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CSI CSC2 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">• The Economy: This section of the exam measures the skills of an Economic Analyst and covers fundamental economic concepts including microeconomics and macroeconomics, economic growth measurement, business cycles, labor markets, interest rates, inflation, international trade, and both fiscal and monetary policy with emphasis on the Bank of Canada's role and government policy challenges.
Topic 2	<ul style="list-style-type: none">• Investment Products: This section of the exam measures the skills of an Investment Products Analyst and covers fixed-income securities features, pricing, and trading; equity securities including common and preferred shares; derivatives including options, forwards, futures, rights and warrants; and the characteristics and uses of all these investment instruments in Canadian markets.
Topic 3	<ul style="list-style-type: none">• The Canadian Investment Marketplace: This section of the exam measures the skills of a Securities Industry Professional and covers the structure and operation of Canada's investment marketplace. It includes the roles of investment dealers and financial intermediaries, capital market functions, financial instruments, and the complete Canadian regulatory environment with its regulatory bodies, principles of regulation, client remediation options, and ethical standards for financial services professionals.
Topic 4	<ul style="list-style-type: none">• The Corporation: This section of the exam measures the skills of a Corporate Finance Analyst and covers corporate structures, financial statements, disclosure requirements, investor rights, financing methods, capital raising processes, prospectus requirements, securities distribution, and exchange listing procedures for corporations.
Topic 5	<ul style="list-style-type: none">• Investment Analysis: This section of the exam measures the skills of a Research Analyst and covers both fundamental and technical analysis methods, including macroeconomic, industry and company analysis techniques, financial statement interpretation, ratio analysis, and security valuation approaches.
Topic 6	<ul style="list-style-type: none">• Portfolio Analysis: This section of the exam measures the skills of a Portfolio Manager and covers portfolio management approaches including risk and return measurement, portfolio optimization strategies, management styles, and the complete portfolio management process from objective setting to performance evaluation and rebalancing.

Topic 7	<ul style="list-style-type: none"> • Additional Topics: This section of the exam measures the skills of a Wealth Management Professional and covers Canadian taxation systems, tax-advantaged accounts, fee-based account structures, retail client financial planning and estate planning, institutional client management, and ethical standards for financial advisors serving both individual and institutional clients.
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CSI Canadian Securities Course Exam2 Sample Questions (Q146-Q151):

NEW QUESTION # 146

The following table presents annual returns on TUV common stock and the S&P/TSX Composite Index over a three-year period. What is TUV's beta relative to the S&P/TSX Composite Index over this three-year period?

- A. Between 0 and 1.
- B. Greater than 1.
- **C. Exactly 1.**
- D. Less than 0.

Answer: C

NEW QUESTION # 147

Which derivatives transaction has the greatest default risk?

- A. Exchange-traded equity option contract between an individual investor and a dealer.
- **B. Interest rate forward agreement between an investment dealer and a corporation.**
- C. Individual investor entering future contract with an institutional investor.
- D. Individual investor buying shares on an exchange during the ex-rights period.

Answer: B

Explanation:

An interest rate forward agreement (FRA) is an over-the-counter (OTC) derivative contract. Unlike exchange-traded derivatives, OTC contracts are not centrally cleared, meaning there is no intermediary to guarantee performance. This increases counterparty (default) risk, making FRAs inherently riskier than exchange-traded contracts.

* A. Individual investor buying shares on an exchange during the ex-rights period: This is a standard transaction involving equity securities, not derivatives, and carries no default risk.

* C. Exchange-traded equity option contract between an individual investor and a dealer: Exchange-traded derivatives are backed by a clearinghouse, which mitigates default risk.

* D. Individual investor entering a futures contract with an institutional investor: Futures contracts are also exchange-traded and centrally cleared, reducing default risk.

NEW QUESTION # 148

What risk of investing in split shares is specific to a preferred shareholder?

- A. Volatility
- B. Leverage
- **C. Dividend cuts**
- D. Reinvestment

Answer: C

NEW QUESTION # 149

What is the objective of a relative value strategy?

- A. To eliminate market risk by combining securities with perfect negative correlation
- B. To assume a net long position by combining both long and short positions on a basket of securities
- C. To take long positions in convertible bond securities paired with long positions in equities
- **D. To exploit market price inefficiencies by simultaneously taking matched long and short positions**

Answer: D

NEW QUESTION # 150

The Bank of Canada uses an operating band to help manage the overnight rate. How wide is the operating Band?

- **A. 50 basis points**
- B. 75 basis points
- C. 25 basis points
- D. 100 basis points.

Answer: A

Explanation:

The Bank of Canada uses an operating band of 50 basis points to manage the overnight rate. The top of the band is the Bank Rate, and the bottom is the rate the Bank pays on settlement balances. The overnight rate typically operates within this band.

- * A. 25 basis points: This is too narrow to accommodate monetary operations.
- * B. 75 basis points: This is wider than the standard operating band.
- * D. 100 basis points: This is incorrect; the operating band is 50 basis points.

NEW QUESTION # 151

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