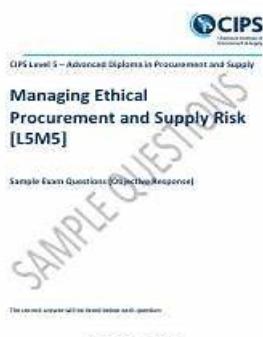


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CIPS L5M5 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">Understand the impact of ESG (Environmental, Social, and Governance) considerations on ethical and sustainable supply chains: This section of the exam measures the skills of Procurement Managers and covers how ESG principles are applied to secure ethical and sustainable supply chains. It looks at the role of environmental, social, and governance factors in procurement decisions, alongside risks and benefits of ESG adoption. Learners also explore issues such as modern slavery, bribery, and human rights, as well as the importance of diversity, inclusion, and stakeholder management. The section highlights how globalization, culture, and labour practices shape supply strategies and examines potential conflicts that may arise when balancing ESG priorities with business demands.

Topic 2	<ul style="list-style-type: none"> Understand the importance of compliance with ESG (Environmental, Social, and Governance) factors to achieve ethical and sustainable procurement and supply arrangements: This section of the exam measures skills of Supply Chain Specialists and covers methods to ensure compliance with ESG standards in procurement. It includes the use of supply chain mapping, risk management, stakeholder engagement, and contractual terms to secure sustainable practices. Candidates also learn how to monitor supplier performance, handle non-compliance, and utilize third-party auditors to maintain ethical standards. Relationship management strategies, corrective actions, and escalation processes are emphasized as part of ensuring suppliers meet ESG expectations.
Topic 3	<ul style="list-style-type: none"> Understand initiatives and standards related to ESG (Environmental, Social, and Governance) that support ethical and sustainable procurement and supply: This section of the exam measures the skills of Procurement Leaders and covers the global frameworks and standards that shape ethical supply practices. It explores international labour standards set by organizations such as the UN and ILO, and labour codes like the Ethical Trading Initiative and SA800. Candidates also examine external environmental frameworks, industry accreditations, and their role in meeting ESG goals. Finally, this section addresses fair trade principles, organizations such as WFTO and Fairtrade International, and the need to align internal governance with global standards.

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CIPS Managing Ethical Procurement and Supply (L5M5) Sample Questions (Q49-Q54):

NEW QUESTION # 49

An organization has a clear understanding of the service it requires and has precisely outlined the process for how the supplier must deliver it. It aims to use an 'outcome-focused specification.' Is this the appropriate specification approach for the organization?

- A. Yes, this specification approach allows for maximum innovation from the supplier
- B. No, an open specification would be the better approach
- C. No, in an outcome-focused specification approach, the supplier determines how to deliver the service**
- D. Yes, this specification approach explicitly defines how the service should be delivered

Answer: C

Explanation:

Correct answer is choice C- No, an outcome-focused specification approach allows the supplier to determine how the service will be delivered.

Incorrect answer:

Choice A- This is wrong as having a clear idea means the buyer can easily use conformance specification for its requirement which will include exact requirements of materials, standards etc.

Choice B- Outcome specifications does provide more freedom of choice to the suppliers but it is not required here as the buying organization knows what it wants in a specific way.

Choice D- An open specification is a specification created and controlled, in an open and fair process, by an association or a standardization body intending to achieve interoperability and interchangeability which is beyond the scope of the syllabus.

Reference:

LO-1.4; Page 118; Specifications

NEW QUESTION # 50

Which of the following standard operating procedures do procurement professionals use to prevent fraud within a company?

- A. Implementing a whistleblower hotline to report suspicious activities anonymously
- B. Bypassing formal bidding processes for convenience in purchasing decisions
- C. Allowing a single employee to handle all procurement transactions without oversight
- D. Using verbal agreements for procurement contracts to expedite the process

Answer: A

Explanation:

Choice B- A whistleblower hotline allows employees to report suspected fraudulent activities or unethical behavior anonymously, fostering a culture of transparency and accountability. This tool can help organizations identify and address fraud early, reducing the risk of financial loss.

Incorrect answer:

Choice A- Allowing one person to manage all procurement transactions without oversight significantly increases the risk of fraud. Implementing checks and balances, such as requiring multiple approvals or reviews, is essential for accountability and transparency in procurement processes.

Choice C- Relying on verbal agreements increases the risk of misunderstandings and fraud, as there is no formal documentation to refer to. Written contracts are essential for establishing clear terms and protecting both parties, which helps mitigate fraud risks.

Choice D- This option is incorrect. Bypassing formal bidding processes undermines transparency and competitiveness, increasing the risk of fraud and corruption. Adhering to formal procurement processes ensures fairness, accountability, and proper documentation, reducing the likelihood of fraudulent activities.

Reference:

LO-1.1; Page 22-23; The role of governance considerations in securing ethical and sustainable procurement and supply arrangements

NEW QUESTION # 51

Ruby is a Contracts Manager at ACE Ltd and manages a contract with Spades Ltd which provides raw materials. She has found a serious issue in Spade's conduct. Which TWO documents should Ruby complete?

- A. SWOT Analysis and KPIs
- B. KPIs and Root Cause Analysis
- C. Corrective Action Plan and SWOT Analysis
- D. Root Cause Analysis and Corrective Action Plan

Answer: D

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

When a supplier's conduct breaches expected standards, the appropriate tools are Root Cause Analysis (to identify why the issue occurred) and a Corrective Action Plan (to detail how the supplier will fix and prevent recurrence). The L5M5 study guide (p.228) emphasises that KPIs should already be established at the start of the contract, and a single conduct issue may not mean performance indicators are being missed. SWOT analysis is not suitable in this scenario, as it is a strategic tool rather than a compliance or corrective measure. Corrective actions ensure continuous improvement and supplier accountability, which are essential for ethical supply chain management.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.228

NEW QUESTION # 52

Which organisation is responsible for the creation of the 2030 Sustainable Development Agenda?

- A. NATO
- B. ILO
- C. UN
- D. ISO

Answer: C

Explanation:

This is the UN. There are 17 sustainability goals and these are listed on p.3. For the exam it's a good idea to familiarise yourself with them, but there's no need to learn them all off-by-heart.

NEW QUESTION # 53

Which of the following statements is TRUE about the adjudication form of dispute resolution? Select TWO

- A. The decision cannot be legally binding
- B. A decision must be made within 28 days
- C. It is an informal process
- D. It is quicker than going through the courts

Answer: B,D

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

Adjudication is a formal ADR method, widely used in construction and supply disputes. The L5M5 study guide (p.237) outlines its key features: it is designed to be faster than court proceedings and legally requires that a decision is reached within 28 days of referral. Decisions made can also be legally binding. Contrary to option A, adjudication is not informal; it follows structured legal processes. Option D is also incorrect, as adjudicator decisions may carry binding authority unless overturned later in arbitration or litigation. The process ensures disputes are resolved promptly, preventing long project delays.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.237

NEW QUESTION # 54

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