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CSI CSC2 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">Investment Analysis: This section of the exam measures the skills of a Research Analyst and covers both fundamental and technical analysis methods, including macroeconomic, industry and company analysis techniques, financial statement interpretation, ratio analysis, and security valuation approaches.
Topic 2	<ul style="list-style-type: none">The Canadian Investment Marketplace: This section of the exam measures the skills of a Securities Industry Professional and covers the structure and operation of Canada's investment marketplace. It includes the roles of investment dealers and financial intermediaries, capital market functions, financial instruments, and the complete Canadian regulatory environment with its regulatory bodies, principles of regulation, client remediation options, and ethical standards for financial services professionals.
Topic 3	<ul style="list-style-type: none">Analysis of Managed and Structured Products: This section of the exam measures the skills of an Investment Products Specialist and covers mutual funds, exchange-traded funds, alternative investments, structured products, and other managed products including their structures, regulations, features, risks, strategies, performance measurement, and tax implications within the Canadian investment landscape.

Topic 4	<ul style="list-style-type: none"> Investment Products: This section of the exam measures the skills of an Investment Products Analyst and covers fixed-income securities features, pricing, and trading; equity securities including common and preferred shares; derivatives including options, forwards, futures, rights and warrants; and the characteristics and uses of all these investment instruments in Canadian markets.
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CSI Canadian Securities Course Exam2 Sample Questions (Q33-Q38):

NEW QUESTION # 33

Which investor right must be disclosed in a Fund Fact document?

- A. Investors have the right to rescind the purchase if there is misrepresentation in the document.
- B. Investors can request a paper copy of the simplified prospectus for a small charge.
- C. Investors have a right to withdrawal from their purchase within 24 hours after confirmation of the purchase is received.
- D. Investors have the right to act or claim damages without any limitation.

Answer: A

Explanation:

The Fund Facts document is a regulatory disclosure document provided to mutual fund investors in Canada.

It aims to provide clear, concise, and relevant information about the fund. One critical investor right disclosed in this document is the right to rescind their purchase if there is any misrepresentation in the document. This ensures transparency and legal protection for investors.

* Explanation of Options:

* A. Rescission Due to Misrepresentation: Correct. If the document contains false or misleading statements, investors can rescind the purchase under securities laws.

* B. 24-Hour Withdrawal Right: Incorrect. This is not a standard right for mutual fund purchases; the withdrawal right period is generally within two business days after receiving the trade confirmation.

* C. Requesting a Simplified Prospectus: While investors can request this document, the Fund Facts specifically focuses on investor rights related to rescission and misrepresentation.

* D. Claiming Damages Without Limitation: Incorrect. Claims for damages are subject to limitations under securities law and are not unrestricted.

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CSC Volume 2, Chapter 17: Fund Facts and regulatory disclosures.

NEW QUESTION # 34

A financial institution is selling their pooled mortgages to a Special Purpose Vehicle. What process are they engaging in?

- A. Share splitting.
- B. Asset securitization.
- C. Credit spread arbitrage.
- D. Merger strategy.

Answer: B

NEW QUESTION # 35

What does a fundamental analyst believe that is contrary to the beliefs of a technical analyst?

- A. History repeats itself.
- B. Fiscal policy, monetary policy and inflation may be analyzed.
- C. The movements in price movements must be studied.
- D. The profitability of the issuer is paramount.

Answer: D

NEW QUESTION # 36

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