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## DATABRICKS

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## Questions & Answers

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## **Databricks Certified Generative AI Engineer Associate Sample Questions (Q73-Q78):**

### **NEW QUESTION # 73**

A Generative AI Engineer at an automotive company would like to build a question-answering chatbot for customers to inquire about their vehicles. They have a database containing various documents of different vehicle makes, their hardware parts, and common maintenance information.

Which of the following components will NOT be useful in building such a chatbot?

- A. Embedding model
- B. Response-generating LLM
- C. Vector database
- **D. Invite users to submit long, rather than concise, questions**

**Answer: D**

Explanation:

The task involves building a question-answering chatbot for an automotive company using a database of vehicle-related documents. The chatbot must efficiently process customer inquiries and provide accurate responses. Let's evaluate each component to determine which is not useful, per Databricks Generative AI Engineer principles.

\* Option A: Response-generating LLM

\* An LLM is essential for generating natural language responses to customer queries based on retrieved information. This is a core component of any chatbot.

\* Databricks Reference: "The response-generating LLM processes retrieved context to produce coherent answers" ("Building LLM Applications with Databricks," 2023).

\* Option B: Invite users to submit long, rather than concise, questions

\* Encouraging long questions is a user interaction design choice, not a technical component of the chatbot's architecture. Moreover, long, verbose questions can complicate intent detection and retrieval, reducing efficiency and accuracy-counter to best practices for chatbot design. Concise questions are typically preferred for clarity and performance.

\* Databricks Reference: While not explicitly stated, Databricks' "Generative AI Cookbook" emphasizes efficient query processing, implying that simpler, focused inputs improve LLM performance. Inviting long questions doesn't align with this.

\* Option C: Vector database

\* A vector database stores embeddings of the vehicle documents, enabling fast retrieval of relevant information via semantic search. This is critical for a question-answering system with a large document corpus.

\* Databricks Reference: "Vector databases enable scalable retrieval of context from large datasets" ("Databricks Generative AI Engineer Guide").

\* Option D: Embedding model

\* An embedding model converts text (documents and queries) into vector representations for similarity search. It's a foundational component for retrieval-augmented generation (RAG) in chatbots.

\* Databricks Reference: "Embedding models transform text into vectors, facilitating efficient matching of queries to documents" ("Building LLM-Powered Applications").

Conclusion: Option B is not a useful component in building the chatbot. It's a user-facing suggestion rather than a technical building block, and it could even degrade performance by introducing unnecessary complexity. Options A, C, and D are all integral to a Databricks-aligned chatbot architecture.

### **NEW QUESTION # 74**

A Generative AI Engineer is developing a RAG application and would like to experiment with different embedding models to improve the application performance.

Which strategy for picking an embedding model should they choose?

- A. Pick an embedding model trained on related domain knowledge
- B. pick the embedding model ranked highest on the Massive Text Embedding Benchmark (MTEB) leaderboard hosted by HuggingFace
- C. Pick the most recent and most performant open LLM released at the time
- D. Pick an embedding model with multilingual support to support potential multilingual user questions

**Answer: A**

Explanation:

The task involves improving a Retrieval-Augmented Generation (RAG) application's performance by experimenting with embedding models. The choice of embedding model impacts retrieval accuracy, which is critical for RAG systems. Let's evaluate the options based on Databricks Generative AI Engineer best practices.

\* Option A: Pick an embedding model trained on related domain knowledge

\* Embedding models trained on domain-specific data (e.g., industry-specific corpora) produce vectors that better capture the semantics of the application's context, improving retrieval relevance. For RAG, this is a key strategy to enhance performance.

\* Databricks Reference: "For optimal retrieval in RAG systems, select embedding models aligned with the domain of your data" ("Building LLM Applications with Databricks," 2023).

\* Option B: Pick the most recent and most performant open LLM released at the time

\* LLMs are not embedding models; they generate text, not embeddings for retrieval. While recent LLMs may be performant for generation, this doesn't address the embedding step in RAG. This option misunderstands the component being selected.

\* Databricks Reference: Embedding models and LLMs are distinct in RAG workflows:

"Embedding models convert text to vectors, while LLMs generate responses" ("Generative AI Cookbook").

\* Option C: Pick the embedding model ranked highest on the Massive Text Embedding Benchmark (MTEB) leaderboard hosted by HuggingFace

\* The MTEB leaderboard ranks models across general tasks, but high overall performance doesn't guarantee suitability for a specific domain. A top-ranked model might excel in generic contexts but underperform on the engineer's unique data.

\* Databricks Reference: General performance is less critical than domain fit: "Benchmark rankings provide a starting point, but domain-specific evaluation is recommended" ("Databricks Generative AI Engineer Guide").

\* Option D: Pick an embedding model with multilingual support to support potential multilingual user questions

\* Multilingual support is useful only if the application explicitly requires it. Without evidence of multilingual needs, this adds complexity without guaranteed performance gains for the current use case.

\* Databricks Reference: "Choose features like multilingual support based on application requirements" ("Building LLM-Powered Applications").

Conclusion: Option A is the best strategy because it prioritizes domain relevance, directly improving retrieval accuracy in a RAG system-aligning with Databricks' emphasis on tailoring models to specific use cases.

## NEW QUESTION # 75

A Generative AI Engineer I used the code below to test setting up a vector store:

Assuming they intend to use Databricks managed embeddings with the default embedding model, what should be the next logical function call?

- A. `vsc.create_delta_sync_index()`
- B. `vsc.get_index()`
- C. `vsc.similarity_search()`
- D. `vsc.create_direct_access_index()`

**Answer: A**

Explanation:

Context: The Generative AI Engineer is setting up a vector store using Databricks' VectorSearchClient. This is typically done to enable fast and efficient retrieval of vectorized data for tasks like similarity searches.

Explanation of Options:

\* Option A: `vsc.get_index()`: This function would be used to retrieve an existing index, not create one, so it would not be the logical next step immediately after creating an endpoint.

\* Option B: `vsc.create_delta_sync_index()`: After setting up a vector store endpoint, creating an index is necessary to start populating and organizing the data. The `create_delta_sync_index()` function specifically creates an index that synchronizes with a Delta table, allowing automatic updates as the data changes. This is likely the most appropriate choice if the engineer plans to use dynamic data that is updated over time.

\* Option C: `vsc.create_direct_access_index()`: This function would create an index that directly accesses the data without synchronization. While also a valid approach, it's less likely to be the next logical step if the default setup (typically accommodating

changes) is intended.

\* Option D: `vsc.similarity_search()`: This function would be used to perform searches on an existing index; however, an index needs to be created and populated with data before any search can be conducted.

Given the typical workflow in setting up a vector store, the next step after creating an endpoint is to establish an index, particularly one that synchronizes with ongoing data updates, hence Option B.

### NEW QUESTION # 76

A Generative AI Engineer is deploying a customer-facing, fine-tuned LLM on their public website. Given the large investment the company put into fine-tuning this model, and the proprietary nature of the tuning data, they are concerned about model inversion attacks. Which of the following Databricks AI Security Framework (DASF) risk mitigation strategies are most relevant to this use case?

- A. Apply attribute-based access controls (ABAC) to limit unauthorized access
- B. Use secure model features with Databricks Feature Store
- C. Leverage Databricks access control lists (ACLs) to configure permissions for accessing models
- **D. Implement AI guardrails to allow users to configure and enforce compliance**

**Answer: D**

Explanation:

Model inversion attacks occur when an attacker uses the model's outputs to reconstruct the sensitive training data used during the fine-tuning process. To mitigate this in a public-facing application, implementing AI Guardrails is the most relevant strategy. Guardrails act as a programmable "filter" between the LLM and the end-user. They can be configured to detect if a model's response contains patterns that look like proprietary training data or PII (Personally Identifiable Information). While ACLs (B) and ABAC (D) protect the model's infrastructure (who can invoke the API), they do not inspect the content of the output, which is where the inversion attack actually manifests. Databricks provides integrated guardrail capabilities (via Mosaic AI Gateway) specifically to enforce compliance and prevent the leakage of sensitive internal knowledge that may have been baked into the model weights during fine-tuning.

### NEW QUESTION # 77

A Generative AI Engineer is creating an LLM-powered application that will need access to up-to-date news articles and stock prices.

The design requires the use of stock prices which are stored in Delta tables and finding the latest relevant news articles by searching the internet.

How should the Generative AI Engineer architect their LLM system?

- A. Use an LLM to summarize the latest news articles and lookup stock tickers from the summaries to find stock prices.
- B. Query the Delta table for volatile stock prices and use an LLM to generate a search query to investigate potential causes of the stock volatility.
- C. Download and store news articles and stock price information in a vector store. Use a RAG architecture to retrieve and generate at runtime.
- **D. Create an agent with tools for SQL querying of Delta tables and web searching, provide retrieved values to an LLM for generation of response.**

**Answer: D**

Explanation:

To build an LLM-powered system that accesses up-to-date news articles and stock prices, the best approach is to create an agent that has access to specific tools (option D).

Agent with SQL and Web Search Capabilities:

By using an agent-based architecture, the LLM can interact with external tools. The agent can query Delta tables (for up-to-date stock prices) via SQL and perform web searches to retrieve the latest news articles. This modular approach ensures the system can access both structured (stock prices) and unstructured (news) data sources dynamically.

Why This Approach Works:

SQL Queries for Stock Prices: Delta tables store stock prices, which the agent can query directly for the latest data.

Web Search for News: For news articles, the agent can generate search queries and retrieve the most relevant and recent articles, then pass them to the LLM for processing.

Why Other Options Are Less Suitable:

A (Summarizing News for Stock Prices): This convoluted approach would not ensure accuracy when retrieving stock prices, which

are already structured and stored in Delta tables.

B (Stock Price Volatility Queries): While this could retrieve relevant information, it doesn't address how to obtain the most up-to-date news articles.

C (Vector Store): Storing news articles and stock prices in a vector store might not capture the real-time nature of stock data and news updates, as it relies on pre-existing data rather than dynamic querying.

Thus, using an agent with access to both SQL for querying stock prices and web search for retrieving news articles is the best approach for ensuring up-to-date and accurate responses.

## NEW QUESTION # 78

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