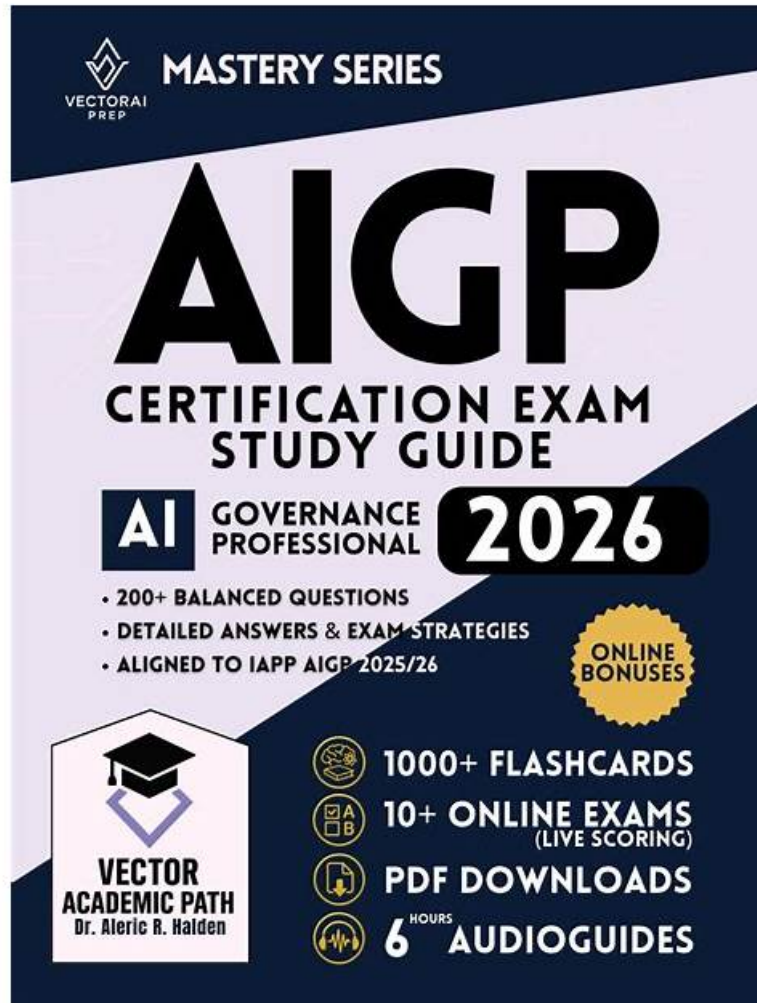


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IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q33-Q38):

NEW QUESTION # 33

MULTI-SELECT

Please select 3 of the 5 options below. No partial credit will be given.

What are the roles and responsibilities of deployers of a proprietary model?

- A. Regulatory compliance.
- B. Technical performance.
- C. System documentation.
- D. Ethical design.
- E. Ethical testing.

Answer: A,B,E

Explanation:

Deployers of proprietary models are not responsible for design, but they are accountable for how the system performs in their context of use, including ensuring ethical behavior, performance, and legal compliance.

From the AI Governance in Practice Report 2025:

"Deployers of AI systems must take reasonable steps to ensure that systems are used ethically, perform safely, and align with applicable laws and standards." (p. 11-12)

"Operational governance... includes performance monitoring protocols, incident management plans, and regulatory oversight." (p. 12) Thus:

- * #A. Ethical testing- Required to mitigate misuse and unintended harms.
- * #B. Ethical design- Belongs to developers/providers, not deployers.
- * #C. Technical performance- Deployers must ensure that AI performs as expected.
- * #D. System documentation- This is the provider's obligation.
- * #E. Regulatory compliance- Deployers must ensure system use complies with applicable laws.

NEW QUESTION # 34

CASE STUDY

Please use the following to answer the next question:

A premier payroll services company that employs thousands of people globally, is embarking on a new hiring campaign and wants to implement policies and procedures to identify and retain the best talent. The new talent will help the company's product team expand its payroll offerings to companies in the healthcare and transportation sectors, including in Asia.

It has become time consuming and expensive for HR to review all resumes, and they are concerned that human reviewers might be susceptible to bias.

To address these concerns, the company is considering using a third-party AI tool to screen resumes and assist with hiring. They have been talking to several vendors about possibly obtaining a third-party AI-enabled hiring solution, as long as it would achieve its goals and comply with all applicable laws.

The organization has a large procurement team that is responsible for the contracting of technology solutions. One of the procurement team's goals is to reduce costs, and it often prefers lower-cost solutions. Others within the company deploy technology solutions into the organization's operations in a responsible, cost-effective manner.

The organization is aware of the risks presented by AI hiring tools and wants to mitigate them. It also questions how best to organize and train its existing personnel to use the AI hiring tool responsibly. Their concerns are heightened by the fact that relevant laws vary across jurisdictions and continue to change.

All of the following are potential negative consequences created by using the AI tool to help make hiring decisions EXCEPT:

- A. Automation bias.
- B. Candidate quality.
- C. Privacy violations.
- D. Disparate impacts.

Answer: B

Explanation:

Candidate quality is an intended positive outcome of using the AI tool, not a negative consequence; the other options represent common risks associated with AI hiring systems.

NEW QUESTION # 35

Which of the following best defines an "AI model"?

- A. A corpus of data which an AI algorithm analyzes to make predictions.
- **B. A program that has been trained on a set of data to find patterns within the data.**
- C. A system of controls that is used to govern an AI algorithm.
- D. A system that applies defined rules to execute tasks.

Answer: B

Explanation:

An AI model is a program trained on data to identify patterns and make predictions or decisions based on that training.

NEW QUESTION # 36

CASE STUDY

A company is considering the procurement of an AI system designed to enhance the security of IT infrastructure. The AI system analyzes how users type on their laptops, including typing speed, rhythm and pressure, to create a unique user profile. This data is then used to authenticate users and ensure that only authorized personnel can access sensitive resources.

When prioritizing the updates to its policies, rules and procedures to include the new AI system for user authentication, the organization should:

- **A. Ensure that any personal data used is only processed for a specific and lawful purpose**
- B. Reduce the complexity of the policy to make it easier for non-technical employees to understand
- C. Update third-party data sharing policies
- D. Update security controls for sensitive data

Answer: A

Explanation:

The correct answer is C. This action ties directly into principles of data minimization, purpose limitation, and lawfulness of processing, which are central to privacy and AI governance.

From the AIGP Body of Knowledge, Section on Privacy Considerations:

"Personal data must only be processed for specified and lawful purposes. Organizations must consider whether they have a legal basis for processing such data under data protection laws like the GDPR or CCPA." Additionally, AI Governance in Practice Report 2024 emphasizes:

"One of the most significant challenges when designing and developing AI systems is ensuring the data used is appropriate for the intended purpose... Managing unnecessary data, especially data that may contain sensitive attributes, can increase risk."

NEW QUESTION # 37

According to the EU AI Act, providers of what kind of machine learning systems will be required to register with an EU oversight agency before placing their systems in the EU market?

- **A. AI systems that are high-risk.**
- B. AI systems that are harmful based on a legal risk-utility calculation.
- C. AI systems that are "strong" general intelligence.
- D. AI systems trained on sensitive personal data.

Answer: A

Explanation:

According to the EU AI Act, providers of high-risk AI systems are required to register with an EU oversight agency before these systems can be placed on the market. This requirement is part of the Act's framework to ensure that high-risk AI systems comply with stringent safety, transparency, and accountability standards. High-risk systems are those that pose significant risks to health, safety, or fundamental rights. Registration with oversight agencies helps facilitate ongoing monitoring and enforcement of compliance.

with the Act's provisions. Systems categorized under other criteria, such as those trained on sensitive personal data or exhibiting "strong" general intelligence, also fall under scrutiny but are primarily covered under different regulatory requirements or classifications.

NEW QUESTION # 38

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