

# CIPM Latest Questions, Practice CIPM Exam Online



## Examples of CIPM Principles Exam Questions

Based upon actual exam questions, these examples are intended to help you decide whether to become a candidate for the CIPM designation. They display the format of the multiple choice questions that appear on the Principles exam, and they illustrate some of the concepts on which Principles candidates have been tested in the past. The questions are grouped into three major topic areas: ethical and professional standards, performance evaluation, and the GIPS standards. An answer key is provided at the end of this document.

### Topic Area: Ethical and Professional Standards

1. Which of the following practices is *most likely* to violate the fair dealing provision of the CIPM Association Standards of Professional Conduct?
  - A. Trading for the firm's account prior to allowing employees to trade for their own accounts.
  - B. Selling a security from taxable accounts prior to selling the same security from tax-exempt accounts.
  - C. Offering insurance companies specialized portfolio accounting services without informing pension funds that such services are available.
2. Information is *most accurately* described as *nonpublic* if it:
  - A. pertains to a company's future per-share earnings.
  - B. would be taken into account by a reasonable investor.
  - C. has been disclosed to relatively few market participants.
3. When writing a research report, Dennis Donaldson, CIPM, consults another investment analyst's published report on the same topic. Donaldson does not acknowledge the other analyst's original work. Donaldson is *least likely* to violate the CIPM Association Standards of Professional Conduct if he:
  - A. considers the other analyst's work in reaching his own conclusions.
  - B. reproduces the other analyst's factual data but reaches his own conclusions.
  - C. independently confirms the other analyst's facts and rephrases her conclusions.

### Topic Area: Performance Evaluation

4. Matthew O'Reilly invests €2,000 in a mutual fund at the beginning of the year. The mutual fund has a positive return for the first six months and a negative return for the second six months of the year. The money-weighted return of the O'Reilly account for the year is *most likely* to be:
  - A. lower than its time-weighted return.
  - B. the same as its time-weighted return.
  - C. higher than its time-weighted return.

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IAPP CIPM (Certified Information Privacy Manager) certification exam is a globally recognized certification that offers individuals the skills and knowledge to manage privacy policies and practices within an organization. Certified Information Privacy Manager (CIPM) certification is designed to help professionals develop and implement privacy programs, policies, and procedures that meet global standards and regulatory requirements.

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## IAPP Certified Information Privacy Manager (CIPM) Sample Questions

## (Q42-Q47):

### NEW QUESTION # 42

Which risk-analysis exercise required by GDPR balances the benefits of a specific processing operation involving personal data against the potential for harm to data subjects?

- A. Privacy Impact Assessment (PIA).
- B. Data Protection Impact Assessment (DPIA).
- C. Transfer Impact Assessment (TIA).
- D. Legitimate Interest Impact Assessment (LIIA).

**Answer: A**

### NEW QUESTION # 43

For an organization that has just experienced a data breach, what might be the least relevant metric for a company's privacy and governance team?

- A. The number of employees who have completed data awareness training.
- B. The number of security patches applied to company devices.
- C. The number of privacy rights requests that have been exercised.
- D. The number of Privacy Impact Assessments that have been completed.

**Answer: B**

### NEW QUESTION # 44

#### SCENARIO

Please use the following to answer the next QUESTION:

For 15 years, Albert has worked at Treasure Box - a mail order company in the United States (U.S.) that used to sell decorative candles around the world, but has recently decided to limit its shipments to customers in the 48 contiguous states. Despite his years of experience, Albert is often overlooked for managerial positions. His frustration about not being promoted, coupled with his recent interest in issues of privacy protection, have motivated Albert to be an agent of positive change.

He will soon interview for a newly advertised position, and during the interview, Albert plans on making executives aware of lapses in the company's privacy program. He feels certain he will be rewarded with a promotion for preventing negative consequences resulting from the company's outdated policies and procedures.

For example, Albert has learned about the AICPA (American Institute of Certified Public Accountants)/CICA (Canadian Institute of Chartered Accountants) Privacy Maturity Model (PMM). Albert thinks the model is a useful way to measure Treasure Box's ability to protect personal data. Albert has noticed that Treasure Box fails to meet the requirements of the highest level of maturity of this model; at his interview, Albert will pledge to assist the company with meeting this level in order to provide customers with the most rigorous security available.

Albert does want to show a positive outlook during his interview. He intends to praise the company's commitment to the security of customer and employee personal data against external threats. However, Albert worries about the high turnover rate within the company, particularly in the area of direct phone marketing. He sees many unfamiliar faces every day who are hired to do the marketing, and he often hears complaints in the lunch room regarding long hours and low pay, as well as what seems to be flagrant disregard for company procedures.

In addition, Treasure Box has had two recent security incidents. The company has responded to the incidents with internal audits and updates to security safeguards. However, profits still seem to be affected and anecdotal evidence indicates that many people still harbor mistrust. Albert wants to help the company recover.

He knows there is at least one incident the public is unaware of, although Albert does not know the details. He believes the company's insistence on keeping the incident a secret could be a further detriment to its reputation. One further way that Albert wants to help Treasure Box regain its stature is by creating a toll-free number for customers, as well as a more efficient procedure for responding to customer concerns by postal mail.

In addition to his suggestions for improvement, Albert believes that his knowledge of the company's recent business maneuvers will also impress the interviewers. For example, Albert is aware of the company's intention to acquire a medical supply company in the coming weeks.

With his forward thinking, Albert hopes to convince the managers who will be interviewing him that he is right for the job.

Based on Albert's observations regarding recent security incidents, which of the following should he suggest as a priority for Treasure Box?

- A. Appointing an internal ombudsman to address employee complaints regarding hours and pay.
- **B. Using a third-party auditor to address privacy protection issues not recognized by the prior internal audits.**
- C. Working with the Human Resources department to make screening procedures for potential employees more rigorous.
- D. Evaluating the company's ability to handle personal health information if the plan to acquire the medical supply company goes forward

**Answer: B**

Explanation:

Explanation

This answer is the best suggestion that Albert should make based on his observations regarding recent security incidents, as it can help to ensure that Treasure Box's privacy program and practices are assessed and verified by an independent and objective party who has the necessary expertise, experience and credentials to evaluate the company's compliance with the applicable laws, regulations, standards and best practices for data protection. Using a third-party auditor can also help to identify any gaps, weaknesses or risks that may have been overlooked or missed by the prior internal audits, and to recommend or implement any improvements or corrective actions. A third-party audit can also help to enhance the company's reputation and trust among its customers, partners and stakeholders, as well as demonstrate its commitment and accountability for privacy protection.

## NEW QUESTION # 45

### SCENARIO

Please use the following to answer the next QUESTION:

John is the new privacy officer at the prestigious international law firm - A&M LLP. A&M LLP is very proud of its reputation in the practice areas of Trusts & Estates and Merger & Acquisition in both U.S. and Europe.

During lunch with a colleague from the Information Technology department, John heard that the Head of IT, Derrick, is about to outsource the firm's email continuity service to their existing email security vendor - MessageSafe. Being successful as an email hygiene vendor, MessageSafe is expanding its business by leasing cloud infrastructure from Cloud Inc. to host email continuity service for A&M LLP.

John is very concerned about this initiative. He recalled that MessageSafe was in the news six months ago due to a security breach. Immediately, John did a quick research of MessageSafe's previous breach and learned that the breach was caused by an unintentional mistake by an IT administrator. He scheduled a meeting with Derrick to address his concerns.

At the meeting, Derrick emphasized that email is the primary method for the firm's lawyers to communicate with clients, thus it is critical to have the email continuity service to avoid any possible email downtime. Derrick has been using the anti-spam service provided by MessageSafe for five years and is very happy with the quality of service provided by MessageSafe. In addition to the significant discount offered by MessageSafe, Derrick emphasized that he can also speed up the onboarding process since the firm already has a service contract in place with MessageSafe. The existing on-premises email continuity solution is about to reach its end of life very soon and he doesn't have the time or resource to look for another solution. Furthermore, the off-premises email continuity service will only be turned on when the email service at A&M LLP's primary and secondary data centers are both down, and the email messages stored at MessageSafe site for continuity service will be automatically deleted after 30 days.

Which of the following is a TRUE statement about the relationship among the organizations?

- A. Cloud Inc. should enter into a data processor agreement with A&M LLP.
- B. MessageSafe is liable if Cloud Inc. fails to protect data from A&M LLP.
- C. A&M LLP's service contract must be amended to list Cloud Inc. as a sub-processor.
- **D. Cloud Inc. must notify A&M LLP of a data breach immediately.**

**Answer: D**

## NEW QUESTION # 46

There are different forms of monitoring available for organizations to consider when aligning with their privacy program goals. Which of the following forms of monitoring is best described as 'auditing'?

- A. Ensuring third parties have appropriate security and privacy requirements in place.
- B. Assisting in the completion of attesting reporting for SOC2, ISO, or BS7799.
- **C. Evaluating operations, systems, and processes.**
- D. Tracking, reporting and documenting complaints from all sources.

**Answer: C**

Explanation:

Evaluating operations, systems, and processes is best described as 'auditing', as it involves conducting a systematic and independent examination of the organization's privacy practices and controls to verify their effectiveness and compliance. The other options are more related to other forms of monitoring, such as complaint handling, reporting, and third-party oversight. References: CIPM Body of Knowledge, Domain III:

Privacy Program Management Activities, Task 5: Monitor privacy program performance.

## NEW QUESTION # 47

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