Financial-Rules-and-Regulations試験対応、UAE-Financial-Rules-and-Regulations試験

昇進の機会を得て仕事に就きたいと考えているなら、当社からUAE-Financial-Rules-and-Regulations学習問題を選択するのが最良の選択のチャンスになります。なぜなら、UAE-Financial-Rules-and-Regulations学習教材には、あなたが自分自身を改善し、他の人よりも優れたものにするのに役立つ十分な能力があるからです。当社のUAE-Financial-Rules-and-Regulations学習教材は、多くの人々が認定を取得し、夢を実現するのに役立ちました。また、当社のUAE-Financial-Rules-and-Regulationsテストガイドに連絡する機会もあります。

CISI UAE Financial Rules and Regulations Exam 認定 UAE-Financial-

Rules-and-Regulations 試験問題 (Q45-Q50):

質問 # 45
The approved job for conducting securities dealing is:

- A. Operations Manager
- B. Broker Representative
- C. Category Manager
- D. Trading Manager

正解： B

解説：
In the CISI UAE Financial Rules and Regulations, the role formally authorized to conduct securities dealing is that of a Broker Representative. This role is specifically licensed and trained to execute trades on behalf of clients and manage securities transactions within regulatory frameworks. Other positions like Trading Manager or Operations Manager have important but different operational and supervisory functions and do not directly engage in client securities dealing. The Broker Representative's licensing ensures adherence to professional standards, client protection, and market integrity.
Reference: CISI UAE Financial Rules and Regulations - Licensing and Job Roles, Section 3.1.9 (2023).

質問 # 46
The Authority will only grant a licence to operate a crypto fundraising platform in the UAE if the applicant is a legal person in the form of:

- A. a company incorporated under Shariah law
- B. an exchange licensed by a market in the UAE
- C. an exchange licensed by the Authority in the UAE
- D. a sophisticated trader or a qualified investor

正解： C

解説：
According to CISI UAE Financial Rules and Regulations, the Securities and Commodities Authority (SCA) grants licences to operate crypto fundraising platforms only to legal persons that are exchanges licensed by the Authority within the UAE. This ensures that the entity has the appropriate regulatory oversight, infrastructure, and governance aligned with UAE financial laws. Sophisticated traders or qualified investors, as well as companies incorporated under Shariah law, do not meet the legal entity criteria for such licencing.
The requirement guarantees that fundraising platforms operate within a controlled and transparent environment, protecting investors and maintaining market integrity.
Reference: CISI UAE Financial Rules and Regulations - Crypto Asset Platforms Licensing, Section 7.1.5 (2023).

質問 # 47
In cases where securities are bought and sold during the same trading session, the client must have in its cash account enough:

- A. securities to the value of purchase
- B. credit to cover the value of any losses
- C. credit to cover the value of sale
- D. credit to cover the value of purchase

正解： D

解説：
According to the CISI UAE Financial Rules and Regulations under Client Protection provisions, when a client buys and sells securities within the same trading session (day trading), the client must maintain sufficient credit to cover the value of purchase in their cash account at the time of the transaction. This rule ensures that clients have the necessary funds to settle their purchase obligations promptly, reducing settlement risk and maintaining market stability. Credit to cover sales is not required since sales generate funds rather than consume them. This provision supports orderly trading and financial discipline among market participants by preventing unsettled trades and possible defaults.
Reference: CISI UAE Financial Rules and Regulations - Client Protection, Trading and Settlement Rules, Section 4.3.2 (2023).

質問 # 48
If there is a material change in the nature of the relevant software, the regulations state that investors who have accepted an offer of crypto assets must be notified:

- A. promptly in advance
- B. immediately after implementation
- C. within no less than 7 days
- D. within no less than 14 days

正解： A

解説：
The CISI UAE Financial Rules and Regulations require that investors in crypto assets be promptly notified in advance of any material change in the nature of the software relevant to the assets. This advance notification is crucial to allow investors to make informed decisions regarding their holdings before changes take effect.
Material changes could affect functionality, security, or value of the crypto asset. The regulation promotes transparency and investor protection by ensuring timely and clear communication, preventing investors from being blindsided by unexpected developments. The requirement aligns with international best practices on disclosure for crypto asset issuers.
Reference: CISI UAE Financial Rules and Regulations - Legislation and Practice, Crypto Asset Regulation, Section 7.2.1 (2023).

質問 # 49
A licensed person who conducts financial activities related to security tokens is required to carry out a suitability check only if:

- A. the token falls into the high risk category
- B. the licensed person has less than 3 years' relevant experience
- C. the amount being invested exceeds AED 100,000
- D. the client is not a qualified investor

正解： D

解説：
According to CISI UAE Financial Rules and Regulations, a licensed person dealing with security tokens must conduct a suitability check when the client is not a qualified investor. This requirement protects less sophisticated investors by ensuring that the financial products offered match their investment knowledge, risk tolerance, and financial circumstances. Qualified investors are presumed to have sufficient expertise and resources, thus not mandating suitability checks. The nature of the token or amount invested is not the primary trigger; rather, client qualification status governs the obligation to perform suitability assessments.
This aligns with investor protection principles embedded in UAE financial regulations.
Reference: CISI UAE Financial Rules and Regulations - Client Protection and Suitability Checks, Section
4.3.9 (2023).

質問 # 50
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UAE-Financial-Rules-and-Regulations問題集を購入してから、行き届いたアフターサービスを得られています、ShikenPASS UAE-Financial-Rules-and-Regulations試験対応は、あなたに最も優れて最新のUAE-Financial-Rules-and-Regulations試験対応 - CISI UAE Financial Rules and Regulations Exam試験問題対策PDF版、ソフト版、オンライン版を提供します。

警官がやっても、子供達は義務的に受け取るだけで、こちらもどうしても事務的になってしまって不自然になってしまう、ハツミさんはフォークとナイフを下に置き、ナプキンでそっと口を拭った、Webサイト上の製品のページでデモを提供しているため、タイトルの一部とUAE-Financial-Rules-and-Regulationsテストトレントの形式を理解できます。

試験の準備方法-実際的なUAE-Financial-Rules-and-Regulationsテストトレーニング試験-正確的なUAE-Financial-Rules-and-Regulations試験対応

当社のカスタマーサービススタッフは、あなたの質問にお答えすることを喜んでお待ちしております、また、我々は第一時間で重要なリソースを取られます、正確の選択は努力より大切なことです、それに、お客様はUAE-Financial-Rules-and-Regulations問題集を購入してから、行き届いたアフターサービスを得られています。

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