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## IAPP AIGP Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.</li></ul>

Topic 2	<ul style="list-style-type: none"> <li>• Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.</li> </ul>
Topic 3	<ul style="list-style-type: none"> <li>• Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.</li> </ul>
Topic 4	<ul style="list-style-type: none"> <li>• Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.</li> </ul>

## IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q181-Q186):

### NEW QUESTION # 181

#### CASE STUDY

Please use the following answer the next question:

ABC Corp, is a leading insurance provider offering a range of coverage options to individuals. ABC has decided to utilize artificial intelligence to streamline and improve its customer acquisition and underwriting process, including the accuracy and efficiency of pricing policies.

ABC has engaged a cloud provider to utilize and fine-tune its pre-trained, general purpose large language model ("LLM"). In particular, ABC intends to use its historical customer data-including applications, policies, and claims-and proprietary pricing and risk strategies to provide an initial qualification assessment of potential customers, which would then be routed to a human underwriter for final review.

ABC and the cloud provider have completed training and testing the LLM, performed a readiness assessment, and made the decision to deploy the LLM into production. ABC has designated an internal compliance team to monitor the model during the first month, specifically to evaluate the accuracy, fairness, and reliability of its output. After the first month in production, ABC realizes that the LLM declines a higher percentage of women's loan applications due primarily to women historically receiving lower salaries than men.

The best approach to enable a customer who wants information on the AI model's parameters for underwriting purposes is to provide?

- A. A transparency notice.
- B. Detailed terms of service.
- C. An opt-out mechanism
- D. Customer service support.

**Answer: A**

Explanation:

The best approach to enable a customer who wants information on the AI model's parameters for underwriting purposes is to provide a transparency notice. This notice should explain the nature of the AI system, how it uses customer data, and the decision-making process it follows. Providing a transparency notice is crucial for maintaining trust and compliance with regulatory requirements regarding the transparency and accountability of AI systems.

Reference: According to the AIGP Body of Knowledge, transparency in AI systems is essential to ensure that stakeholders,

including customers, understand how their data is being used and how decisions are made. This aligns with ethical principles of AI governance, ensuring that customers are informed and can make knowledgeable decisions regarding their interactions with AI systems.

#### NEW QUESTION # 182

Testing data is defined as a subset of data that is used to:

- A. Enable a model to discover and learn patterns.
- B. Assess a model's on-going performance in production.
- C. Evaluate a model's handling of randomized edge cases.
- D. Provide a robust evaluation of a final model.

**Answer: D**

Explanation:

Testing data is used to provide an unbiased evaluation of the final model's performance before deployment.

#### NEW QUESTION # 183

During the planning and design phases of the AI development life cycle, bias can be reduced by all of the following EXCEPT?

- A. Feature selection.
- B. Data collection.
- C. Stakeholder involvement.
- D. Human oversight.

**Answer: A**

Explanation:

Bias in AI can be reduced during the planning and design phases through stakeholder involvement, human oversight, and careful data collection. While feature selection is critical in the development phase, it does not specifically occur during planning and design. Ensuring diverse stakeholder involvement and human oversight helps identify and mitigate potential biases early, and data collection ensures a representative dataset. Reference: AIGP Body of Knowledge on AI Development Lifecycle and Bias Mitigation.

#### NEW QUESTION # 184

Which of the following deployments of generative AI best respects intellectual property rights?

- A. The system categorizes and applies filters to content based on licensing terms.
- B. The system produces content that includes trademarks and copyrights.
- C. The system produces content that is modified to closely resemble copyrighted work.
- D. The system provides attribution to creators of publicly available information.

**Answer: A**

Explanation:

Categorizing and filtering content based on licensing respects intellectual property rights by ensuring proper use according to license terms.

#### NEW QUESTION # 185

Which of the following elements of feature engineering is most important to mitigate the potential bias in an AI system?

- A. Feature transformation.
- B. Feature importance analysis.
- C. Feature validation.
- D. Feature selection.

**Answer: D**

#### Explanation:

Feature selection is the most important element of feature engineering to mitigate potential bias in an AI system. This process involves choosing the most relevant and representative features from the data set, which directly affects the model's performance and fairness. By carefully selecting features, data scientists can reduce the influence of biased or irrelevant attributes, ensuring that the AI system is more accurate and equitable. Proper feature selection helps in eliminating biases that might stem from socio-demographic factors or other sensitive variables, leading to a more balanced and fair AI model. Reference: AIGP Body of Knowledge on Fairness in AI and Feature Engineering.

#### NEW QUESTION # 186

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