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CFA Institute Sustainable Investing Certificate (CFA-SIC) Exam Sample Questions (Q134-Q139):

NEW QUESTION # 134

Engagement teams with a history of governance-led engagement are most likely to be organized:

- A. by sector.
- B. by asset class.
- C. geographically.

Answer: A

Explanation:

Engagement teams focusing on governance issues are often organized by sector because governance practices and challenges can vary significantly across industries, making a sector-based approach more effective.
(ESGTextBook[PallasCatFin], Chapter 6, Page 283)

NEW QUESTION # 135

According to the Taskforce on Nature-Related Financial Disclosures (TNFD), which of the following drivers of nature change can directly translate into a positive impact on circular economy principles?

- A. Pollution
- B. Resource use
- C. Climate change

Answer: B

Explanation:

The circular economy focuses on reducing waste and maximizing resource efficiency. Sustainable resource use (B) aligns directly with circular economy principles, as it promotes recycling, renewable materials, and closed-loop production systems.

Pollution (A) and climate change (C) are environmental risks but do not directly support the transition to a circular economy.

Reference:

Taskforce on Nature-Related Financial Disclosures (TNFD) Framework

Ellen MacArthur Foundation - Circular Economy Principles

UN Sustainable Development Goal 12 (Responsible Consumption & Production)

NEW QUESTION # 136

ESG rating providers:

- A. use public documents obtained from nonprofit organizations.
- B. use information reported by companies only if it is audited.
- C. do not use the same sets of CDP (formerly Carbon Disclosure Project) carbon data as an input.

Answer: A

Explanation:

ESG rating agencies collect data from a broad range of sources, including public documents from nonprofits, regulatory filings, and expert analysis. They do not restrict themselves to audited disclosures only, nor do they exclude commonly used inputs such as CDP data—so statement B is correct.

NEW QUESTION # 137

The low correlation between the ratings from different ESG rating agencies:

- A. Makes it more difficult for companies to improve their ESG performance
- B. Has no effect on the ambition of companies to improve their ESG performance
- C. Makes it less difficult for companies to improve their ESG performance

Answer: A

Explanation:

Different ESG rating agencies (MSCI, Sustainalytics, Refinitiv, etc.) use different methodologies, leading to low correlation among ratings.

Why C (more difficult for companies) is correct:

Companies struggle to improve ESG scores because rating criteria differ significantly across providers.

Example: A company may score high on MSCI but low on Sustainalytics, making it harder to demonstrate ESG improvement.

Why not A or B?

A is incorrect because inconsistency creates challenges rather than making improvement easier.

B is incorrect because ESG ratings do influence corporate ambition to improve sustainability efforts.

References:

MIT Sloan: "The Inconsistency of ESG Ratings" (2022)

NEW QUESTION # 138

The Sustainability Accounting Standards Board's (SASB) Materiality Map:

- A. Identifies material issues and weights them for individual companies.
- B. Only covers equities as an asset class.
- C. Assesses portfolio-level exposure to sustainability risks.

Answer: A

Explanation:

The SASB Materiality Map (Option C) is designed to identify material ESG issues for specific industries and companies, helping investors:

Understand which ESG issues impact financial performance.

Assess and compare ESG risks across sectors.

Option A (Only covers equities) is incorrect because SASB standards apply to multiple asset classes.

Option B (Portfolio-level exposure) is more relevant to ESG rating agencies rather than SASB's framework.

References:

SASB Materiality Map (2022 Update)

ISSB: IFRS Sustainability Disclosure Standards

PRI ESG Integration in Financial Reporting

NEW QUESTION # 139

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