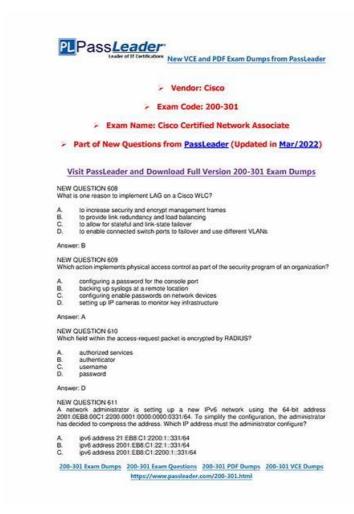
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# **CFA Institute ESG-Investing Exam Syllabus Topics:**

| Topic   | Details   |
|---------|---|
| Topic 1 | <ul> <li>ESG Analysis, Valuation, and Integration: Targetted for ESG Consultants, this domain covers methods for<br/>embedding ESG factors into the investment process, the obstacles that may arise, and the impact of ESG<br/>considerations on valuations across various asset classes.</li> </ul> |
| Topic 2 | <ul> <li>Understanding Governance Factors: This section includes governance elements for ESG Investment<br/>Consultants, including core characteristics, governance models, and material impacts. It discusses how<br/>governance factors influence investment choices.</li> </ul>                    |

| Topic 3 | Environmental Factors: This section examines environmental elements, covering systemic links, material impacts, and major trends for ESG Consultants. This section also reviews techniques for evaluating environmental impacts at the national, sectoral, and organizational levels.   |
|---------|---|
| Topic 4 | Engagement and Stewardship: This section explores the foundations of investor engagement and stewardship, emphasizing their importance and practical application.   |
| Topic 5 | <ul> <li>Investment Mandates and Portfolio Analytics: This domain explains to ESG Analysts the importance of constructing mandates to support effective ESG investment results. This section highlights key aspects, such as transparency and accountability, which are essential for asset owners and intermediaries to align portfolios with ESG priorities.</li> </ul> |

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# **CFA Institute Certificate in ESG Investing Sample Questions (Q542-Q547):**

#### **NEW QUESTION # 542**

Supply chain sustainability management:

- A. considers practices within the main production factory only.
- B. looks at the broader production life cycle, including sourcing.
- C. is simple to understand given supply chains are distinct and independent.

# Answer: B

#### Explanation:

Supply chain sustainability management encompasses the entire lifecycle of production, including sourcing, manufacturing, and enduse. It evaluates environmental, social, and governance (ESG) factors across all stages. This includes how raw materials are sourced, the environmental footprint of production, and labor conditions in the supply chain. (ESGTextBook[PallasCatFin], Chapter 3, Page 114)

#### **NEW QUESTION # 543**

The UK's Green Finance Strategy identifies the policy lever of financing green as

- A. directing private sector financial flows to economic activities that supportan environmentally sustainable and resilient growth.
- B. strengthening the role of the UK financial sector in driving green finance
- C. ensuring that the financial sector systematically considers environmental and climate factors in its lending and investment activities.

#### Answer: A

#### Explanation:

The UK's Green Finance Strategy identifies the policy lever of financing green as directing private sector financial flows to economic activities that support an environmentally sustainable and resilient growth.

- \* Encouraging Private Investment: The strategy aims to mobilize private sector investment into green projects and technologies that contribute to environmental sustainability and climate resilience.
- \* Supporting Green Growth: By directing financial flows towards sustainable economic activities, the strategy supports the transition

to a low-carbon economy and promotes long-term economic growth that is resilient to environmental and climate risks.

\* Policy Framework: The strategy outlines a framework for aligning financial flows with sustainability goals, including setting standards, enhancing disclosures, and providing incentives for green investments.

#### References:

- \* MSCI ESG Ratings Methodology (2022) Discusses the role of financial flows in promoting sustainable growth and the importance of directing investments towards green activities.
- \* ESG-Ratings-Methodology-Exec-Summary (2022) Highlights the objectives of the UK's Green Finance Strategy in supporting environmentally sustainable economic growth.

# **NEW QUESTION #544**

Which of the following index providers offers fixed-income ESG indexes?

- A. Sustainalytics
- B. FTSE4Good
- C. S&P (DJSI) ESG

#### Answer: C

#### Explanation:

S&P offers fixed-income ESG indexes under its Dow Jones Sustainability Indices (DJSI) series. These indexes are designed to measure the performance of companies and governments that adhere to high ESG standards, including for fixed-income securities. ESG Reference: Chapter 7, Page 318 - ESG Analysis, Valuation & Integration in the ESG textbook.

#### **NEW QUESTION #545**

The Integrated Biodiversity Assessment Tool (IBAT) is best described as an interactive mapping tool allowing decisionmakers to:

- A. identify biodiversity risks and opportunities within a project boundary.
- B. assess companies' preparedness for biodiversity risk
- C. manage biodiversity and social risk in project finance

#### Answer: A

#### Explanation:

The Integrated Biodiversity Assessment Tool (IBAT) is an interactive mapping tool designed to help decision-makers identify biodiversity risks and opportunities within a project boundary. Here's a detailed breakdown:

#### IBAT Functionality:

IBAT provides access to up-to-date information on biodiversity, including key biodiversity areas and legally protected areas. This enables users to assess the potential impacts of their projects on biodiversity and make informed decisions to mitigate risks.

The tool is specifically designed to integrate biodiversity considerations into business and investment decisions by highlighting areas that may pose biodiversity risks.

#### Other Descriptions:

While IBAT can support broader biodiversity and social risk management, its primary function is to identify risks and opportunities within a specific project boundary. It is not primarily focused on assessing companies' overall preparedness for biodiversity risk or managing project finance risks in a broader sense.

#### CFA ESG Investing Reference:

The CFA ESG Investing curriculum discusses various tools and frameworks for integrating biodiversity considerations into investment decisions. IBAT is highlighted as a key tool for identifying site-specific biodiversity risks and opportunities.

## **NEW QUESTION # 546**

Will including additional ESG constraints in a portfolio optimization model most likely affect tracking error?

- A No
- B. Yes, it will increase tracking error
- C. Yes, it will reduce tracking error

#### Answer: B

#### Explanation:

Including ESG constraints in portfolio optimization models often leads to increased tracking error because the constraints limit the pool of available investments, making it more challenging to match the benchmark exactly. (ESGTextBook[PallasCatFin], Chapter 8, Page 463)

## **NEW QUESTION #547**

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