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## ISACA AAISM Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>AI Risk Management: This section of the exam measures the skills of AI Risk Managers and covers assessing enterprise threats, vulnerabilities, and supply chain risk associated with AI adoption, including risk treatment plans and vendor oversight.</li></ul>
Topic 2	<ul style="list-style-type: none"><li>AI Governance and Program Management: This section of the exam measures the abilities of AI Security Governance Professionals and focuses on advising stakeholders in implementing AI security through governance frameworks, policy creation, data lifecycle management, program development, and incident response protocols.</li></ul>
Topic 3	<ul style="list-style-type: none"><li>AI Technologies and Controls: This section of the exam measures the expertise of AI Security Architects and assesses knowledge in designing secure AI architecture and controls. It addresses privacy, ethical, and trust concerns, data management controls, monitoring mechanisms, and security control implementation tailored to AI systems.</li></ul>

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### ISACA Advanced in AI Security Management (AAISM) Exam Sample Questions (Q162-Q167):

#### NEW QUESTION # 162

Which testing technique is BEST for determining how an AI model makes decisions?

- A. Blue team
- B. Red team
- C. Black box
- **D. White box**

**Answer: D**

Explanation:

AAISM indicates that white-box testing allows evaluators full visibility into:

- \* internal logic
- \* weights
- \* decision pathways
- \* model architecture

This makes it ideal for understanding how decisions are made.

Black box (B) provides no internal visibility. Red/blue team tests (A, D) focus on security, not decision mechanics.

References: AAISM Study Guide - AI Testing; Explainability Through White-Box Analysis.

#### NEW QUESTION # 163

Who is responsible for implementing recommendations in a final report after an external AI compliance audit?

- A. Internal auditors
- B. End users
- **C. Model owners**
- D. System architects

**Answer: C**

Explanation:

Under AAISM governance, management and control owners are accountable for remediation. For AI systems, the accountable role is the Model Owner (or equivalent business/service owner), who coordinates with architects, engineers, and operations to implement corrective actions and report closure. Internal auditors provide independent assurance and do not implement fixes; end users are not remediation owners.

References: AI Security Management (AAISM) Body of Knowledge - Roles & Accountability (Model Owner, Control Owner, Assurance); Audit Findings Management and Remediation Governance.

#### NEW QUESTION # 164

A CISO has been tasked with providing key performance indicators (KPIs) on the organization's newly launched AI chatbot. Which of the following are the BEST metrics for the CISO to recommend?

- A. Customer effort score and user retention rate
- **B. Error rate and bias detection**
- C. Response time and throughput
- D. Explainability and F1 score

**Answer: B**

Explanation:

For executive security and governance reporting, AAISM prioritizes risk- and harm-oriented KPIs that reflect safety, reliability, and responsible behavior of AI systems. Error rate (safety/quality signal) and bias detection (fairness/compliance signal) provide leading indicators of model risk, potential user harm, and regulatory exposure-key interests for a CISO. Explainability and F1 (A) are model performance/interpretability metrics; customer effort/retention (B) are business CX metrics; response time/throughput (C) are operational SRE metrics. While valuable, they are secondary to risk-centric KPIs for CISO oversight.

References: AI Security Management™ (AAISM) Body of Knowledge - AI Risk Metrics and Assurance; Governance Dashboards for AI. AAISM Study Guide - Operationalizing AI Controls; Safety, Fairness, and Compliance Indicators for Executive Reporting.  
O Error rate and bias detection

#### NEW QUESTION # 165

An aerospace manufacturing company that prioritizes accuracy and security has decided to use generative AI to enhance operations. Which of the following large language model (LLM) adoption plans BEST aligns with the company's risk appetite?

- A. Purchasing an LLM dataset on the open market
- B. Developing a public LLM to automate critical functions
- C. Contracting LLM access from a reputable third-party provider
- **D. Developing a private LLM to automate non-critical functions**

**Answer: D**

Explanation:

AAISM recommends aligning AI adoption with organizational risk appetite by limiting blast radius, protecting sensitive data, and staging adoption in lower-risk domains first. Building a private LLM for non-critical functions preserves data control, enables tighter governance (access control, logging, evaluation), and confines any model errors away from safety- or mission-critical operations. A public LLM for critical functions (A) is misaligned with a high-assurance posture; buying open-market datasets (B) raises provenance and licensing risk; third-party access (C) can be appropriate but still introduces vendor/visibility limits and data residency concerns that may not meet aerospace security needs.

References: AI Security Management (AAISM) Body of Knowledge - Risk Appetite Mapping to AI Use Cases; Criticality Segmentation; Data Control & Deployment Models. AAISM Study Guide - Phased Adoption for High-Assurance Environments; Private vs. Hosted LLM Trade-offs; Governance, Evaluation, and Containment Patterns.

#### NEW QUESTION # 166

When preparing for an AI incident, which of the following should be done FIRST?

- **A. Establish a cross-functional incident response team with AI knowledge**
- B. Create containment and eradication procedures for AI-related incidents
- C. Implement a communication channel to report AI incidents
- D. Establish recovery processes for AI system models and data sets

**Answer: A**

Explanation:

AAISM incident response guidance states the first foundational step is forming a cross-functional AI-aware incident response team, including model developers, data stewards, security leads, and compliance officers.

Without the team established, recovery (C), containment (D), or communication channels (A) cannot be effectively designed or executed.

References: AAISM Study Guide - AI Incident Response; Establishing Cross-Functional Teams.

#### NEW QUESTION # 167

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