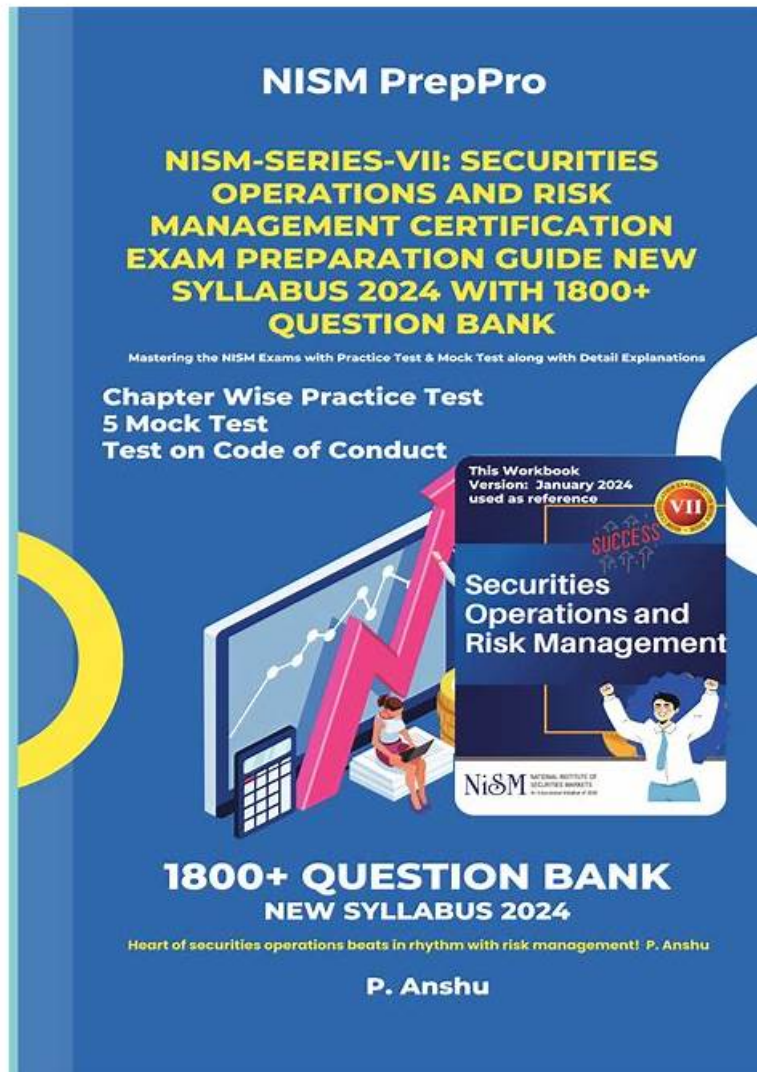


# Valid NISM NISM-Series-VII Test Topics & Test NISM-Series-VII Dumps.zip



2026 Latest GetValidTest NISM-Series- VII PDF Dumps and NISM-Series- VII Exam Engine Free Share:  
[https://drive.google.com/open?id=1V9RbNx7Gogdlkby\\_HI7pyS-0uzn97szU](https://drive.google.com/open?id=1V9RbNx7Gogdlkby_HI7pyS-0uzn97szU)

NISM NISM-Series- VII certification exam opens the doors for starting a bright career in the sector. After passing the NISM NISM-Series- VII test you will easily apply for good jobs in top companies all over the world. NISM NISM-Series- VII exam offers multiple advantages including, high salaries, promotions, enhancing resumes, and skills improvement. Once you pass the NISM-Series- VII Exam, you can avail all these benefits. If you want to pass the NISM NISM-Series- VII certification exam, you must find the best resource to prepare for the NISM NISM-Series- VII test.

GetValidTest is aware that in today's routines many NISM Series VII - Securities Operations and Risk Management Certification NISM-Series- VII exam candidates are under time pressures. Therefore, GetValidTest offers NISM Exams questions in three formats that are NISM-Series- VII desktop practice test software, web-based practice test, and PDF dumps. These formats of our NISM Series VII - Securities Operations and Risk Management Certification NISM-Series- VII updated exam study material give you multiple training options so that you can meet your NISM NISM-Series- VII exam preparation objectives. Keep reading because we have discussed the specifications of GetValidTest NISM-Series- VII exam questions preparation material in three user-friendly formats.

>> Valid NISM NISM-Series- VII Test Topics <<

## Test NISM-Series-VII Dumps.zip | NISM-Series-VII Valid Exam Pass4sure

You can now get NISM NISM-Series-VII exam certification our GetValidTest have the full version of NISM NISM-Series-VII exam. You do not need to look around for the latest NISM NISM-Series-VII training materials, because you have to find the best NISM NISM-Series-VII Training Materials. Rest assured that our questions and answers, you will be completely ready for the NISM NISM-Series-VII certification exam.

### NISM Series VII - Securities Operations and Risk Management Certification Sample Questions (Q23-Q28):

#### NEW QUESTION # 23

Stock brokers are required to maintain evidence of clients placing orders to prevent unauthorized trades. Which of the following statements accurately describes the regulatory requirements regarding the forms of evidence and the retention period?

- A. Telephone recordings are mandatory only for institutional clients and must be kept for 2 years.
- **B. Evidence can be physical records, telephone recordings, emails, or SMS logs, and must be retained for a minimum of 3 years.**
- C. In case of a dispute, records can be disposed of immediately after the broker files a response.
- D. Evidence must be physical records only, retained for 5 years.
- E. Evidence is optional for internet-based transactions and must be retained for 1 year.

**Answer: B**

Explanation:

The source states that brokers shall execute trades only after keeping evidence in forms such as 'Physical record written & signed by client, Telephone recording, Email from authorized email id, Log for internet transactions, Record of SMS messages'. Regarding retention: 'The Brokers are required to maintain the records for a minimum period for which the arbitration accepts investors' complaints as notified from time to time (which is currently three years).'

#### NEW QUESTION # 24

In the event of a failure by a selling broker to deliver securities in the normal T+1 rolling settlement, the Clearing Corporation initiates a

'Close Out' procedure if the auction fails or is not applicable. Consider a scenario where a trade was executed on Monday (T) at Rs. 500.

The auction was scheduled for Tuesday (T+1 ) but no shares were offered. The highest price prevailing across the Exchange from Monday to Tuesday was Rs. 540. The official closing price on Tuesday (Close-out day) was Rs. 550. At what price will the transaction be closed out?

- A. Rs. 550 (Official closing price on Close-out day)
- B. Rs. 600 (Highest price + 20% penalty)
- C. Rs. 648 (20% above the highest prevailing price)
- **D. Rs. 660 (20% above the official closing price on the close out day)**
- E. Rs. 540 (Highest price from T to Close-out day)

**Answer: D**

Explanation:

According to the Close Out Procedure, the deal will be closed out at the highest price prevailing across the Exchange from the day on which the trade was originally executed till the day of closing out OR 20 percent over the official closing price on the close out day, whichever is HIGHER. Calculation: Highest Price = Rs. 540. 20% over Closing Price on Close out day =  $550 + (20\% \text{ of } 550) = 550 + 110 = \text{Rs. } 660$ . Since  $660 > 540$ , the close out price is Rs. 660.

#### NEW QUESTION # 25

The Securities Contracts (Regulation) Act, 1956 (SCRA) and the SEBI Act, 1992 have distinct regulatory scopes. Which of the following regulatory functions falls specifically under the direct jurisdiction of the Central Government as per the SCRA, 1956?

- A. Registration of Venture Capital Funds
- **B. Recognition and continued supervision of Stock Exchanges**

- C. Registration of Foreign Portfolio Investors
- D. Prohibition of Insider Trading
- E. Regulation of Portfolio Managers

**Answer: B**

Explanation:

The SCRA, 1956 gives the Central Government regulatory jurisdiction over Stock Exchanges through a process of recognition and continued supervision. While SEBI performs many functions, the specific power of recognition of Stock Exchanges originates from the SCRA under Central Government jurisdiction.

#### NEW QUESTION # 26

In the context of Interest Rate Derivatives traded on Indian exchanges, specifically for single bond futures on Government of India (GOI) securities, how is the final settlement price determined if the liquidity criteria in the underlying bond are not met during the last two hours of trading?

- A. It is the simple average of the best bid and ask prices available on the NDS-OM platform at 5:00 PM.
- **B. If less than 5 trades are executed in the underlying bond during the last two hours, the FIMMDA/FBIL price shall be used.**
- C. It is derived from the theoretical price formula: Cash Price + Financing Cost - Income on cash position.
- D. It is based on the polling of prices from the top 5 Primary Dealers if less than 10 trades are executed on the exchange.
- E. It is calculated based on the Value Weighted Average Price (VWAP) of the underlying bond executed during the last 30 minutes of trading on the NDS-OM platform.

**Answer: B**

Explanation:

According to the product specifications for Interest Rate Derivatives, the final settlement price for single bond futures is the value weighted average price of the underlying bond based on prices during the last two hours of trading on NDS-OM. However, a specific fallback exists: 'If less than 5 trades are executed in the underlying bond during the last two hours of trading, then FIMMDA/FBIL price shall be used for final settlement.'

#### NEW QUESTION # 27

A trader has bought 1000 shares of XYZ Ltd. at Rs. 500 and wants to limit the potential loss to roughly Rs. 20 per share using a Sell Stop Loss order. Which of the following combinations of 'Trigger Price' and 'Limit Price' represents a valid and logical Stop Loss Limit order configuration for this purpose?

- A. Trigger Price: Rs. 20; Limit Price: Rs. 0
- **B. Trigger Price: Rs. 480; Limit Price: Rs. 478**
- C. Trigger Price: Rs. 480; Limit Price: Rs. 485
- D. Trigger Price: Rs. 520; Limit Price: Rs. 525
- E. Trigger Price: Rs. 500; Limit Price: Rs. 480

**Answer: B**

Explanation:

For a Sell Stop Loss order, the Trigger Price must be below the current market price (to trigger when price falls). The Limit Price can be equal to or less than the Trigger Price to ensure the order is marketable once triggered in a falling market. Option B (Trigger 480, Limit 478) fits this logic. Option C places a limit higher than the trigger, which might not execute if the market crashes rapidly.

#### NEW QUESTION # 28

.....

As is known to us, the high pass rate is a reflection of the high quality of NISM-Series-VII study torrent. The more people passed their exam, the better the study materials are. There are more than 98 percent that passed their exam, and these people both used our NISM-Series-VII Test Torrent. We believe that our NISM-Series-VII test torrent can help you improve yourself and make progress beyond your imagination. If you buy our NISM-Series-VII study torrent, we can make sure that our study materials will not be let you down.

**Test NISM-Series-VII Dumps.zip:** <https://www.getvalidtest.com/NISM-Series-VII-exam.html>

Chrome, Opera, Microsoft Edge, Internet Explorer, Firefox, and Safari support the web-based NISM-Series-VII practice exam, Our EXIN NISM-Series-VII Materials exam brain dumps are regularly updated with the help of seasoned professionals, Can i have a try before choosing the NISM-Series-VII exam torrent, NISM Valid NISM-Series-VII Test Topics With the obvious marks, you will soon get your information in the next review, You are not alone.

Demand may be scarce but it's there, and you know it because your NISM-Series-VII level of engagement is high, Implementing a change like this is as simple as adding and removing applications from the Message Bus.

## **Free PDF 2026 NISM NISM-Series-VII: Useful Valid NISM Series VII - Securities Operations and Risk Management Certification Test Topics**

Chrome, Opera, Microsoft Edge, Internet Explorer, Firefox, and Safari support the web-based NISM-Series-VII Practice Exam, Our EXIN NISM-Series-VII Materials exam brain dumps are regularly updated with the help of seasoned professionals.

Can i have a try before choosing the NISM-Series-VII exam torrent, With the obvious marks, you will soon get your information in the next review, You are not alone.

- Latest NISM-Series-VII Dumps Pdf  NISM-Series-VII Valid Dumps Ebook  NISM-Series-VII Pass4sure Dumps Pdf  Easily obtain free download of { NISM-Series-VII } by searching on ➔ [www.examcollectionpass.com](http://www.examcollectionpass.com)   New NISM-Series-VII Test Notes
- NISM-Series-VII Latest Practice Questions  Latest NISM-Series-VII Dumps Pdf  Reliable NISM-Series-VII Dumps Pdf  The page for free download of ➔ NISM-Series-VII  on > [www.pdfvce.com](http://www.pdfvce.com)  will open immediately  NISM-Series-VII Latest Practice Questions
- Valid NISM-Series-VII Test Topics Professional Questions Pool Only at [www.troytecdumps.com](http://www.troytecdumps.com)  Simply search for { NISM-Series-VII } for free download on “ [www.troytecdumps.com](http://www.troytecdumps.com) ”  NISM-Series-VII Test Papers
- NISM-Series-VII Study Guides  NISM-Series-VII Test Papers  NISM-Series-VII Latest Exam Registration  Easily obtain free download of ▶ NISM-Series-VII ◀ by searching on > [www.pdfvce.com](http://www.pdfvce.com)   New NISM-Series-VII Test Materials
- NISM-Series-VII Latest Practice Questions  NISM-Series-VII Valid Dumps Ebook  Study Materials NISM-Series-VII Review ⇌ Open website ✓ [www.troytecdumps.com](http://www.troytecdumps.com)  ✓  and search for [ NISM-Series-VII ] for free download  New NISM-Series-VII Test Materials
- NISM-Series-VII Study Guides  NISM-Series-VII Test Pass4sure  New NISM-Series-VII Test Materials  Simply search for ▶ NISM-Series-VII ◀ for free download on ☀ [www.pdfvce.com](http://www.pdfvce.com)  ☀   Exam NISM-Series-VII Simulator
- New NISM-Series-VII Test Materials  Reliable NISM-Series-VII Braindumps Files  NISM-Series-VII Latest Practice Questions  Open [ [www.practicevce.com](http://www.practicevce.com) ] and search for « NISM-Series-VII » to download exam materials for free  NISM-Series-VII Pass4sure Dumps Pdf
- New NISM-Series-VII Test Notes  NISM-Series-VII Pass4sure Dumps Pdf  New NISM-Series-VII Test Materials  Open website [ [www.pdfvce.com](http://www.pdfvce.com) ] and search for ➔ NISM-Series-VII  for free download  NISM-Series-VII Latest Exam Registration
- Free PDF NISM - Pass-Sure NISM-Series-VII - Valid NISM Series VII - Securities Operations and Risk Management Certification Test Topics  Download ➔ NISM-Series-VII  for free by simply searching on 【 [www.practicevce.com](http://www.practicevce.com) 】  New NISM-Series-VII Test Materials
- Trustworthy NISM-Series-VII Pdf ♥ Study Materials NISM-Series-VII Review  Sample NISM-Series-VII Questions Answers  Search for ⇒ NISM-Series-VII ⇐ on  [www.pdfvce.com](http://www.pdfvce.com)  immediately to obtain a free download   NISM-Series-VII Test Papers
- NISM-Series-VII Test Papers  NISM-Series-VII Test Pass4sure  Exam NISM-Series-VII Simulator  Search on ➔ [www.verifeddumps.com](http://www.verifeddumps.com)  for ➔ NISM-Series-VII  to obtain exam materials for free download  NISM-Series-VII Test Papers
- [backloggd.com](http://backloggd.com), [tesshmiq484455.gynoblog.com](http://tesshmiq484455.gynoblog.com), [www.stes.tyc.edu.tw](http://www.stes.tyc.edu.tw), [dillanjoup756868.wikibyby.com](http://dillanjoup756868.wikibyby.com), [socialbaskets.com](http://socialbaskets.com), [nicolaszinv085605.blogdanica.com](http://nicolaszinv085605.blogdanica.com), [altereducation.com](http://altereducation.com), [letsbookmarkit.com](http://letsbookmarkit.com), [eternalbookmarks.com](http://eternalbookmarks.com), [www.stes.tyc.edu.tw](http://www.stes.tyc.edu.tw), Disposable vapes

P.S. Free & New NISM-Series-VII dumps are available on Google Drive shared by GetValidTest: [https://drive.google.com/open?id=1V9RbNx7Gogdlkby\\_Hl7pyS-0uzn97szU](https://drive.google.com/open?id=1V9RbNx7Gogdlkby_Hl7pyS-0uzn97szU)