

NISM NISM-Series-VII Valid Exam Notes, NISM-Series-VII Valid Test Question

modelexam.in Online Mock Tests for NISM, IIBF, IRDA, UPSC, UGC, NEET, GATE, RRB, ICSI, ICAI

Study Notes for NISM Series VII : Securities Operations & Risk Management (SORM) Version – NOV 2021 Prepared By modelexam.in

Scan the following QR code for NISM Securities Operations Exam Training Videos



NISM Securities Operations Exam Training Videos - YouTube Links - [ENGLISH](#)

modelexam.in provides with basic information, study material & online model exams to help you succeed in NISM exams. (NISM – National Institute of Securities Markets – A SEBI Institute)

Both Premium (Paid) & Demo (Free) Versions are available on the website.
HARDCOPY / SOFTCOPY of the tests will NOT be provided.

Modelexam website provides ONLINE Mock Test for the following exams.

[NISM Exam Mock Tests](#)
[Insurance Exams Mock Tests](#)
[JAITB, CAITB, IIBF Certificate Exams Mock Tests](#)
[Financial Planning Exams Mock Tests](#)
[\(Medical Entrance \) NEET Exam Mock Tests](#)
[UGC NET / SLET Exams Mock Tests](#)
[UIDAI Exam / Aadhaar Operator Mock Tests](#)
[GATE Exams Mock Tests](#)
[UPSC Exam Mock Tests](#)

Telegram Group - <https://t.me/modelexam>

BONUS!!! Download part of PracticeMaterial NISM-Series-VII dumps for free: <https://drive.google.com/open?id=1D7IVqFfgLcvtVwRidnRjT3jDNg8S7Ir0>

Moreover, there are a series of benefits for you. So the importance of NISM NISM-Series-VII actual test is needless to say. If you place your order right now, we will send you the free renewals lasting for one year. All those supplements are also valuable for your NISM NISM-Series-VII Practice Exam.

We find methods to be success, and never find excuse to be failure. In order to provide the most authoritative and effective NISM-Series-VII exam software, the IT elite of our PracticeMaterial study NISM-Series-VII exam questions carefully and collect the most reasonable answer analysis. The NISM-Series-VII Exam Certification is an important evidence of your IT skills, which plays an important role in your IT career.

>> NISM NISM-Series-VII Valid Exam Notes <<

NISM-Series-VII Valid Test Question | NISM-Series-VII Test Online

It is understandable that different people have different preference in terms of NISM-Series-VII study guide. Taking this into consideration, we have prepared three kinds of versions of our NISM-Series-VII preparation questions: PDF, online engine and software versions. The PDF version of NISM-Series-VII training materials is convenient for you to print, the software version can simulate the real exam and the online version can be used on all electronic devices. If you are hesitating about which version should you choose, you can download our NISM-Series-VII free demo first to get a firsthand experience before you make any decision.

NISM Series VII - Securities Operations and Risk Management Certification Sample Questions (Q127-Q132):

NEW QUESTION # 127

A transferee (buyer) in the depository system wishes to receive securities into their demat account. What is the operational requirement regarding 'Standing Instructions' (SI) for this process?

- A. Standing Instructions allow the DP to debit the account without a Delivery Instruction Slip (DIS).
- B. Standing Instructions are mandatory for all accounts; without them, the account remains inactive.
- C. If 'Standing Instructions' are not given, the transferee must give separate Receipt Instructions each time to the DP to receive securities.
- D. The SI functionality has been replaced entirely by the Demat Debit and Pledge Instruction (DDPI).
- E. Standing Instructions are valid only for off-market transfers and not for market trades.

Answer: C

Explanation:

The transferee has to submit Receipt Instruction to receive securities into his account. However, for the convenience of investor, transferee can give 'Standing Instructions' (SI) to its DP for receiving in securities. If OSI is not given, then the transferee has to give separate instructions each time for receiving the securities.

NEW QUESTION # 128

What are the specific trading hours for the continuous trading session under the beta version of the T+0 rolling settlement cycle?

- A. 10:00 AM to 2:00 PM
- B. 09:15 AM to 12:30 PM
- C. 09:15 AM to 1:30 PM
- D. 09:15 AM to 3:30 PM
- E. 09:00 AM to 3:30 PM

Answer: C

Explanation:

The Trade Timings for the T+0 rolling settlement cycle are defined as one continuous trading session from 09:15 AM to 1:30 PM.

NEW QUESTION # 129

What specific regulatory benefit regarding reserve requirements is accorded to funds borrowed through the Triparty Repo Dealing System (TREPS) in India?

- A. Exemption from RBI's CRR/SLR computation
- B. Automatic guarantee by the Central Government
- C. Exemption from Securities Transaction Tax (STT)
- D. Waiver of stamp duty on the underlying collateral
- E. Eligibility for priority sector lending classification

Answer: A

Explanation:

Funds borrowed on TREPS are exempted from RBI's CRR (Cash Reserve Ratio) and SLR (Statutory Liquidity Ratio) computation. Additionally, the security acquired under the deal is eligible for SLR by the acquiring Bank.

NEW QUESTION # 130

In the event of holidays affecting the settlement schedule, Clearing Corporations (CCs) follow specific guidelines to ensure smooth settlement. Which of the following statements accurately describes the procedure for sequential settlement and inter-depository transfers?

- A. CCs prioritize the settlement with the highest value regardless of the chronological order of trade dates.

- B. Settlements are postponed to the next working week to ensure all banks are operational.
- **C. Depositories must facilitate inter-depository transfers within one hour and before the pay-in for the subsequent settlement begins.**
- D. The pay-out from the first settlement is blocked for 24 hours and cannot be used for the subsequent settlement's pay-in.
- E. CCs club multiple settlements into a single netted obligation to avoid sequential processing delays.

Answer: C

Explanation:

To meet pay-in obligations for subsequent settlements during holidays, members may need to move securities from one depository to another. The depositories shall, therefore, facilitate the inter-depository transfers within one hour and before pay-in for the subsequent settlement begins.

NEW QUESTION # 131

Which of the following statements correctly describe the clearing and netting processes involving brokers and the Clearing Corporation?

(Select all that apply)

- A. Netting at the Clearing Corporation level is done on a bilateral basis between buying and selling brokers.
- **B. Every day, the Clearing Corporation sends the clearing member a list of all trading transactions made by him and his clients.**
- **C. Stock brokers are permitted to net client accounts within their firm at the end of the day.**
- **D. The Clearing Corporation performs multilateral netting to determine the net settlement obligations of members.**
- **E. The final pay-in/pay-out of securities and funds is carried out through clearing banks and depository participants.**

Answer: B,C,D,E

Explanation:

All selected statements are correct based on the source text.

- 'Stock brokers are allowed to net the clients account within the firm' [A]
- 'Clearing is performed by multilateral netting.' [B]
- 'Every day, the clearing corporation sends the clearing member a list of all trading transactions...' [C]
- 'Final pay-in/pay-out... is carried out through clearing banks and depository participants.' [E]
- Statement D is incorrect because netting is multilateral, not bilateral.

NEW QUESTION # 132

.....

We are committed to helping you pass the exam, and you can pass the exam just one time by using NISM-Series-VII exam materials of us. NISM-Series-VII exam braindumps contain both questions and answers, so that you can have a convenient check after finish practicing. And we offer you free demo for you to have a try before buying NISM-Series-VII Exam Materials, so that you can have a better understanding of what you are going to buy. In addition, we are pass guarantee and money back guarantee if you fail to pass the exam. We have online and offline service, and if you are bothered by any questions for NISM-Series-VII exam braindumps, you can consult us.

NISM-Series-VII Valid Test Question: <https://www.practicematerial.com/NISM-Series-VII-exam-materials.html>

Real NISM-Series-VII Questions with Correct Answers, Then the online engine of the NISM-Series-VII study materials, which is convenient for you because it doesn't need to install on computers, To satisfy your curiosity of our NISM-Series-VII download pdf, we provided some demos for free for your reference, Although we guarantee "No help, full refund", those who have purchased our products have pass the exam successfully, which shows the effectiveness and reliability of our NISM-Series-VII exam software, All these questions and answers are prepared by the NISM-Series-VII Valid Test Question certification professionals and experts.

Today Facebook released their Craigslist competitor, called Marketplace, An Alternative Specification, Real NISM-Series-VII Questions with Correct Answers, Then the online engine of the NISM-Series-VII study materials, which is convenient for you because it doesn't need to install on computers.

Quiz 2026 First-grade NISM NISM-Series-VII: NISM Series VII - Securities Operations and Risk Management Certification Valid Exam Notes

To satisfy your curiosity of our NISM-Series-VII download pdf, we provided some demos for free for your reference, Although we guarantee "No help, full refund", those who have purchased our products have pass the exam successfully, which shows the effectiveness and reliability of our NISM-Series-VII exam software.

All these questions and answers are NISM-Series-VII prepared by the National Institute of Securities Markets certification professionals and experts.

- Testking NISM-Series-VII Learning Materials Reliable NISM-Series-VII Exam Bootcamp NISM-Series-VII Download Pdf Search for [NISM-Series-VII] and easily obtain a free download on www.examcollectionpass.com Latest NISM-Series-VII Exam Duration
- Perfect NISM-Series-VII Valid Exam Notes, NISM-Series-VII Valid Test Question Simply search for (NISM-Series-VII) for free download on www.pdfvce.com Free NISM-Series-VII Study Material
- Quiz Pass-Sure NISM - NISM-Series-VII - NISM Series VII - Securities Operations and Risk Management Certification Valid Exam Notes Open www.torrentvce.com and search for NISM-Series-VII to download exam materials for free Real NISM-Series-VII Torrent
- TOP NISM-Series-VII Valid Exam Notes 100% Pass | Trustable NISM Series VII - Securities Operations and Risk Management Certification Valid Test Question Pass for sure Easily obtain NISM-Series-VII for free download through www.pdfvce.com Reliable NISM-Series-VII Braindumps Free
- New NISM-Series-VII Test Tutorial Testking NISM-Series-VII Learning Materials NISM-Series-VII Intereactive Testing Engine The page for free download of NISM-Series-VII on www.vce4dumps.com will open immediately Testking NISM-Series-VII Learning Materials
- 100% Pass 2026 NISM Perfect NISM-Series-VII: NISM Series VII - Securities Operations and Risk Management Certification Valid Exam Notes Open " www.pdfvce.com " enter NISM-Series-VII and obtain a free download NISM-Series-VII Download Pdf
- 100% Pass 2026 NISM Perfect NISM-Series-VII: NISM Series VII - Securities Operations and Risk Management Certification Valid Exam Notes Search for NISM-Series-VII and download it for free immediately on " www.dumpsmaterials.com " Reliable NISM-Series-VII Exam Bootcamp
- 2026 Realistic NISM-Series-VII: NISM Series VII - Securities Operations and Risk Management Certification Valid Exam Notes 100% Pass Quiz Go to website www.pdfvce.com open and search for NISM-Series-VII to download for free NISM-Series-VII Real Questions
- Latest NISM-Series-VII Exam Duration NISM-Series-VII Questions Exam NISM-Series-VII Latest Learning Material Easily obtain NISM-Series-VII for free download through www.exam4labs.com NISM-Series-VII Real Questions
- NISM-Series-VII Download Pdf NISM-Series-VII Free Exam Dumps NISM-Series-VII Test Simulator Online Search for NISM-Series-VII and obtain a free download on www.pdfvce.com New NISM-Series-VII Test Tutorial
- NISM-Series-VII Exam Simulator Online NISM-Series-VII Intereactive Testing Engine NISM-Series-VII Free Exam Dumps (www.verifiedumps.com) is best website to obtain NISM-Series-VII for free download Latest Braindumps NISM-Series-VII Book
- jeanhna883791.goabroadblog.com, www.stes.tyc.edu.tw, enrollbookmarks.com, lewisjshv788077.topbloghub.com, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, myportal.utt.edu.tt, rsalrvt037504.bloggerbags.com, francesgydo569537.wikilentillas.com, www.stes.tyc.edu.tw, ronaldnkwn112328.blogsumer.com, rajanwewq586097.activoblog.com, Disposable vapes

DOWNLOAD the newest PracticeMaterial NISM-Series-VII PDF dumps from Cloud Storage for free:
<https://drive.google.com/open?id=1D7IVqFfgLcvtVwRidnRjT3jDNg8S7Ir0>