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CSI Canadian Securities Course Exam 1 Sample Questions (Q87-Q92):

NEW QUESTION # 87

What is the settlement date for Government of Canada bonds?

- A. Three business days after the transaction.
- **B. One business day after the transaction**
- C. same day the transaction takes place.

- D. Two business days after the transaction

Answer: B

Explanation:

The settlement date for Government of Canada bonds follows the T+1 rule, meaning settlement occurs one business day after the transaction date. This rule ensures efficient clearing and settlement processes in the government bond market.

* B. Same day the transaction takes place: While this applies to some instruments in rare cases (e.g., cash transactions), it is not the standard for Government of Canada bonds.

* C. Two business days after the transaction (T+2): This applies to equity trades and corporate bonds but not government bonds.

* D. Three business days after the transaction (T+3): This is an outdated settlement timeline.

NEW QUESTION # 88

Which activity performed by the Bank of Canada reflects role as the fiscal agent for the federal government?

- A. Working with domestic and international regulatory bodies
- **B. Providing advice on debt Issuances based on its assessment of the capital markets.**
- C. Preserving the value of the Canadian dollar by keeping inflation low
- D. Designing and distributing bank notes.

Answer: B

Explanation:

As the fiscal agent for the federal government, the Bank of Canada provides advice on debt management and issuance strategies, including assessments of capital markets. This role involves managing federal government debt and ensuring efficient market functioning.

References:

* Volume 1, Chapter 5: Economic Policy under "The Role of the Bank of Canada" details the Bank's fiscal agent role in managing government debt (CSC Volume 1, Chapter 5).

NEW QUESTION # 89

Billy owns shares of 143 Financing inc, in a discretionary account. He wants to exercise his right to vote at the company's annual general meeting, but will be away on a business trip. Who can vote on Billy's behalf?

- A. His Investment advisor who has discretionary Investment duties
- **B. Any person whom he has designated Through a proxy**
- C. Only by Billy
- D. His dealer as long as there is a signed consent on file

Answer: B

Explanation:

A shareholder can exercise their voting rights at an annual general meeting either in person or by designating another person to act on their behalf through a proxy. A proxy is a legal document where the shareholder appoints someone else to vote on their behalf. This is critical for shareholders who cannot attend the meeting themselves, as it ensures their voting power is not lost.

NEW QUESTION # 90

What is one of the most important factors to determine how much of a product people buy or sell in a given marketplace?

- A. Government spending
- B. Maximized profits
- **C. Price level**
- D. Consumer satisfaction

Answer: C

Explanation:

The price level is one of the most critical factors influencing how much of a product people buy or sell in a marketplace. According to

the laws of supply and demand, changes in the price of a product directly affect consumer behavior, where higher prices typically reduce demand, and lower prices increase it.

References:

* Volume 1, Chapter 4: Overview of Economics, section on "The Market" discusses supply, demand, and how price levels determine market activity.

NEW QUESTION # 91

When a futures contract is entered into, who sets the minimum initial margin rate?

- A. investment dealer
- **B. Exchange**
- C. Buyer
- D. Seller

Answer: B

Explanation:

The exchange that lists and trades the futures contract sets the minimum initial margin rate. This margin is required as collateral to ensure performance under the contract. The exchange determines this rate based on the volatility and risk of the underlying asset, and it is subject to adjustment depending on market conditions.

Other options:

* Investment dealer: Acts as a facilitator but does not set the margin rates.

* Buyer/Seller: Must meet the margin requirements but do not set them.

References:

* Volume 1, Chapter 10: Derivatives, section on "Futures Contracts" describes the role of exchanges in setting margin requirements.

NEW QUESTION # 92

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